

# Tutorial on Paradigms

Jochen Trommer

[jtrommer@uni-leipzig.de](mailto:jtrommer@uni-leipzig.de)

University of Leipzig  
Institute of Linguistics

Workshop on the Division of Labor between Phonology &  
Morphology  
January 16, 2009

# Textbook Paradigms

	<b>sg</b>	<b>pl</b>
<b>Nom</b>	domin <u>us</u>	domini
<b>Dat</b>	domino	domini <u>s</u>
<b>Acc</b>	domin <u>um</u>	domini <u>os</u>

	<b>sg</b>	<b>pl</b>
<b>Nom</b>	hort <u>us</u>	horti
<b>Dat</b>	horto	horti <u>s</u>
<b>Acc</b>	hort <u>um</u>	horti <u>os</u>

# Generalized Paradigm á la Wunderlich & Fabri (1994)

	sg	pl
Nom	-us	-i
Dat	-o	-is
Acc	-um	-os

# What is a Paradigm?

A data structure

comprising different inflectional forms (words or affixes)

where each form has a structurally unique position in the structure

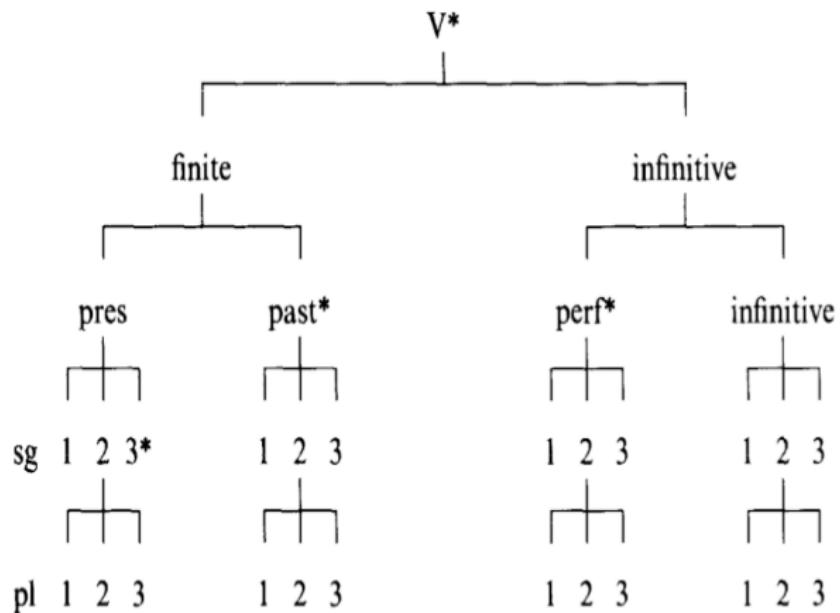
and each structural position contains a unique form

# Sumerian Paradigms (Plank, 1991)

<dominus,domino,dominum,domini,dominis,dominos>

<hortus,horto,hortum,horti,hortis,hortos>

# Paradigm á la Williams (1994)



$V^* = \text{run}$     $\text{past}^* = \text{ran}$     $3^* = \text{runs}$     $\text{perf}^* = \text{run}$

# Lexicon vs. Paradigm

**Lexicon:** { dominus:[+N] }

	sg	pl
Nom	domin <u>us</u>	domini
Dat	domino	domini <u>s</u>
Acc	domin <u>um</u>	domino <u>s</u>

# Lexicon vs. Paradigm

**Lexicon:** { dominus:[+N+nom+sg] }

	sg	pl
Nom	domin <u>us</u>	domini
Dat	domino	domini <u>s</u>
Acc	domin <u>um</u>	domino <u>s</u>

# Lexicon vs. Paradigm

**Lexicon:** { dominus:[+N+nom+sg],  
domino:[+N+dat+sg],  
dominum:[+N+acc+sg],  
domini:[+N+nom+pl],  
dominis:[+N+dat+pl],  
dominos:[+N+acc+pl] }

	sg	pl
Nom	domin <u>us</u>	domini
Dat	domino	dominis
Acc	domin <u>um</u>	domino <u>s</u>

# Lexicon vs. Paradigm

**Lexicon:** { -us:[+nom+sg], -i:[+nom+pl],  
-o:[+dat+sg], -is:[+N+dat+pl],  
-um:[+acc+sg], -os:[+N+acc+pl] }

	sg	pl
Nom	-us	-i
Dat	-o	-is
Acc	-um	-os

## Wunderlich's Observation (implicit in Wunderlich & Fabri, 1994)

A set of affixes implicitly encodes a paradigm

(Generalized: A set of forms annotated by feature structures implicitly encodes a paradigm)

# What is a Paradigmatic Theory of Morphology?

A theory which makes crucial use

of paradigms

to derive words/word forms

# Related Notions (and Theories)

- ▶ Realizational Morphology
- ▶ Word-based Morphology
- ▶ Analogy

# Realizational Morphology: German Verb Inflection

**Present**

	<b>sg</b>	<b>pl</b>
<b>1</b>	leg- <b>e</b>	leg- <b>en</b>
<b>2</b>	leg- <b>st</b>	leg- <b>t</b>
<b>3</b>	leg- <b>t</b>	leg- <b>en</b>

(ich lege, 'I put')

# Realizational Morphology: Distributed Morphology

Syntactic Heads

[+1–2+pl]

[−1–2+pl]

Vocabulary Items

-n ↔ [-2+pl]

(Frampton, 2003; Müller, 2005; Trommer, 2005)

# Realizational Morphology

is not per se paradigmatic

because underspecification & competition  
don't require the use of paradigms

(although a list of Vocabulary Items might be understood  
as a lexicon and hence as a rudimentary paradigm)

# Word-based Grammar for Latin

**Lexicon:** { *hortus*:[+N+nom], *dominus*:[+N+nom] }

## Rules:

$X\text{-}us:[+N+\text{nom}] \rightarrow X\text{-}um:[+N+\text{acc}]$

$X\text{-}us:[+N+\text{nom}] \rightarrow X\text{-}o:[+N+\text{dat}]$

See Albright (2002,2008) for a recent approach along similar lines

# Word-based Morphology

is not per se paradigmatic

because application of Word Formation Rules

doesn't require the use of paradigms

# Analogy

dominus  
domino      =      hortus  
    ??

# Primitive Analogy Rule for Suffixation

If the lexicon contains

a word form  $WF_1$  of the word  $W_1$  of category  $C$ , (dominus)

a word form  $WF'_1$  of the word  $W_1$  of category  $C'$ , (dominum)

a word form  $WF_2$  of the word  $W_2$  of category  $C$  (hortus)

where  $\text{Phon}(WF_1) = AX$ ,  $\text{Phon}(WF'_1) = AY$ , and  $\text{Phon}(WF_2) = BX$

then there is also a word form  $WF'_2$  (hortum)

such that  $\text{Cat}(WF'_2) = C'$  and  $\text{Phon}(WF'_2) = BY$

# Primitive Analogy Rule for Suffixation

	Word 1	Word 2
Category C	A+X	B+X
Category C'	A+Y	B+Y

- In the lexicon
- derived

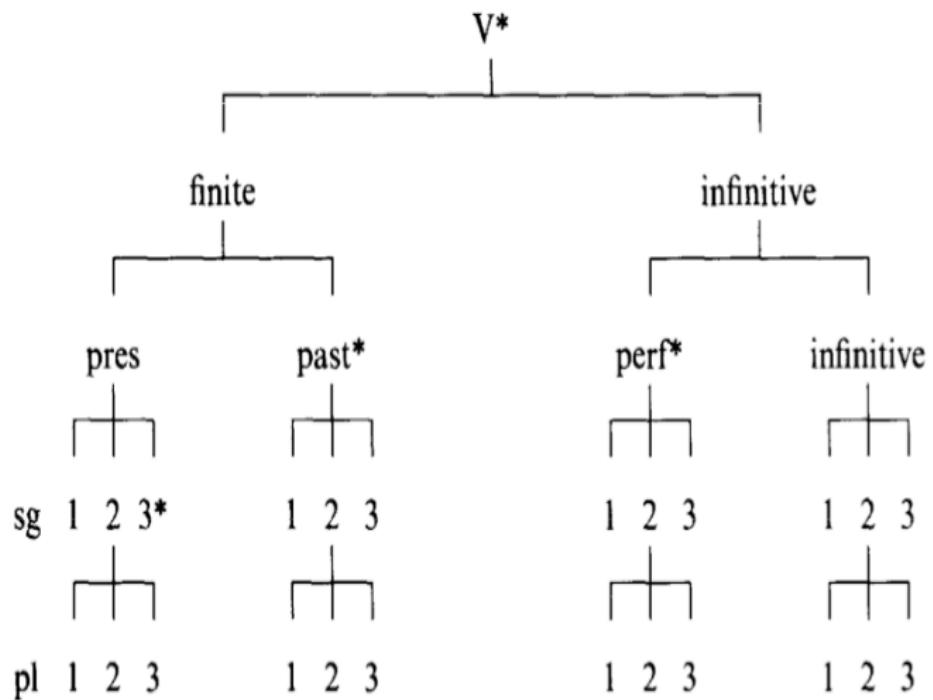
# Analogy

- ▶ might be said to be inherently paradigmatic since it invokes a local paradigmatic structure in the construction of novel form
- ▶ But the paradigm used is minimal and does not really correspond to standard inflectional paradigms
- ▶ Crucially, analogy seems rather to access the lexicon in a complex way but not to use a real paradigm

# Williams (1994)

- ▶ Word forms produced by affixation are linked by stipulation to specific entry points of a 3-dimensional hierarchically structured paradigm
- ▶ Every node of the paradigm corresponds to a word form
- ▶ Every node inherits the shape of the closest entry node which dominates it

# Williams (1994)



$V^* = \text{run}$     $\text{past}^* = \text{ran}$     $3^* = \text{runs}$     $\text{perf}^* = \text{run}$

# Optimal Paradigms Theory (McCarthy, 2005)

- ▶ Correspondence-theoretic Approach to Morphophonology
- ▶ In contrast to Standard OT, candidates are not single word forms, but entire inflectional paradigms
- ▶ Faithfulness constraints require uniformity between all members of a candidate paradigm

# Nopi Noun Morphology

## Class U

<b>singular</b>	u-kat	u-ser	u-fli
<b>plural</b>	u-kat- <b>pu</b>	u-ser- <b>pu</b>	u-fli- <b>pu</b>

## Class I

<b>singular</b>	i-tek	i-nol	i-lu
<b>plural</b>	i-tek- <b>ti</b>	i-nol- <b>ti</b>	i-lu- <b>ti</b>

## Class A

<b>singular</b>	a-lap	a-pes	a-ta
<b>plural</b>	a-lap- <b>ka</b>	a-pes- <b>ka</b>	a-ta- <b>ka</b>

# Nopi Nasal-Final Nouns

## Class U

<b>singular</b>	u-kam	u-sen	u-fliŋ
<b>plural</b>	u-kam <b>m-pu</b>	u-se <b>m-pu</b>	u-fli <b>m-pu</b>

## Class I

<b>singular</b>	i-item	i-non	i-luŋ
<b>plural</b>	i-te <b>n-ti</b>	i-no <b>n-ti</b>	i-lu <b>n-ti</b>

## Class A

<b>singular</b>	a-lam	a-pen	a-taŋ
<b>plural</b>	a-laŋ <b>-ka</b>	a-peŋ <b>-ka</b>	a-taŋ <b>-ka</b>

# Standard OT Analysis of Nopi Nasal Assimilation

<b>Input:</b> sen	SHARE-PLACE (NASAL, STOP)	IDENT <sub>IO</sub>
☞ sen		
sem		*!

<b>Input:</b> sen-pu	SHARE-PLACE (NASAL, STOP)	IDENT <sub>IO</sub>
senpu	*!	
☞ sempu		*

# OP-Analysis of Nopi Nasal Assimilation

<b>Input:</b> <sen,sen-pu>	SHARE-PLACE (NASAL,STOP)	IDENT <sub>IO</sub>	IDENT <sub>OP</sub>
<sen,senpu>	*!		
<sem,sempu>		**!	
👉 <sen,sempu>		*	*

# Opi Noun Morphology (= Nopi Noun Morphology)

## Class U

<b>singular</b>	u-kat	u-ser	u-fli
<b>plural</b>	u-kat- <b>pu</b>	u-ser- <b>pu</b>	u-fli- <b>pu</b>

## Class I

<b>singular</b>	i-tek	i-nol	i-lu
<b>plural</b>	i-tek- <b>ti</b>	i-nol- <b>ti</b>	i-lu- <b>ti</b>

## Class A

<b>singular</b>	a-lap	a-pes	a-ta
<b>plural</b>	a-lap- <b>ka</b>	a-pes- <b>ka</b>	a-ta- <b>ka</b>

# Opi Nasal-Final Nouns

## Class U

<b>singular</b>	u-kam		
<b>plural</b>	u-kam <b>p</b> u		

## Class I

<b>singular</b>		i-non	
<b>plural</b>		i-no <b>n</b> -ti	

## Class A

<b>singular</b>			a-taŋ
<b>plural</b>			a-taŋ-ka

# OP-Analysis of Opi Nasal Assimilation

<b>Input:</b> <sen,sen-pu>	SHARE-PLACE (NASAL,STOP)	$\text{IDENT}_{\text{OP}}$	$\text{IDENT}_{\text{IO}}$
<sen,senpu>	*!		
☞ <sem,sempu>			**
<sen,sempu>		*!	*

# Other Morphological Paradigmatic Theories?

- ▶ **Amorphous Morphology (Anderson, 1992):** realizational approach with roughly the same architecture as DM
- ▶ **Paradigm Function Morphology (Stump, 2001):** assumes paradigms, but doesn't employ any operation which actually requires paradigms
- ▶ **Minimalist Morphology (Wunderlich & Fabri, 1994):** restricted use of paradigms to project affix specifications to lexical entries

# Other Phonological Paradigmatic Theories?

- ▶ **Transderivational Corespondence Theory (Benua, 1995):**  
Asymmetric Architecture which is largely isomorphic to stratal approaches
- ▶ **Uniform Exponence (Kenstowicz 1996):**  
Predecessor of OP with unclear formal properties
- ▶ **Burzio (1994,1996,1999):**  
Extension of paradigms to relations between affixes and different lexemes

# Morphological Arguments for Paradigmatic Theories

- ▶ Paradigmless theories cannot account for systematic syncretism and blocking (Williams, 1994)
  
- ▶ There are general formal restrictions on paradigmatic structure which cannot be captured without a formal representation of paradigms

# Paradigmless Theories ...

- ▶ don't explain **Blocking**
- ▶ cannot capture systematic **Meta-Syncretism**
- ▶ don't account for **Asymmetries between Features**

(Williams, 1994)

# Meta-Syncretism in German

**Present**

	<b>sg</b>	<b>pl</b>
<b>1</b>	leg- <b>e</b>	leg- <b>en</b>
<b>2</b>	leg- <b>st</b>	leg- <b>t</b>
<b>3</b>	leg- <b>t</b>	leg- <b>en</b>

	<b>sg</b>	<b>pl</b>
<b>1</b>	bi- <b>n</b>	sind- <b>Ø</b>
<b>2</b>	bi- <b>st</b>	sei- <b>t</b>
<b>3</b>	is- <b>t</b>	sind- <b>Ø</b>

**Past**

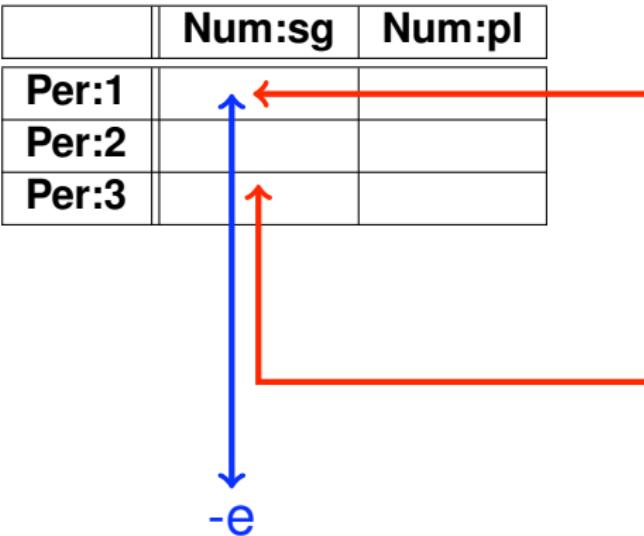
	<b>sg</b>	<b>pl</b>
<b>1</b>	leg-t- <b>e</b>	leg-t- <b>en</b>
<b>2</b>	leg-t- <b>est</b>	leg-t- <b>et</b>
<b>3</b>	leg-t- <b>e</b>	leg-t- <b>en</b>

	<b>sg</b>	<b>pl</b>
<b>1</b>	war- <b>Ø</b>	war- <b>en</b>
<b>2</b>	war- <b>st</b>	war- <b>t</b>
<b>3</b>	war- <b>Ø</b>	war- <b>en</b>

(German; lege, 'I put'; bin, 'I am')

# Meta-Syncretism as a paradigmatic Rule of Referral

Rule of Referral



Rule of Exponence

(Stump, 1993, 2001)

# Bobaljik's (2002) Reply

William's arguments are not for paradigms but for realizational models of morphology such as DM

- ▶ **Blocking:**

VI-competition for Vocabulary Insertion

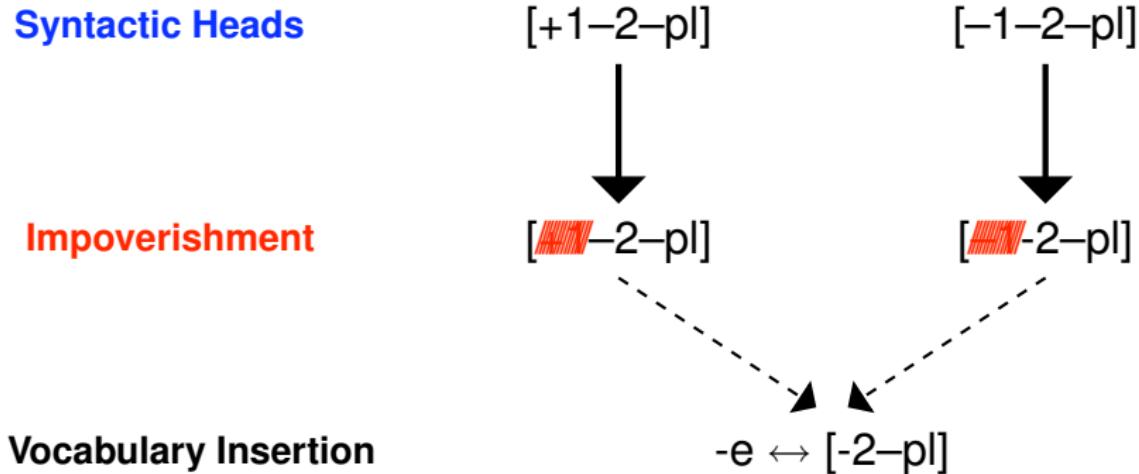
- ▶ **Meta-Syncretism:**

Impoverishment of Features before Vocabulary Insertion

- ▶ **Feature Asymmetries:**

Vocabulary Insertion is governed by Feature Hierarchies

# Meta-Syncretism as Impoverishment in DM



# Formal Restrictions on Possible Paradigms

- ▶ The NoBLUR Principle for Inflectional Classes  
(Carstairs-McCarthy, 1994)
- ▶ Iconicity in Latin Declension (Wiese, 2003)
- ▶ The Instantiated Basic Paradigm Requirement  
(Williams, 1994)

# No-BLUR: (Carstairs-McCarthy, 1994)

In a paradigm comprising different arbitrary inflectional classes for a given feature combination at most one exponent can occur in more than one paradigm cell

**good**

<b>Conjugation</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
<b>1sg</b>	a	a	b	c
<b>3pl</b>	c	d	d	e

**bad**

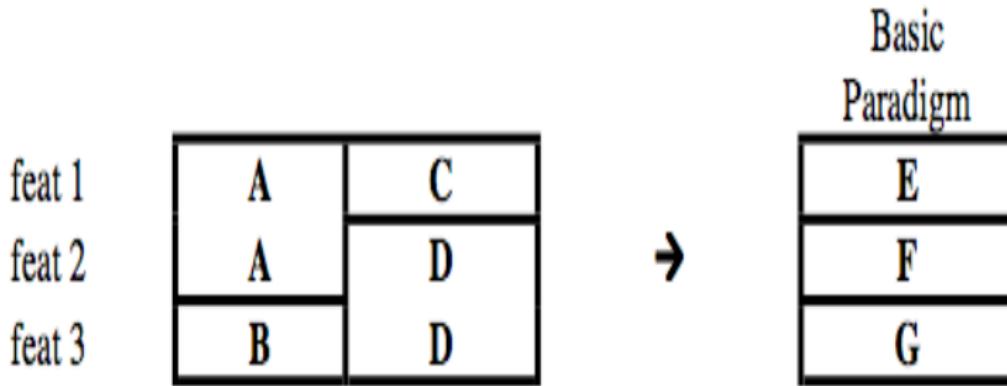
<b>Conjugation</b>	<b>1</b>	<b>2</b>	<b>3</b>	<b>4</b>
<b>1sg</b>	a	a	b	b
<b>3pl</b>	c	d	d	e

## Halle & Marantz (2008) on NoBLUR

NoBlur isn't a restriction on paradigms,  
but on the grammatical use of inflectional class features.

Every noun can only belong to a single inflectional class  
(specify a single inflectional class feature)

# The Instantiated Basic Paradigm Requirement (Williams, 1994)



# Form-Function Mapping in Latin Declension

(Wiese, 2003)

Endungstypen/Marker/Endungen (formale Ordnung)

Formtyp	0	1	2	3	4	5	6	6+	7	7+
Marker	—	s	m	l	vL	Ls	vLs	-x-s	vm	-x-m
u-Dek.	-u	-us	-um	-u'	-u''	-us		-ibus	-uum	
e-Dek.	X	-es (!)	-em	-e'	-ei	-eis		-ebus		-erum
a-Dek.	-a		-am	-a'	-ae	-a's	-i's			-arum
o-Dek.	-e	-us	-um	-o'	-i'	-o's	-i's			-orum

	↑	↑	↑	↑	↑	↑	↑	↑	↑	↑
u-Dek.	VNA <sub>Nr</sub>	VN	A	Ab	D	G VNA <sub>Pi</sub>	AbD <sub>Pi</sub>		G <sub>Pi</sub>	
e-Dek.	X	VN	A	Ab	DG	VNA <sub>Pi</sub>	AbD <sub>Pi</sub>		G <sub>Pi</sub>	
a-Dek.	VN		A	Ab	DG VN <sub>Pi</sub>	A <sub>Pi</sub>	AbD <sub>Pi</sub>		G <sub>Pi</sub>	
o-Dek.	V	N	A	AbD	G VN <sub>Pi</sub>	A <sub>Pi</sub>	AbD <sub>Pi</sub>		G <sub>Pi</sub>	
	a	b	c	d	e	f	g		h	

Paradigmenfelder (funktionale Ordnung)

# Phonological Arguments for Paradigms

- ▶ **Paradigm Uniformity:** Phonological Effects, which are motivated in one part of a paradigm are transferred to other parts of the paradigm where they aren't motivated
- ▶ **Paradigm, Distinctness:** Phonological effects are suppressed, if otherwise distinct paradigm cells would fall together

# Paradigm Uniformity: Albanian Word Stress

	Final V ('midwife')	Final VC ('gander')
Nominative Indefinite	bá.bo	pa.tók
Accusative Definite	bá.bon	pa.tó.kun

- ▶ In nominative-indefinite forms final heavy syllables trigger final stress and final light syllables trigger penultima stress
- ▶ Accusative definite forms inherit the stress position of the nominative indefinite regardless of the phonological structure of the form itself

# Paradigm Distinctness

## Vowel Reduction in Trigrad Bulgarian: Unstressed o → a

- a. /rog+ave/ rógave ‘horns’  
 /rog+ave+te/ ragavéte ‘the horns’
- b. /ok+o/ óka ‘eye’  
 /ok+o+to/ akóta ‘the eye’

No reduction, if this would lead to homonymy (Kenstowicz, 2005)

- | sg. /-o/  | pl. /-a/                |
|-----------|-------------------------|
| a. kláb-a | klab-á ‘ball of thread’ |
| pér-a     | per-á ‘feather’         |
| b. zórн-o | zórн-a ‘grain, seed’    |
| pétal-o   | pétal-a ‘horseshoe’     |
| blág-o    | blág-a ‘blessing’       |

# Paradigm Uniformity under a paradigmatic account

**Underlying:**

babo

babo-n

**Output:**

bábo

bábo-n



# Paradigm Uniformity in a Stratal Architecture

**Root:**

babo

**Stem Level:**

bábo

**Word Level:**

bábo

bábo-n

**Stress Assignment**

**Faithfulness**

# Potentially Decisive Phonological Evidence for Paradigms

- ▶ **Anticyclic Uniformity:**

Phonological properties triggered in derived forms  
are inherited by bases

- ▶ **Idiosyncratic Uniformity:**

Paradigm shape of specific lexemes  
affects paradigm uniformity/distinctness

- ▶ **Split Bases:**

Word forms inherit properties of more than one base

# Anticyclic Uniformity in Nopi Nasal Assimilation

<b>Input:</b> <sen,sen-pu>	SHARE-PLACE (NASAL,STOP)	$\text{IDENT}_{\text{OP}}$	$\text{IDENT}_{\text{IO}}$
<sen, <b>senpu</b> >	*!		
☞ < <b>sem</b> ,sempu>			**
< <b>sen</b> ,sempu>		*!	*

- Trigger in the derived form
- Effect in the basic form

# Opi Nasal-Final Nouns

## Class U Count Nouns

singular	u-kam		
plural	u-ka <b>m-pu</b>		

## Class U Mass Nouns

singular	u-kam	u-lin	u-log
plural			

# Idiosyncratic Uniformity in Nopi Nasal Assimilation

<b>Input:</b> <sen,sen-pu>	SHARE-PLACE (NASAL,STOP)	$\text{IDENT}_{\text{OP}}$	$\text{IDENT}_{\text{IO}}$
<sen, <b>senpu</b> >	*!		
☞ < <b>sem</b> ,sempu>			**
< <b>sen</b> ,sempu>		*!	*

<b>Input:</b> <lin>	SHARE-PLACE (NASAL,STOP)	$\text{IDENT}_{\text{OP}}$	$\text{IDENT}_{\text{IO}}$
☞ <lin>			
<lim>			*!*

# Split Bases in French (Steriade, 1999)

	prochain	arrêt	'next-MASC stop'
<b>Dialect 1</b>	[pʁɔʃɛ̃n]	[aʁɛ]	
<b>(Dialect 2</b>	[pʁɔʃɛ̃n]	[aʁɛ])	

Base 1	Base 2	"Derived" Form
MASC. Non-liaison [pʁɔʃɛ̃]	FEM. Non-liaison [pʁɔʃɛ̃]	MASC. Liaison [pʁɔʃɛ̃n]