



*Dipartimento di Ingegneria Chimica Mineraria e  
Tecnologie Ambientali - DICMA*

*Alma Mater Studiorum Università di Bologna –  
Bologna, Italy*

# Fickian and non-Fickian Diffusion in Solid Polymers

**Giulio C. Sarti**



From Bologna: **diffusion** of tortellini



**diffusion** of mortadella





## **Alma Mater Studiorum - Università di Bologna, Bologna, ITALY**

### ***Diffusion of the university system***

***UniBO is proud of being the oldest University in western world:***

***In operation since 1088***

***In 1988 has celebrated the 9th Centennial of its life***

***Copernicus, Galilei, Galvani, Malpighi were among  
Bologna's Scholars***





# OUTLINE

- ***Fickian diffusion***
  - *non swelling penetrants*  $\Rightarrow$  *no relevant deformations and no stresses*
  - *swelling penetrants*  $\Rightarrow$  *deformations and stresses are induced*
    - a) *how to measure stress effects*
    - b) *how to calculate the stress field*
  
- ***Non-Fickian Transport***
  - *Effects of swelling and of stresses*
  - *Structural changes and relaxation*
    - *Effects of temperature*
    - *Effects of activity difference*
    - *Effects of pre-history*
    - *Effects of sample dimensions* $\Rightarrow$ 
  - a) *Anomalous diffusion*
  - b) *Two stage sorption*
  - c) *Case II Transport*
  - d) *Super-Case II transport*



# OUTLINE

- ***Modeling Fickian Transport***
  - *non swelling penetrants  $\Rightarrow$  nothing special*
  - *swelling penetrants  $\Rightarrow$  deformations and stresses must be calculated*
    - *Elastic (and viscoelastic) case*
- ***Modeling Non-Fickian Transport***
  - *Lumped models*
    - *Localized swelling (with & without differential swelling stresses)*
    - *Viscoelastic diffusive flux*
  - *General models*
    - *Based on Mixture theory*
    - *Based on a proper expression of the chemical potential in glasses*
      - *Calculate time dependent BC*
      - *Calculate fluxes depending on concentration and deformation/stress gradients*



## Acknowledgements

Thanks are due to:

Ruben G. Carbonell-NCSU

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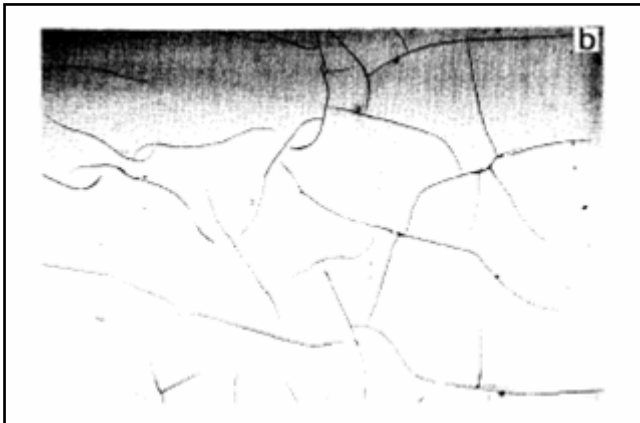




## Penetrants can generate swelling and stresses

In gases and in liquids diffusion does not build up a stress field  
In solids in general and in polymeric solids in particular stresses are generated by **swelling penetrants**

- **Crazes** and even **cracks** can be produced
- Morphological changes are induced



Methanol in PMMA

After Tomas & Windle, *Polymer* 1982



## Effects of swelling and stresses

Swelling and stress fields may affect diffusion

- through morphological changes
- through solubility changes
  - BC
  - Final solubility
- diffusivity dependence on stress
- through stress dependence of the flux

The viscoelastic nature of the polymer introduces relaxation times in the response, which affects the transport process

Qualitative interpretation is based on diffusion **Deborah number**,  $(DEB)_D$ :

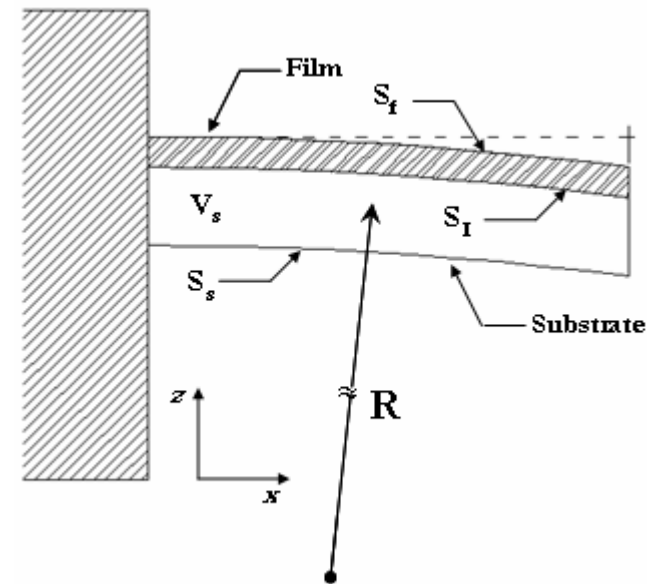
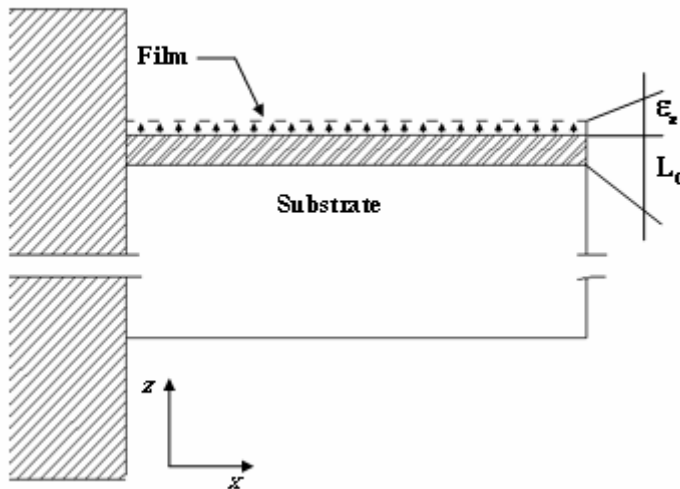
$$(DEB)_D = \tau D_{12} / l^2$$





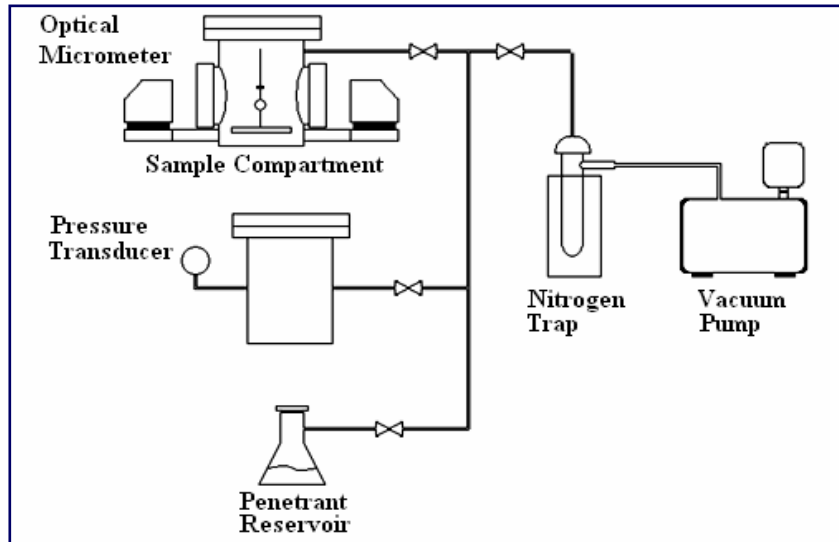
# How can stresses be measured

- birefringence
- bending cantilever





# How can stresses be measured



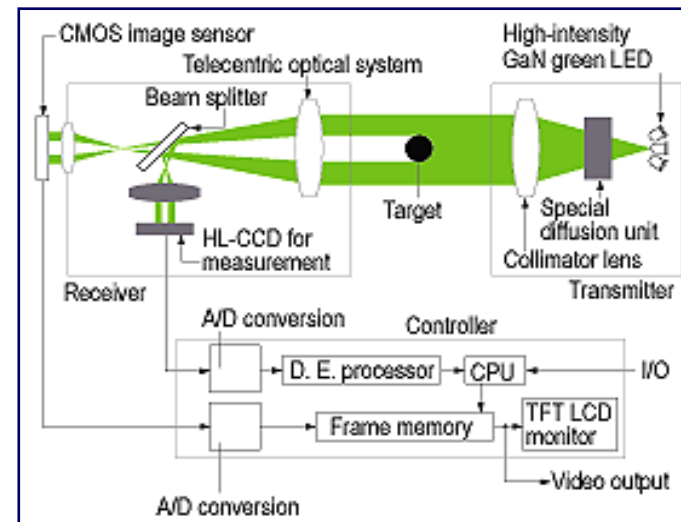
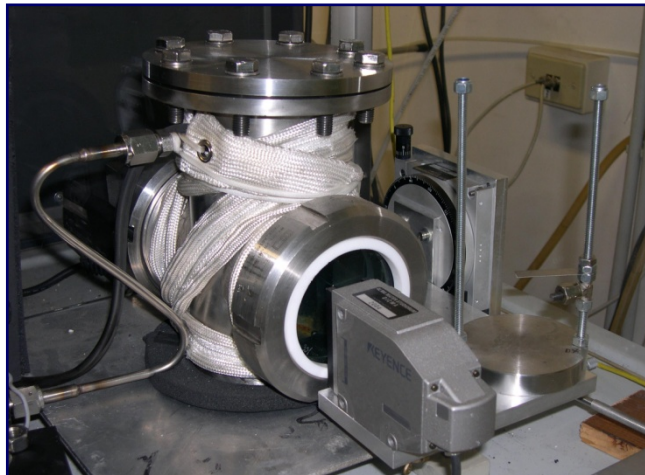
$$P_{\max} = 8 \text{ bar}$$

$$T_{\max} = 200^{\circ}\text{C}$$

Deflection measured through an optical micrometer  
(Keyence LS7030M)

Precision =  $\pm 1 \mu\text{m}$

Reproducibility =  $0.15 \mu\text{m}$





## How can they be described

$$\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial z^2}$$

$$c = c_{eq} \quad \forall P(x, y, z) \in S_f, \quad \forall t$$

$$\nabla c \cdot n = 0 \quad \forall P(x, y, z) \in S_f, \quad \forall t$$

$$c = 0 \quad \forall P(x, y, z) \in V_f, \quad t = 0$$

Swelling condition

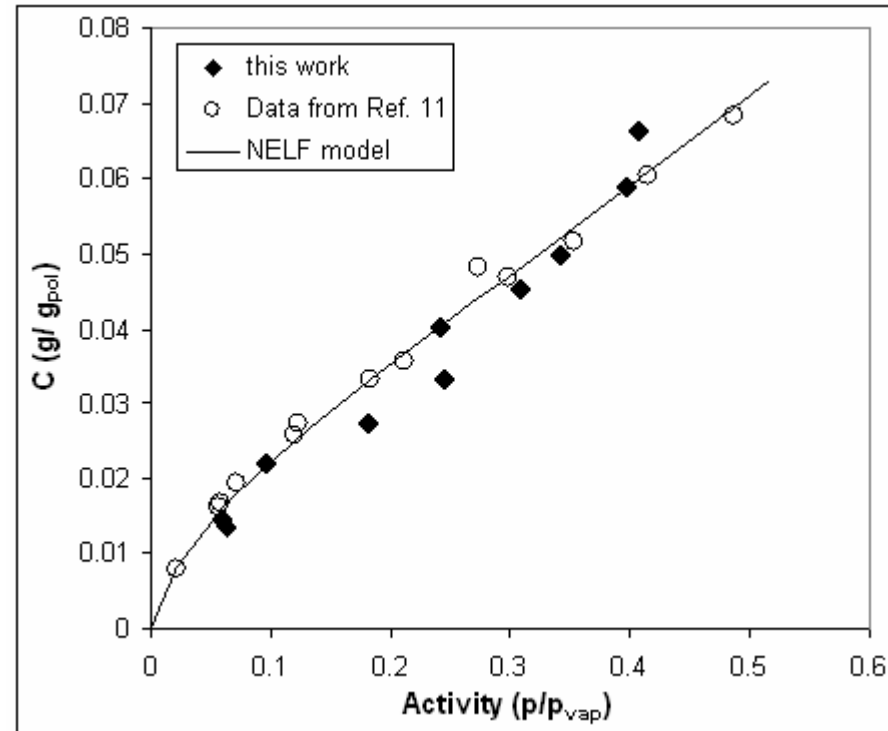
$$\varepsilon_i^c = \beta(c)c$$



## How can they be described

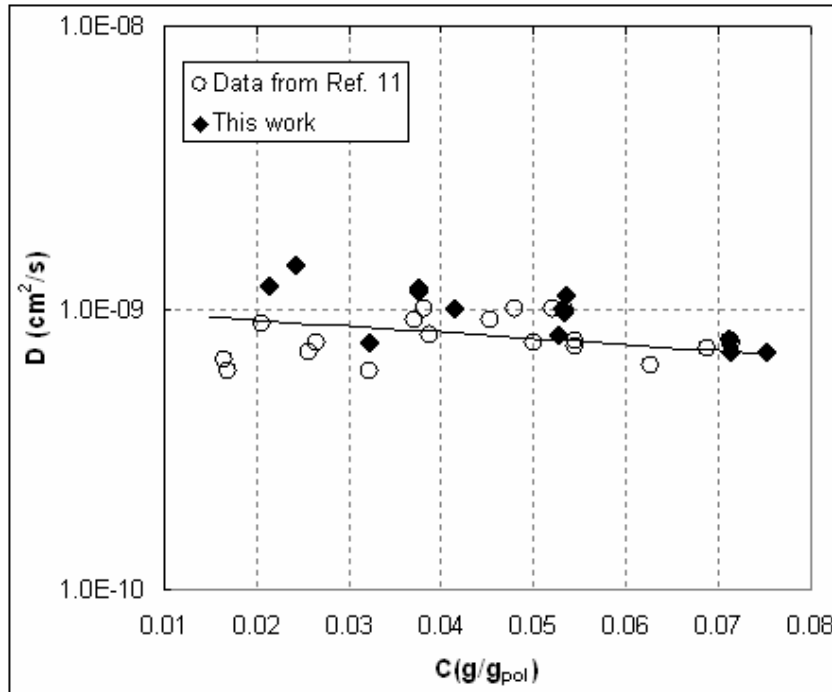
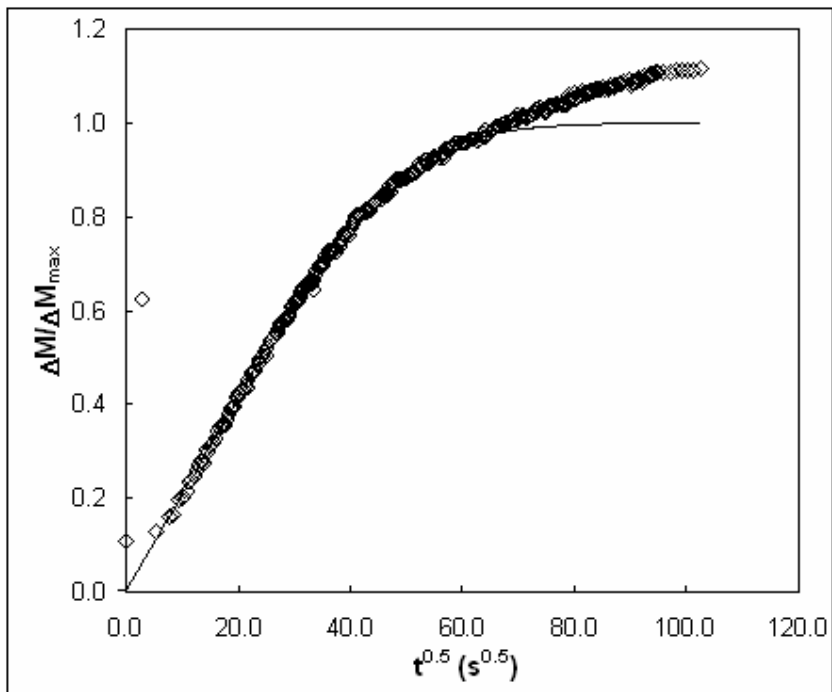
$$\frac{\partial c}{\partial t} = D \frac{\partial^2 c}{\partial z^2}$$

$$\begin{aligned} c &= c_{eq} & \forall P(x, y, z) \in S_f, & \forall t \\ \nabla c \cdot n &= 0 & \forall P(x, y, z) \in S_f, & \forall t \\ c &= 0 & \forall P(x, y, z) \in V_f, & t = 0 \end{aligned}$$





# Independent measurements

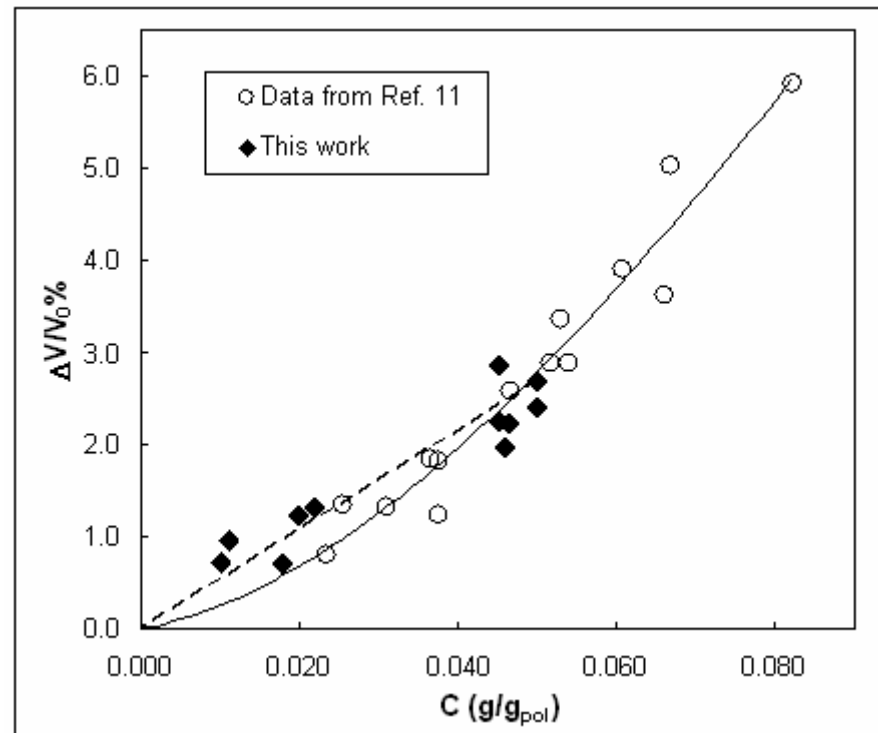




# Solubility Isotherms in Glassy Polymers observed behaviors

Swelling condition

$$\varepsilon_i^c = \beta(c)c$$





## How it can be described - mechanics

Elastic constitutive equation

$$\varepsilon_x - \varepsilon_x^c = \frac{1}{E} [\sigma_x - \nu(\sigma_y + \sigma_z)]$$

$$\varepsilon_y - \varepsilon_y^c = \frac{1}{E} [\sigma_y - \nu(\sigma_x + \sigma_z)]$$

$$\varepsilon_z - \varepsilon_z^c = \frac{1}{E} [\sigma_z - \nu(\sigma_x + \sigma_y)]$$

Internal consistency (laminar condition)

$$\begin{Bmatrix} \varepsilon_x \\ \varepsilon_y \end{Bmatrix} = \begin{Bmatrix} \varepsilon_x^0 \\ \varepsilon_y^0 \end{Bmatrix} + z \cdot \begin{Bmatrix} k_x \\ k_y \end{Bmatrix}$$

Mechanical equilibrium with external forces and external moments

$$\sigma_z = 0$$

$$\mathbf{N} = \mathbf{0}$$

$$\mathbf{M} = \mathbf{0}$$

Cantilever deflection:

$$\delta = \frac{1}{2} \cdot k_x \cdot L^2$$



## Data: from independent source

### Acetonitrile in PC over Al cantilevers

Film thickness		0.0155 mm
Young Modulus	- polymer	2400 MPa
	- substrate	64000 Mpa
Poisson Ratio	- polymer	0.47
	- substrate	0.34
Diffusion kinetic		Fickian diffusion $D = 1.9 \cdot 10^{-9} e^{-28c}$ (3)
Linear swelling		$\beta(C) = 0.175 \cdot c$ (3)
(3) This work, $c$ in $\text{g/g}_{\text{pol}}$ , $D$ in $\text{cm}^2/\text{s}$		

**Substrate: aluminum cantilever (5 x 1 x 0.275 mm)**

**Cast film from a solution of PC in  $\text{CH}_2\text{Cl}_2$**





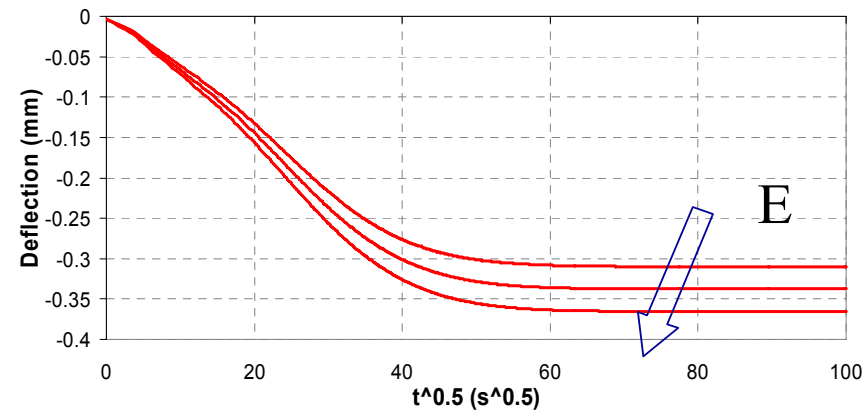
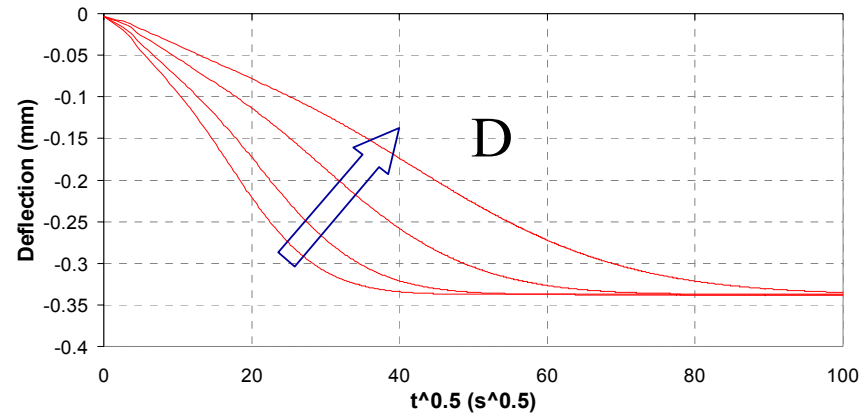
# Layers model: parameters sensitivity

Once we know

- i) the mechanical properties (Young modulus and Poisson ratio),
- ii) the diffusion coefficient (D),
- iii) the concentration profile and the dilation-concentration law

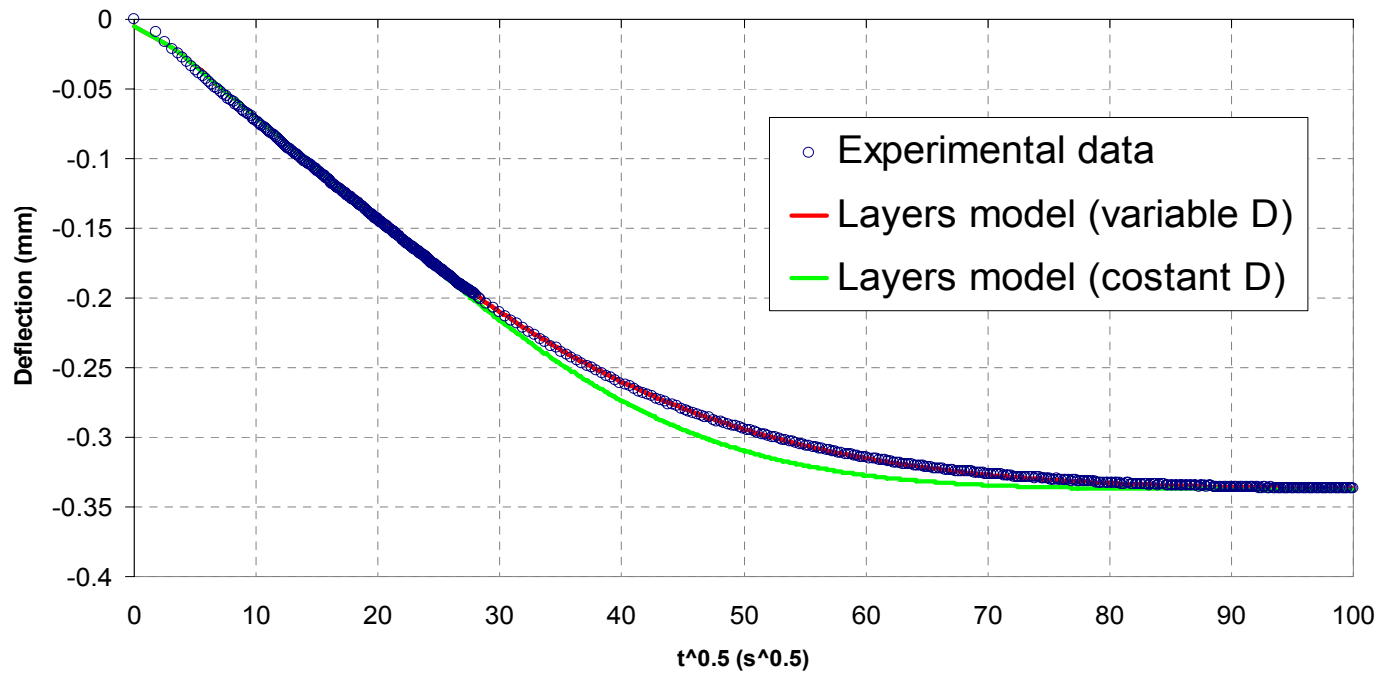
we can calculate:

- **deflection**
- the **stress profile** inside the polymer film.





# Layers model: variable $\mathcal{D}$

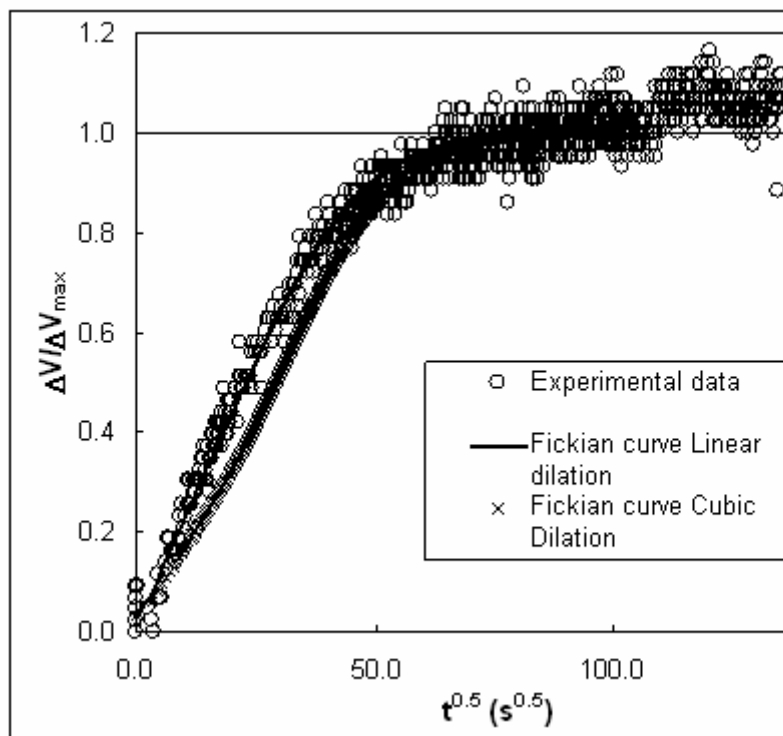


$$D = 0.9e^{-9} \frac{cm^2}{s}$$

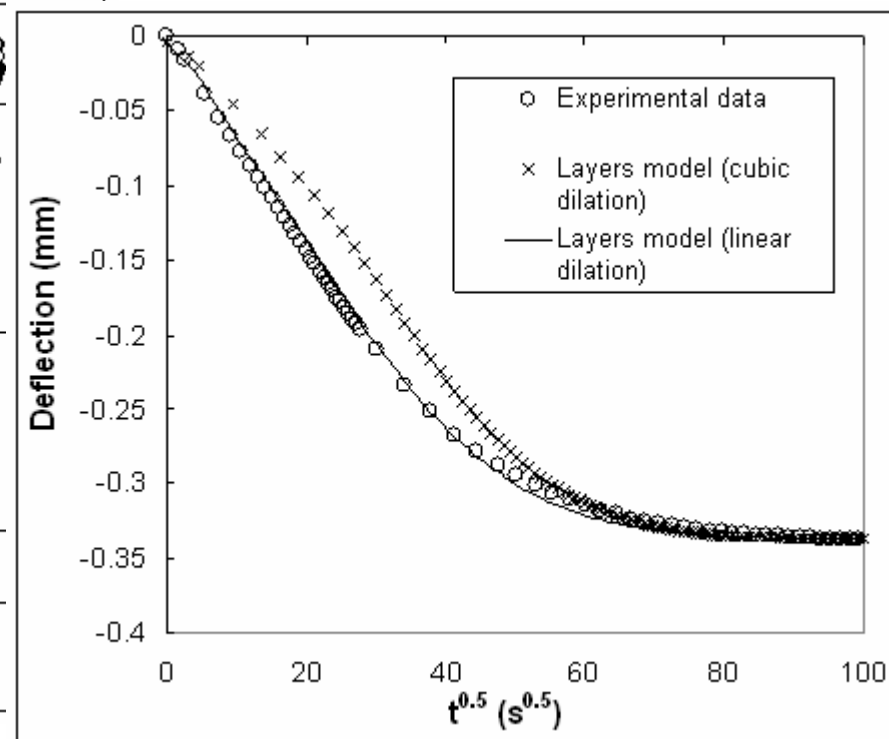
$$D = 1.9e^{-9} \cdot e^{(-C \cdot 28)}$$



## Model predictions vs exp. data



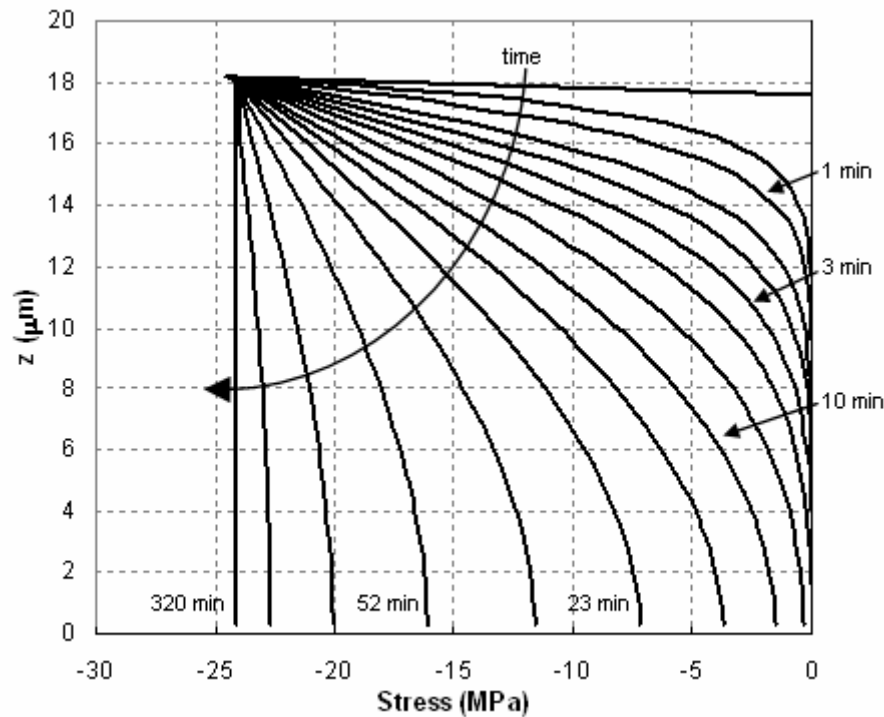
Kinetics of polymer dilation for the system acetonitrile-PC at 40°C experimental data and comparisons with different swelling models



Kinetics of deflection of an aluminum cantilever for an integral sorption run of acetonitrile in PC for an activity jump from 0 to 0.3 at 40°C, sample thickness 16 mm.



## Evolution of stress profiles during sorption



The stress is:

- **compressive**
- $\cong 20 \div 40$  MPa

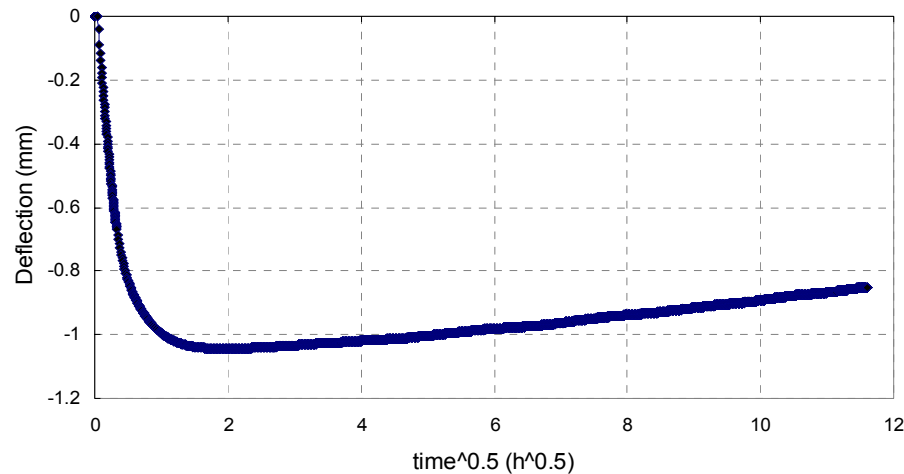
Yield  $\cong 62$  MPa

Time evolution of the stress profile inside a PC film of  $d=18$  mm, during an integral sorption run of acetonitrile up to an activity of 0.20 at  $40^\circ\text{C}$ .



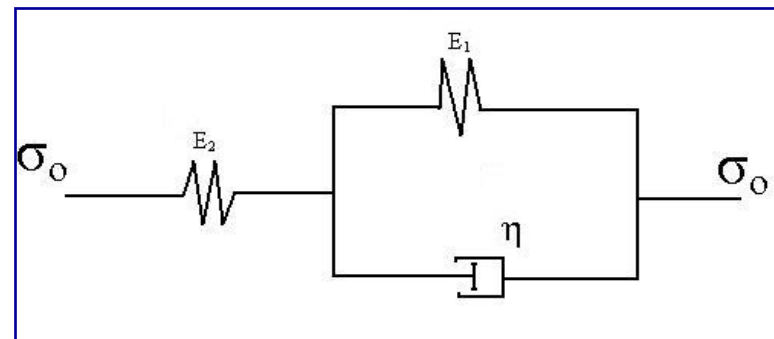
# Deflection relaxation dynamics

Long time experiments reveal a decrease of deflection after a maximum is reached



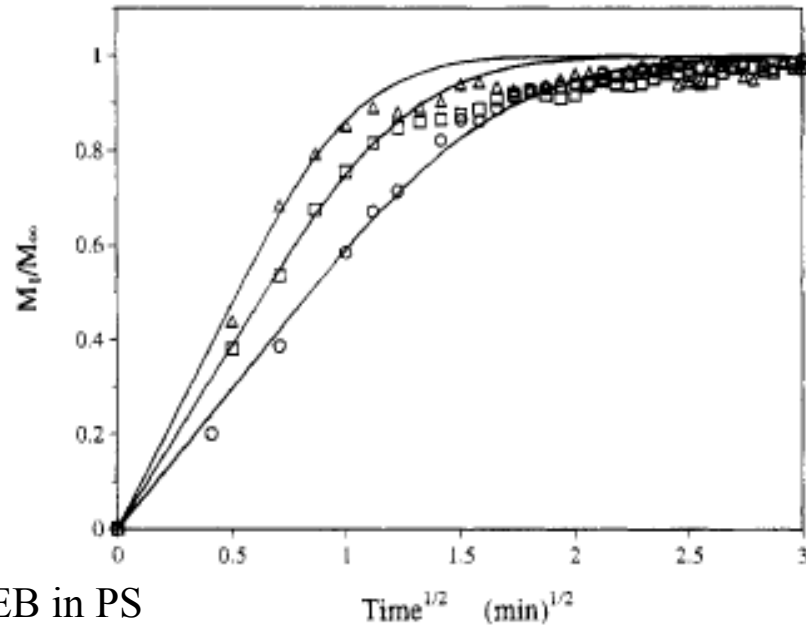
*The phenomena is likely related to a stress relaxation due to the viscoelastic behavior of the polymer.*

*The constitutive equation of viscoelastic materials is being implemented in the mechanical problem*





## Different behaviors observed

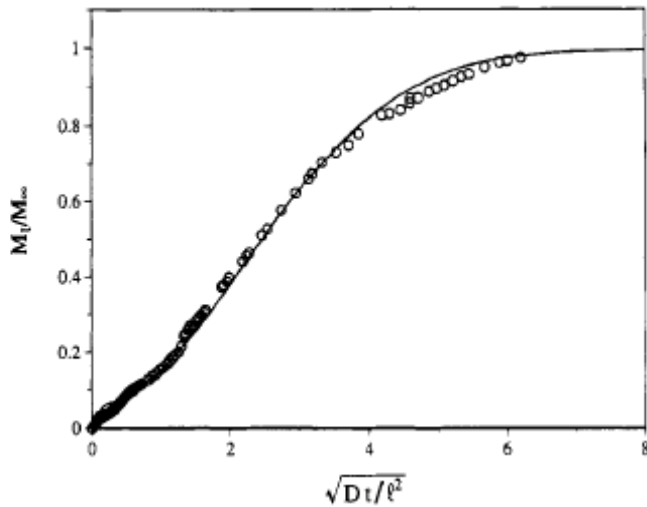


EB in PS

Plot of  $M_t/M_\infty$  vs  $t$  for ( $\omega l = 0.1181$ ), circles, ( $\omega l = 0.1308$  squares), and ( $\omega l = 0.1425$  triangles); all three uptake curves show Fickian characteristics (Billovitis et al Macromol 1994)

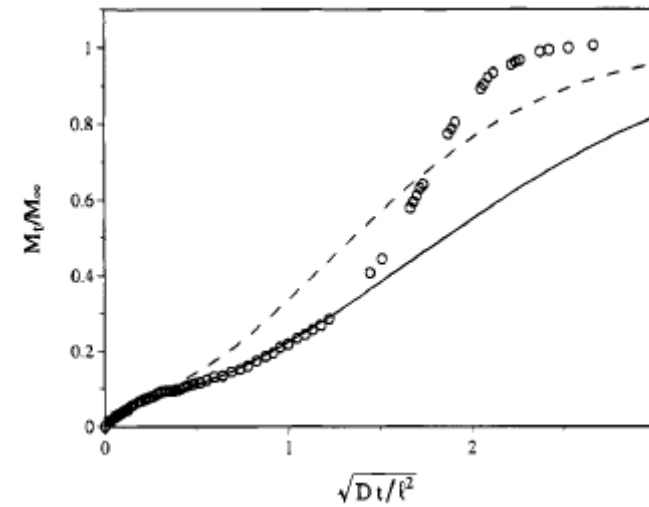


## Different behaviors observed



EB in PS

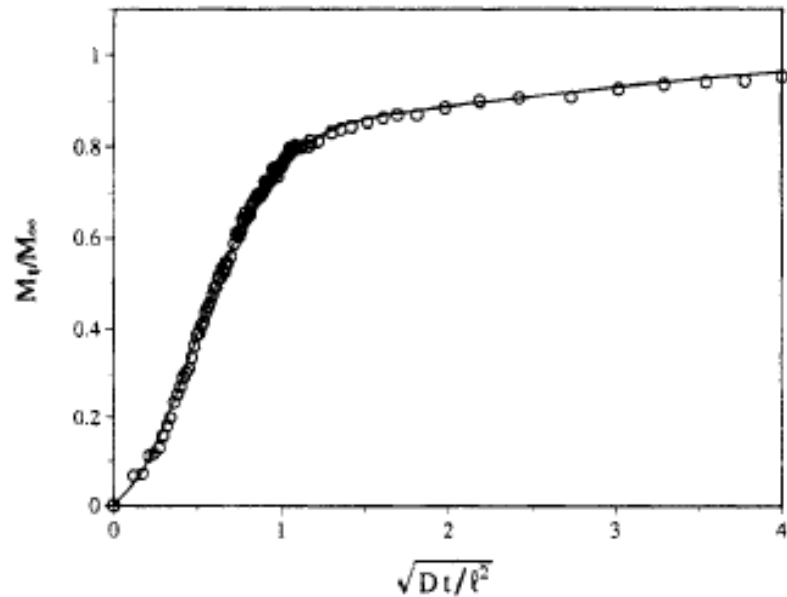
Plot of  $M_t/M_\infty$  vs  $t$  for ( $\omega l = 0.0276$ ), Fickian characteristics (Billovitis et al Macromol 1994)



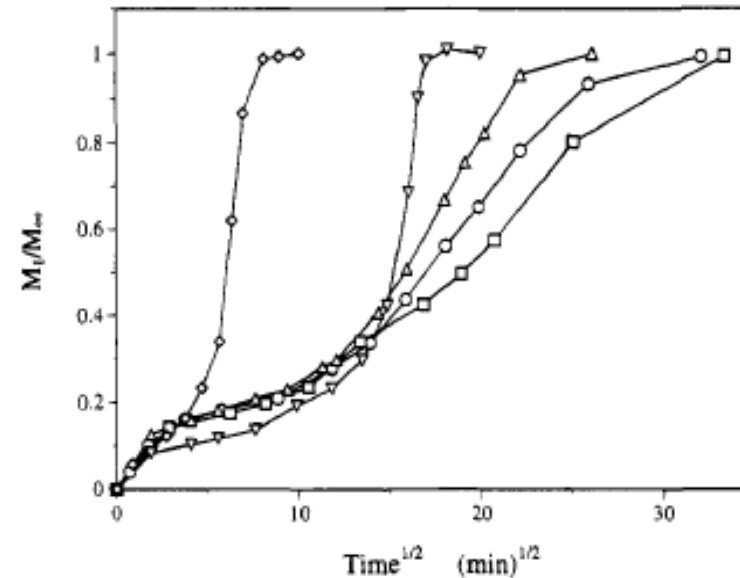
$\omega l = 0.0600$  delta P (torr): 4.0-4.9 glass ; two-stage



## Different behaviors observed



Plot of  $M_t/M_\infty$  vs  $(t^*)$  for  $\omega l = 0.1068$ ,  $P$  from 7.0- to 7.1 torr showing the data and the predictions for two Maxwell elements  
After Billovitis et al 1994

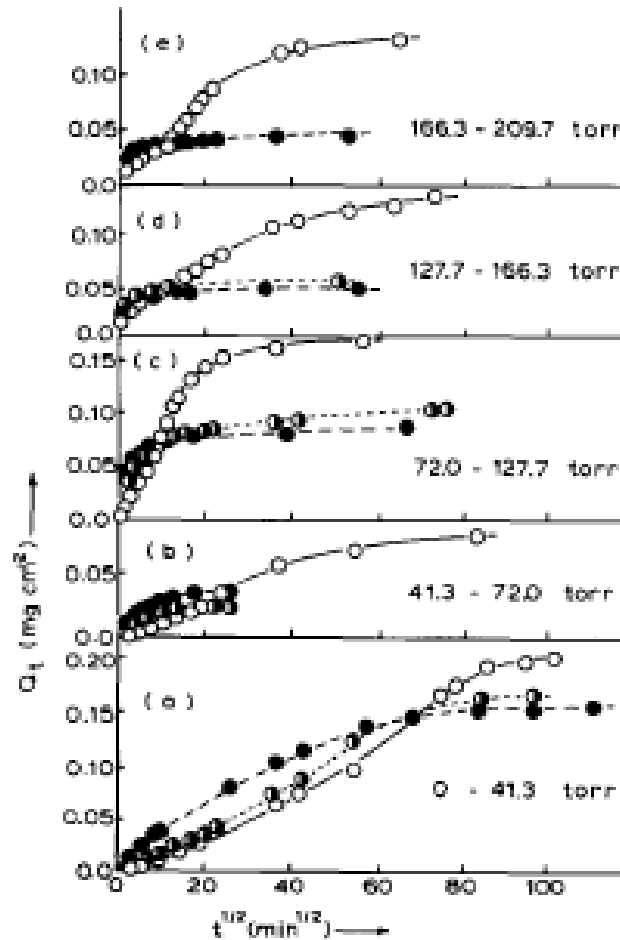


Differential sorption data<sup>33</sup> for polystyrene/benzene at 25 °C where the initial pressure is at 47.5 Torr and the final pressures are 53 (□), 55 (○), 56 (△), 59 (▽), and 62 Torr (◇).  
**Effect of activity jump**





## Different behaviors observed



Series of successive sorption kinetic runs on membrane M-59. Absorption:  $\circ$ ; desorption:  $\bullet$ ; *resorption*:  $\textcircled{\bullet}$ . An absorption-desorption-resorption cycle was performed at each step. After Sanopoulou and Petropoulos, J. Polym. Sci. B 1995



## Different behaviors observed

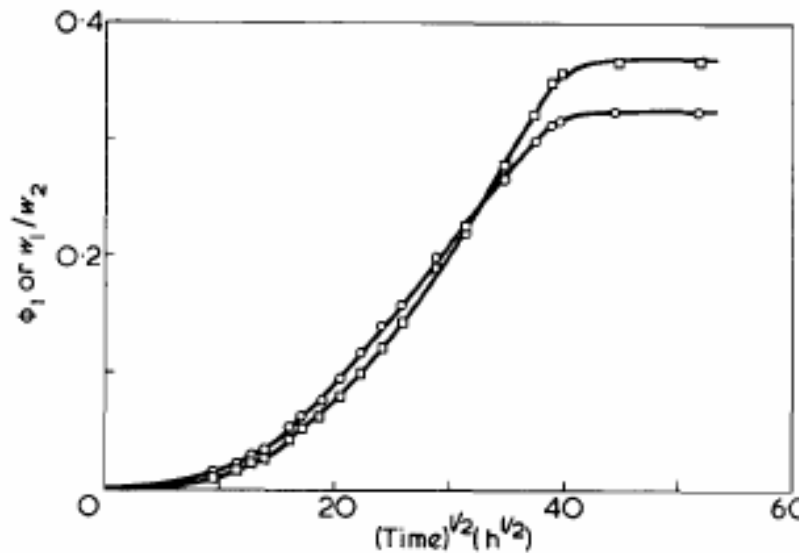


Figure 1 Anomalous Fickian kinetics of n-propyl alcohol absorption in poly(methyl methacrylate) sheets. [Volume fraction or weight ratio,  $w_1/w_2$ , as a function of  $t^{1/2}$  ( $T = 318 \text{ K}$ )]. Volume fraction  $\phi_1$ ,  $\circ$ ; weight ratio  $w_1/w_2$ ,  $\square$

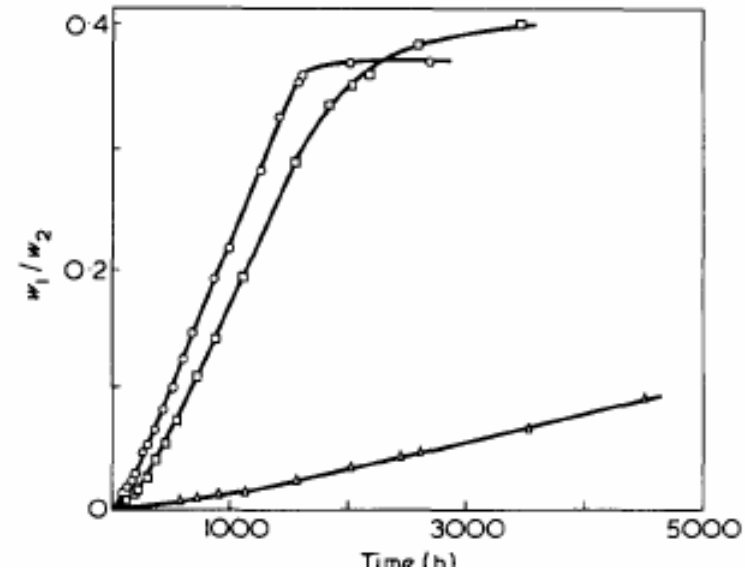
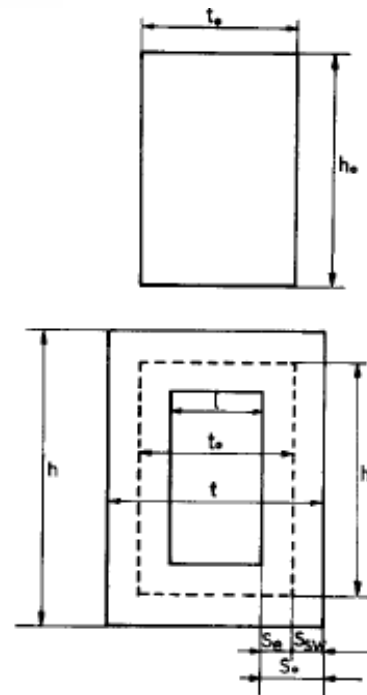
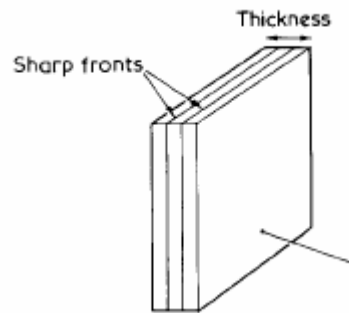
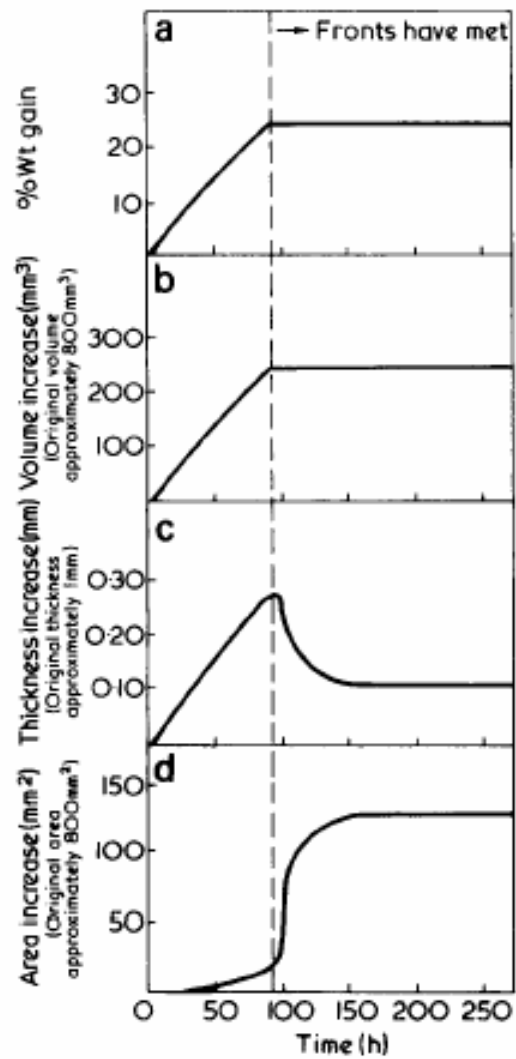
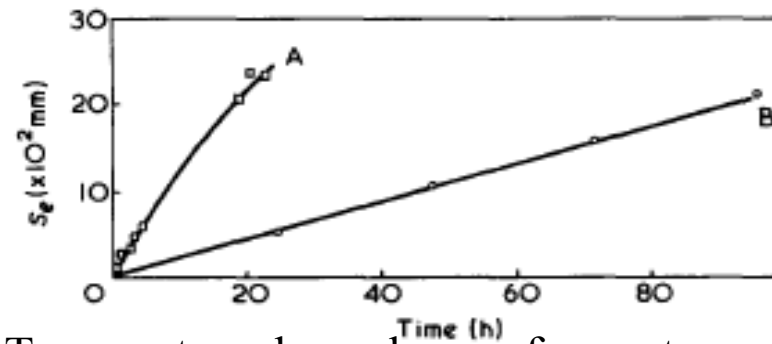
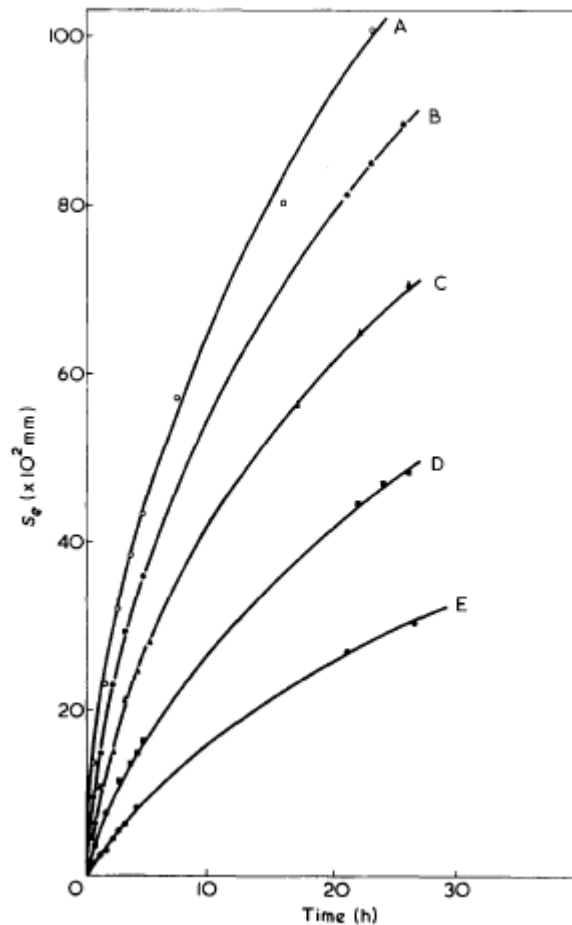


Figure 2 Case II kinetics of n-propyl, i-propyl and n-butyl alcohol absorption in poly(methyl methacrylate) sheets. [Weight of alcohol per original dry sheet weight,  $w_1/w_2$ , versus  $t$  ( $T = 318 \text{ K}$ )]  $\circ$ , n-propyl alcohol;  $\square$ , isopropyl alcohol;  $\triangle$ , n-butyl alcohol



Tomas & Windle Polymer 1978, 19, 255

# Effect of temperature

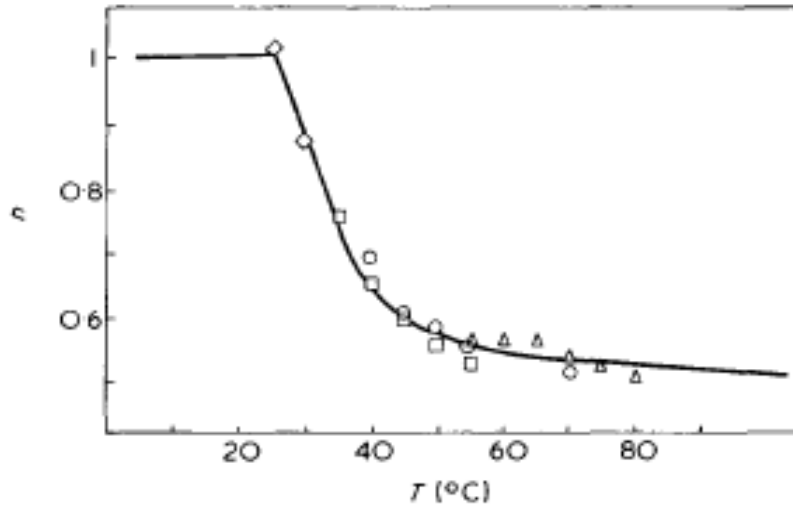


Temperature dependence of n-pentane penetration of polystyrene sheets. A,  $T = 30^\circ\text{C}$ ; B,  $T = 25^\circ\text{C}$

Temperature dependence of n-hexane penetration of polystyrene sheets. A,  $T = 55^\circ\text{C}$ ; B,  $T = 50^\circ\text{C}$ ; C,  $T = 45^\circ\text{C}$ ; D,  $T = 40^\circ\text{C}$ ; E,  $T = 35^\circ\text{C}$ , after Tidone et al., *Polymer* 1977



## Effect of temperature

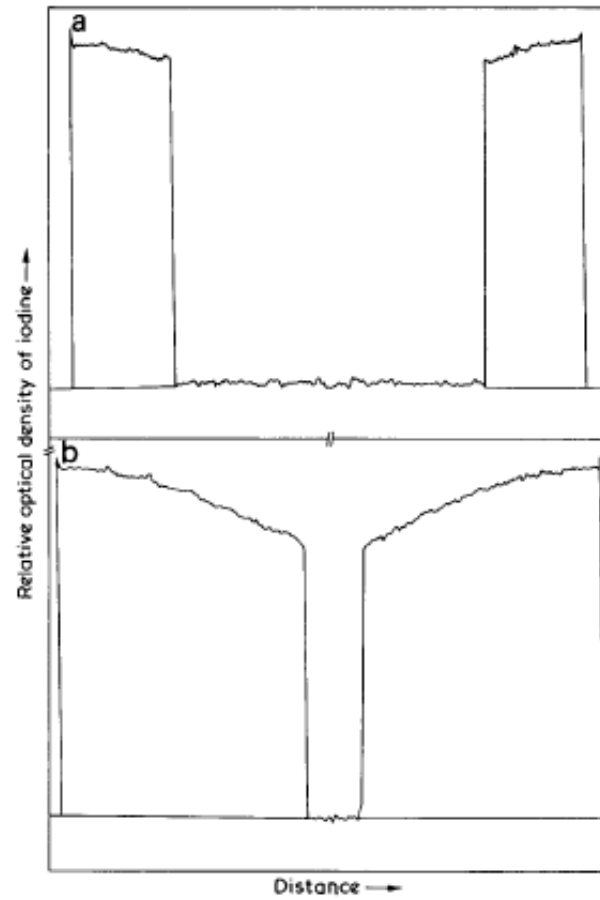
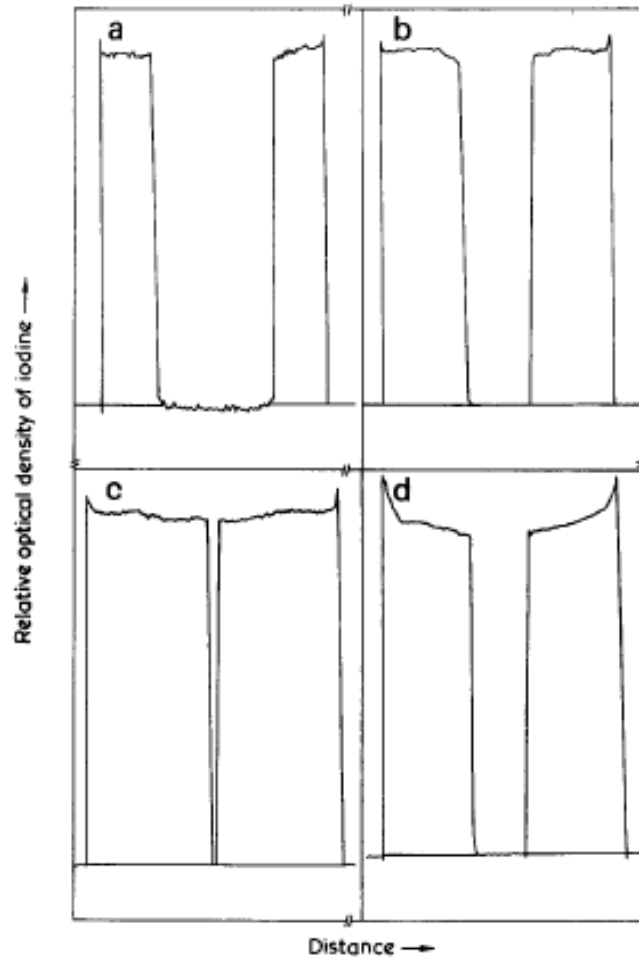


Relationship between exponent,  $n$ , in equation and temperature, describing penetration of the n-alkane series from pentane through octane in polystyrene sheets. (after Tidone et al. Polymer 1977)

$$S_e = at^n$$



# Different behaviors observed

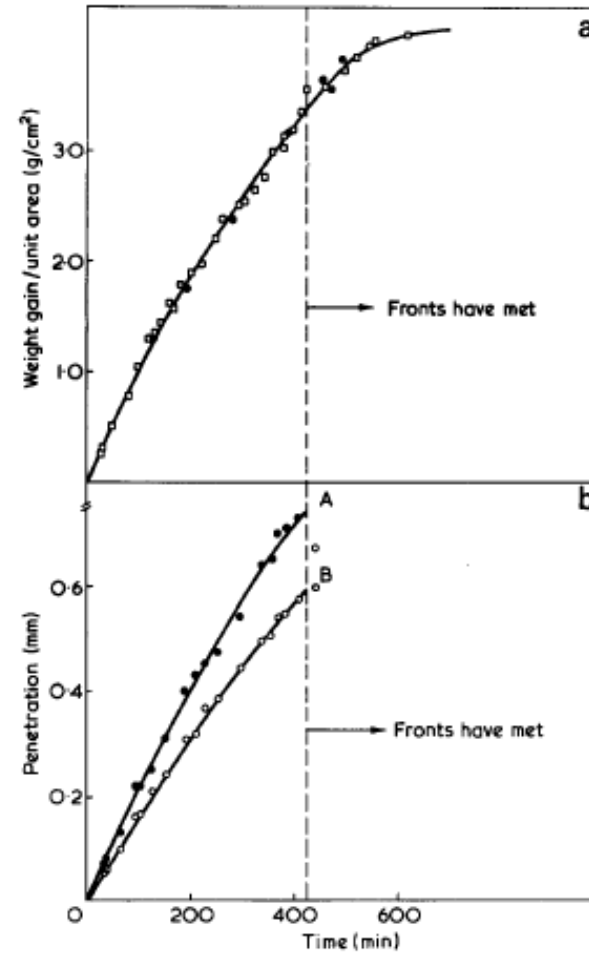
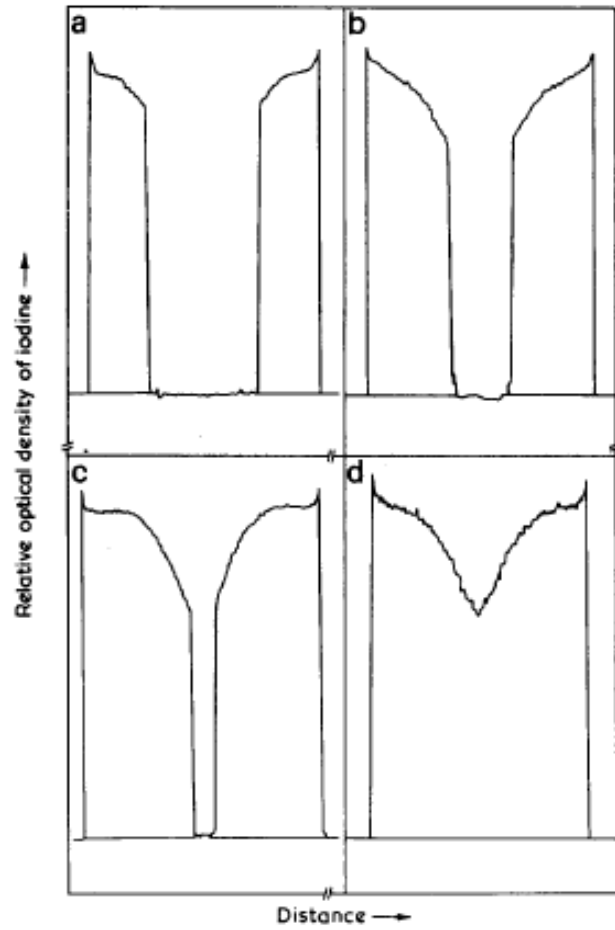


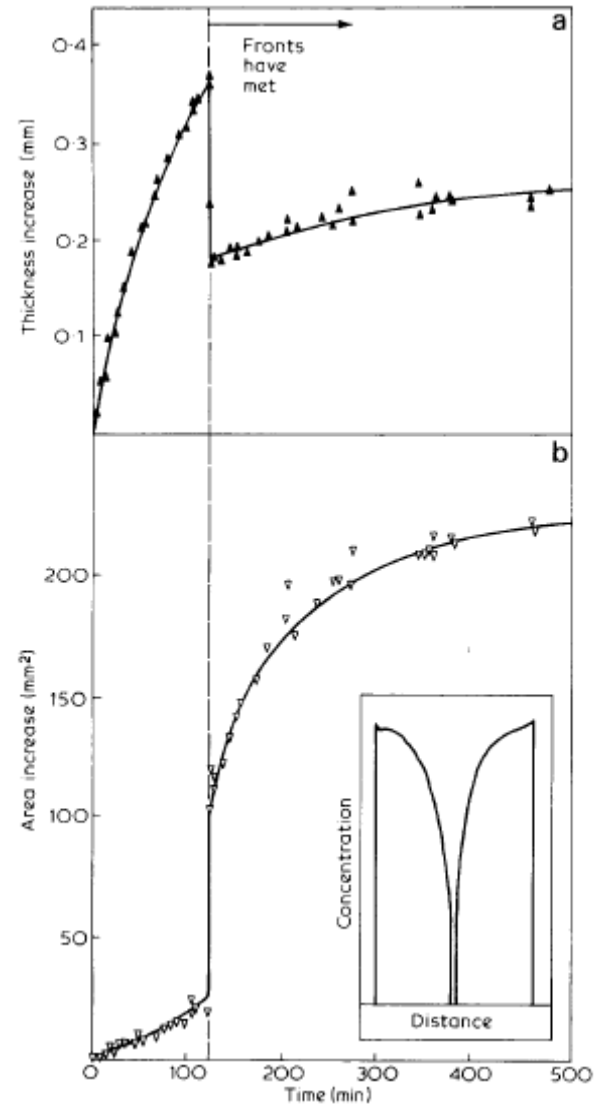
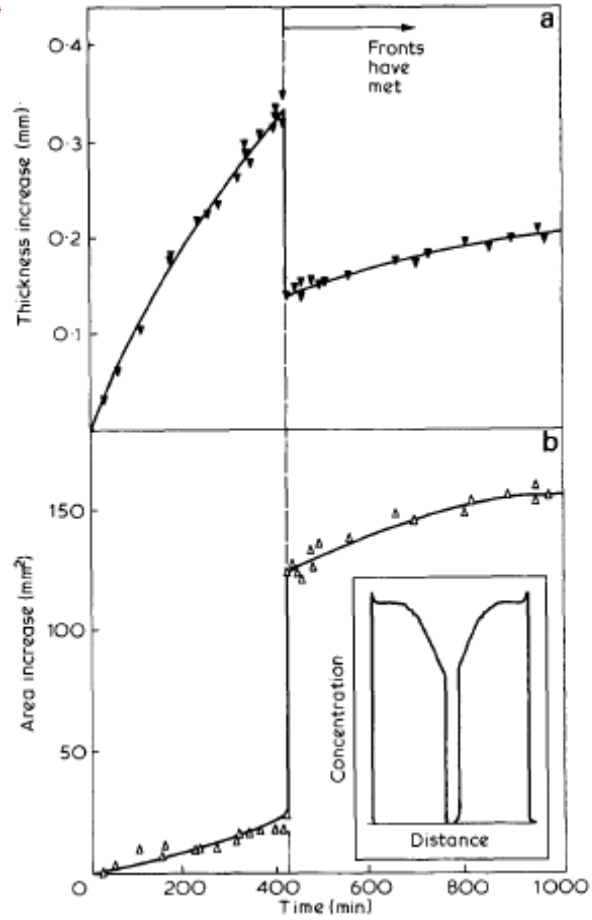
Me-OH in PMMA

Tomas & Windle Polymer 1980



# Different behaviors observed

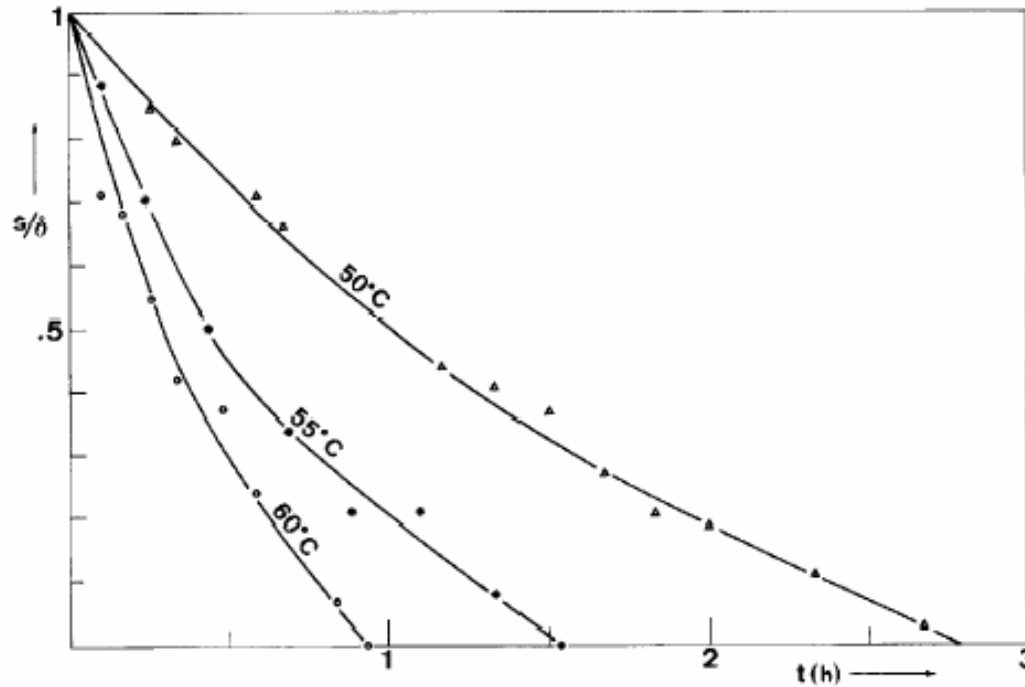








## Different behaviors observed

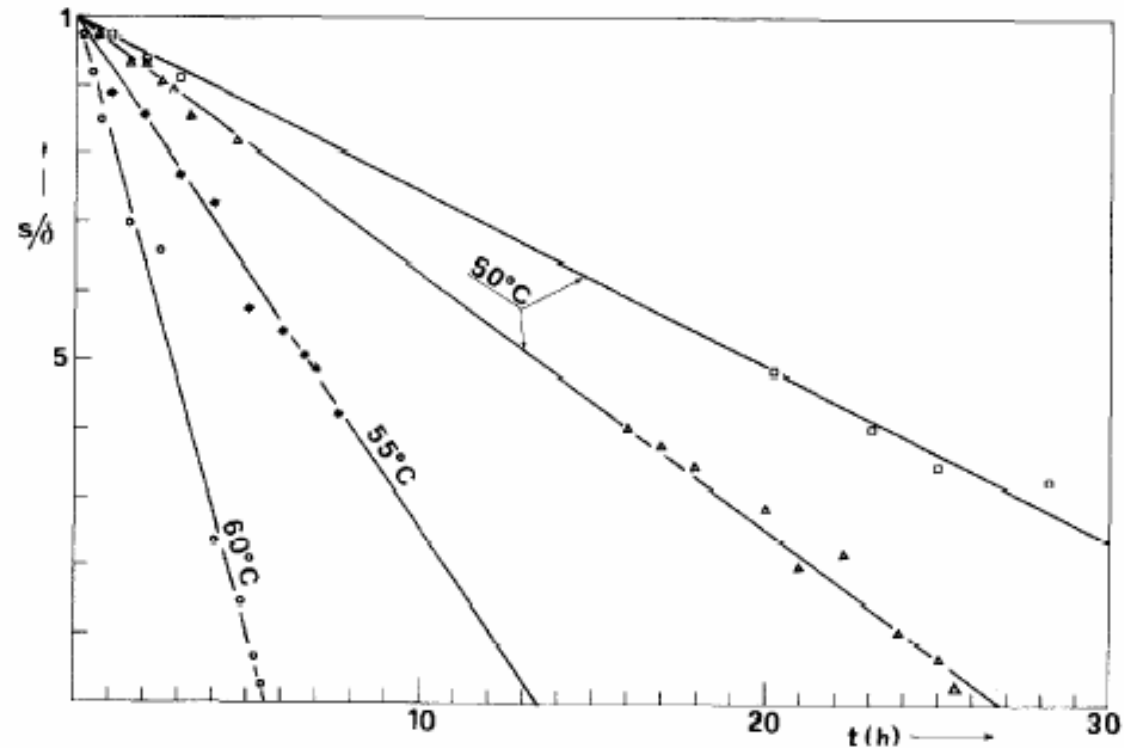


Fractional residual core thickness for methanol sorption in PUMA sheets; 1 mm nominal thickness; as-received samples.

After Masoni Sarti J. Membr Sci 1983



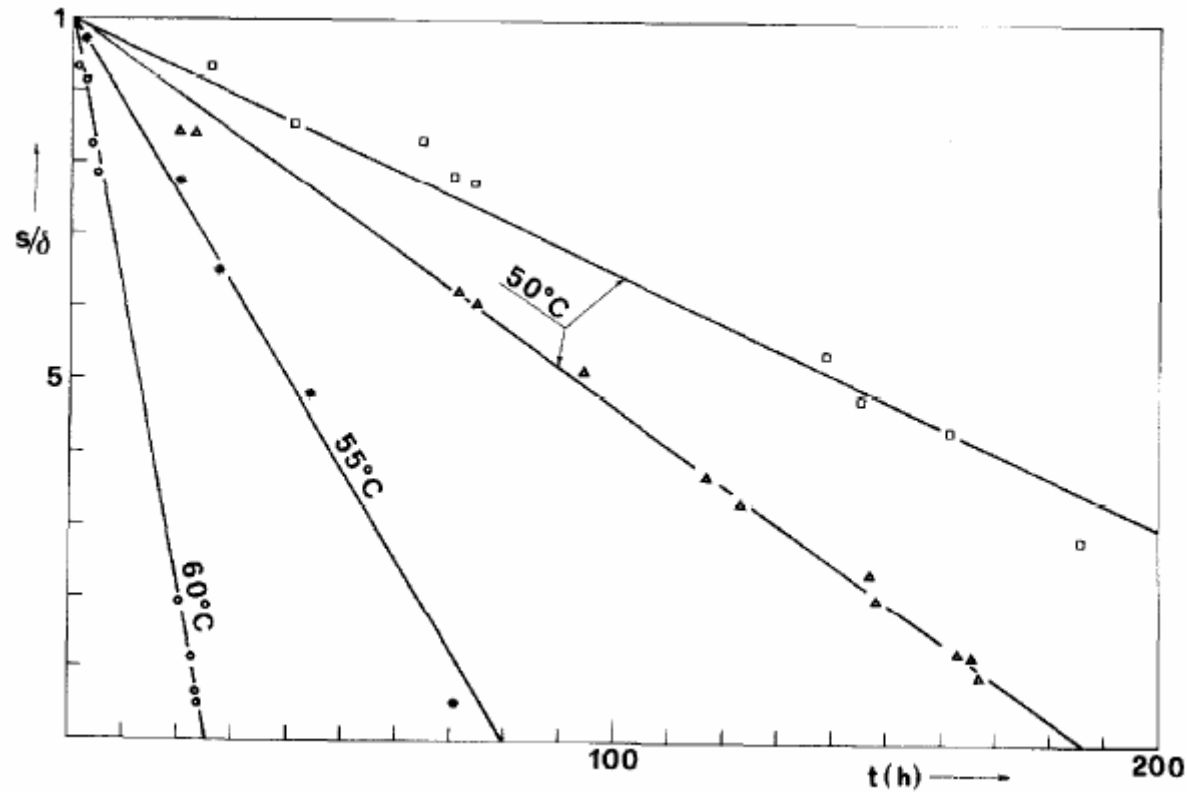
## Different behaviors observed



Fractional residual core thickness for ethanol sorption in PMMA sheets; 1 mm nominal thickness; as-received samples: 50, 55, 60 °C; and samples annealed 24 hr at 100 °C, penetrated at 50°C.



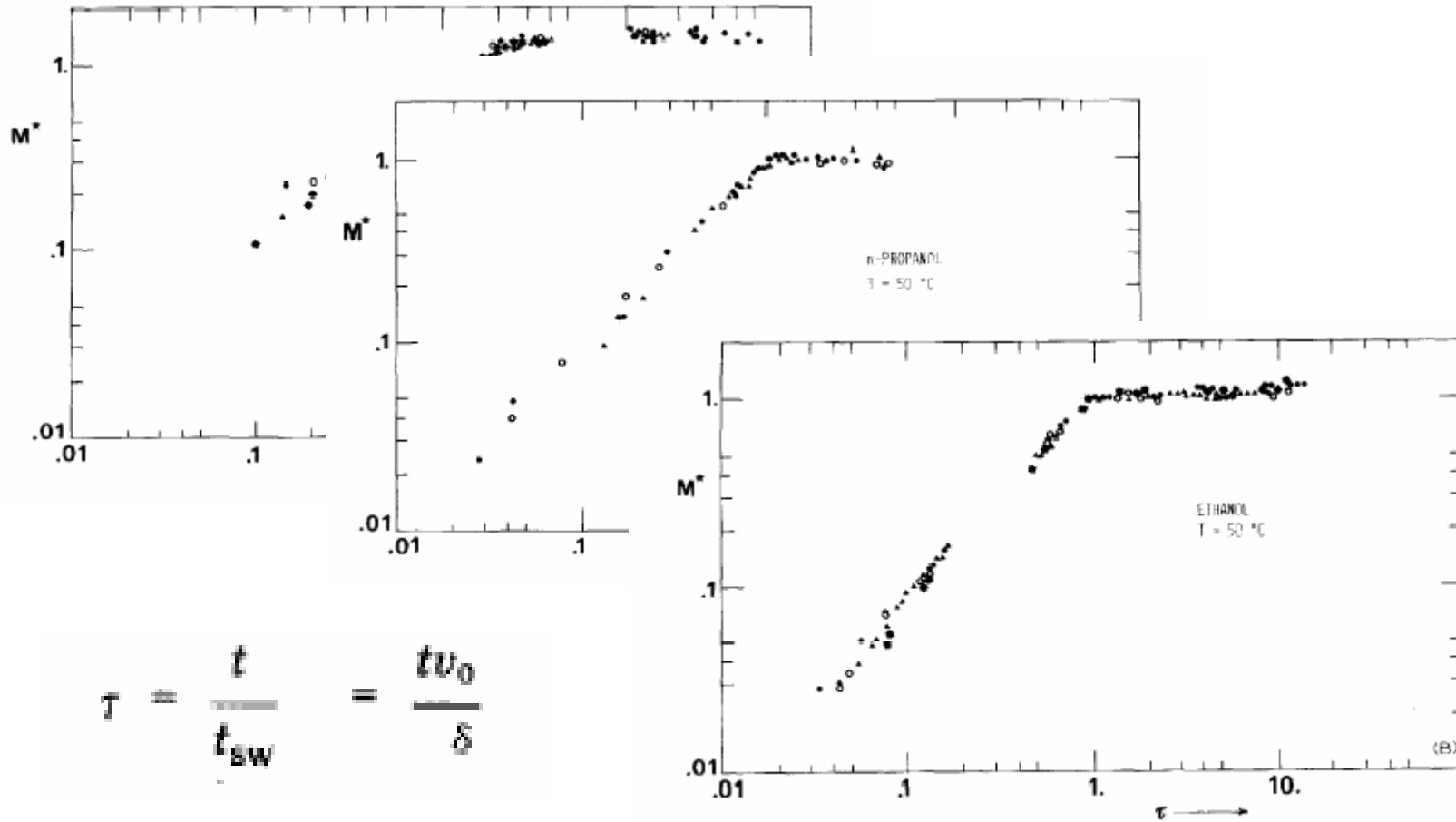
## Different behaviors observed



Fractional residual core thickness for n-propanol sorption in Fractional residual core thickness for ethanol sorption in PMMA sheets; 1 mm nominal thickness; as-received samples: 50, 55, 60 °C; and samples annealed 24 hr at 100 °C, penetrated at 50°C.

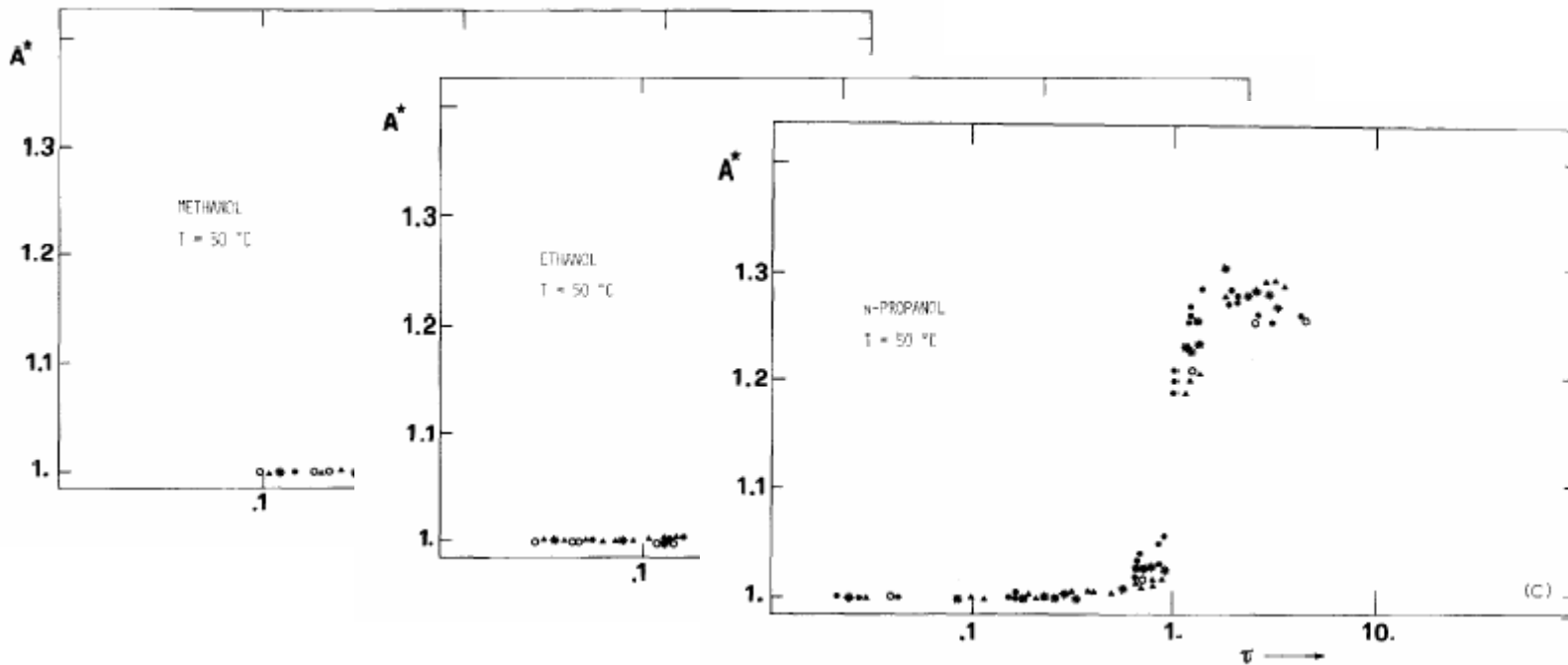


# Different behaviors observed



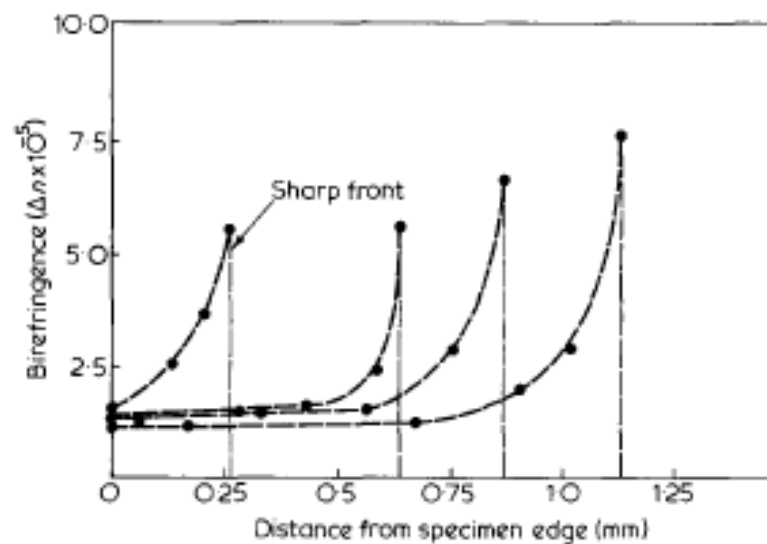


# Different behaviors observed



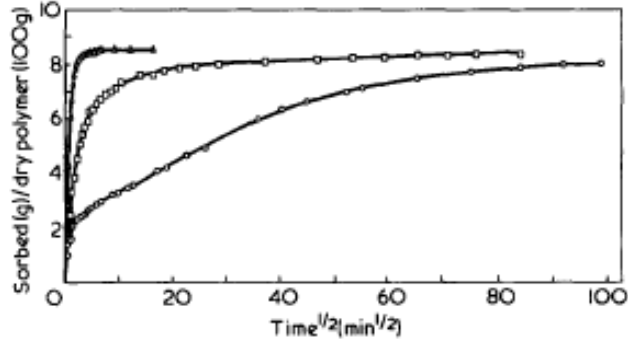


# Penetrants can generate swelling and stresses

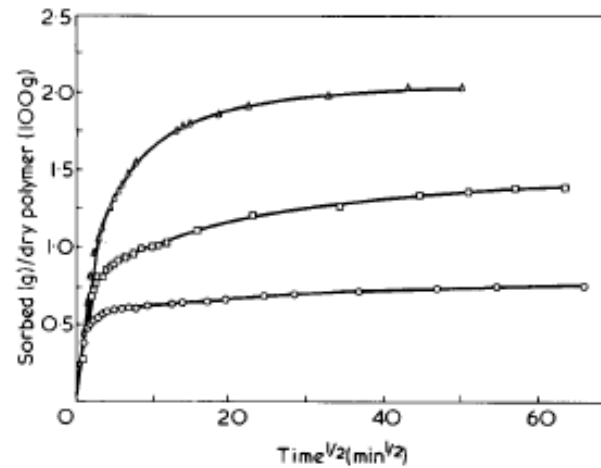




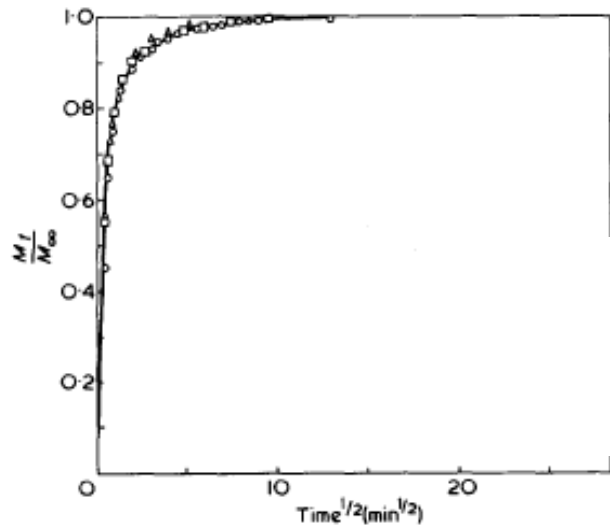
# Effects of prehistory



Comparison of n-hexane sorption in preswollen ( $\Delta$ ), 'as-received' ( $\square$ ), and annealed samples ( $\circ$ ) at  $p/p^0 = 0.75$  and  $30^\circ\text{C}$ . Sorption-cycle 1, polystyrene,  $d = 0.534\ \mu\text{m}$



Comparison of n-hexane sorption in preswollen ( $\Delta$ ), 'as-received' ( $\square$ ), and annealed samples ( $\circ$ ) at  $p/p^0 = 0.10$  and  $30^\circ\text{C}$ . Sorption-cycle 1, polystyrene,  $d = 0.534\ \mu\text{m}$

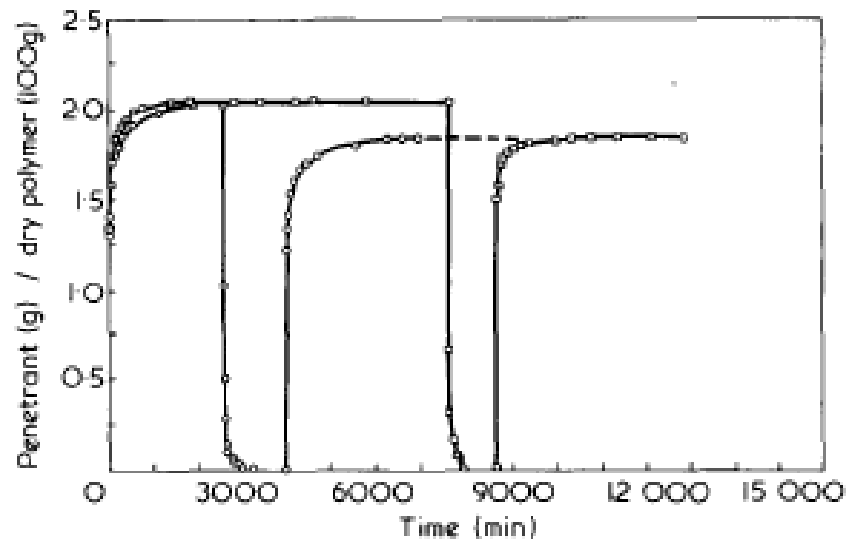


Comparison of n-hexane desorption from preswollen ( $\Delta$ ), 'as-received' ( $\square$ ), and annealed samples ( $\circ$ ) previously equilibrated at  $p/p^0 = 0.75$  and  $30^\circ\text{C}$ . Desorption-cycle 1, polystyrene,  $d = 0.534\ \mu\text{m}$

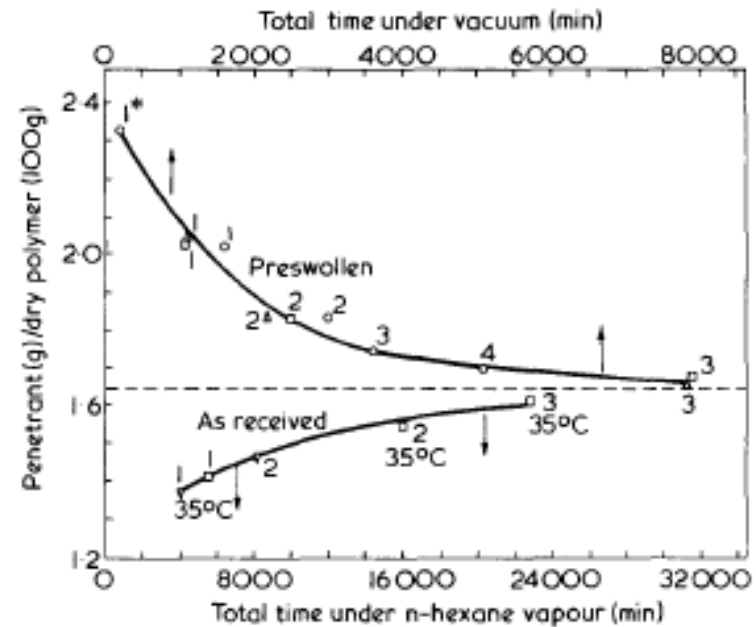
After Hopfenberg et al Polymer 1980



# Effects of prehistory



Comparison of n-hexane resorption in preswollen samples, contacted with n-hexane for various time intervals during the preceding sorption cycle. Resorption was carried out at  $p/p^0 = 0.10$  and  $30^\circ\text{C}$ .  $\circ$ , sample 1;  $\square$ , sample 2. Sorption-cycling, polystyrene preswollen  $d = 0.534 \mu\text{m}$



Effect of cycling on the apparent equilibrium sorption of n-hexane at  $p/p^0 = 0.75$  and  $30^\circ\text{C}$  in preswollen and 'as-received' samples. Sorption-equilibria, polystyrene,  $d = 0.534 \mu\text{m}$ . \* Cycle number



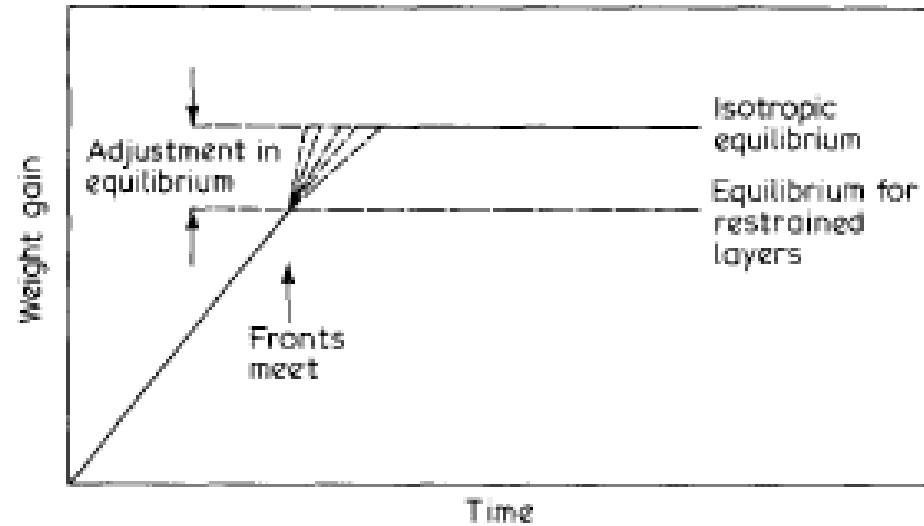


# accelerations

## Effects of film thickness !:

Higher  $\delta$ : no accel

Lower  $\delta$ : larger acceleration





# Modeling

Boundary conditions (solubility and its relaxation)

Localized swelling

Flux dependence on stress and history

lumped models

General models



## Solubility (BC): from NET-GP

### NET GP *General Results*

- *The Helmholtz and Gibbs free energies under **asymptotic pseudo-equilibrium** conditions are uniquely related to the **equilibrium** Helmholtz free energy at the same  $T, V$  and composition ( $T, \rho_1, \rho_2$ ) as :*

$$\hat{A} \equiv \hat{A}_{NEq}(T, p, \rho_1, \rho_2) = \hat{A}_{Eq}(T, \rho_1, \rho_2)$$

- *polymer density  $\rho_2$  is the non equilibrium value measured in the glass*
- *Pressure is **not the equilibrium** value at the given  $T, V$  and composition*

$$\mu_1^{(GP)} = \left( \frac{\partial m \hat{G}}{\partial m_1} \right)_{T, p, m_2, \rho_2} \equiv \left( \frac{\partial \rho \hat{A}_{Eq}}{\partial \rho_1} \right)_{T, \rho_2}$$



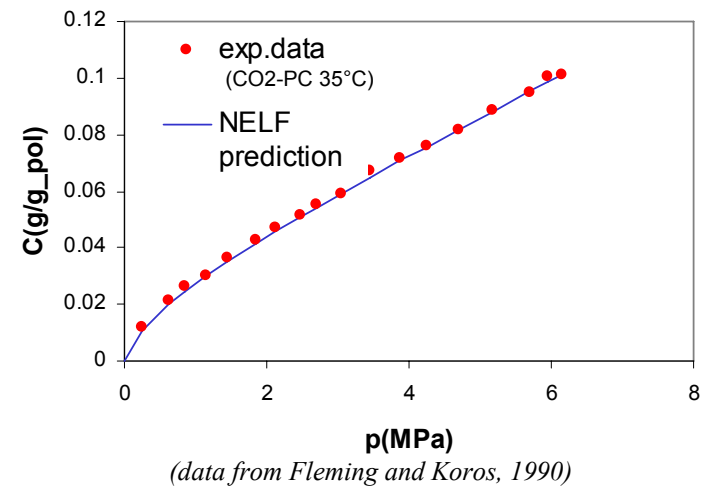
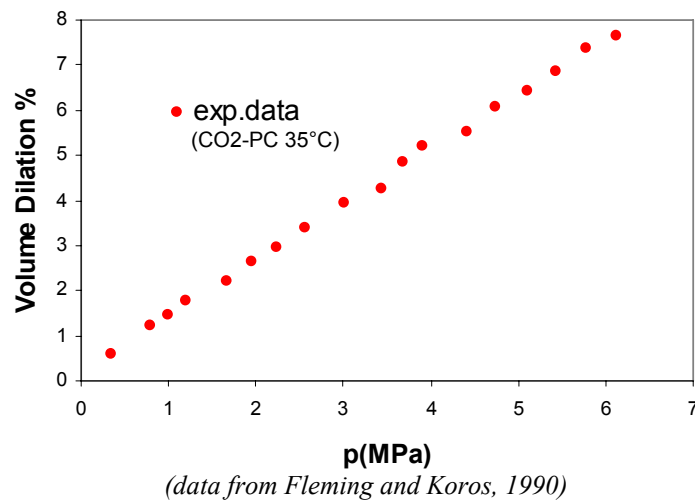
# Solubility Isotherm from Dilation Data .....

*Assume lattice fluid model (SL):*

- *The SL Parameters ( $P^*$ ,  $T^*$ ,  $\rho^*$ ) for both penetrant and polymer*
- *The density of the polymer during the Sorption (e.g Dilation data)*



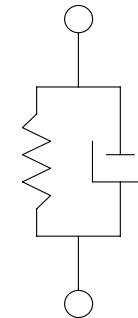
*The NELF gives the Sorption Isotherm*



## Effect of relaxation processes on gas/vapor solubility in glassy polymers: volume swelling model (VS)

Swelling kinetics of polymeric elements induced by sorption processes  
 Volume dilation modeled through simple Kelvin-Voigt model for bulk rheology:

$$\frac{1}{\rho_{pol}} \frac{\partial \rho_{pol}}{\partial t} = \frac{p^{EXT} - p^{EQ}(\Omega, \rho_{pol})}{\eta}$$



solubility data in sorption processes **driven by volume relaxation** phenomena:

**n-hexane in PS @ 40°C**

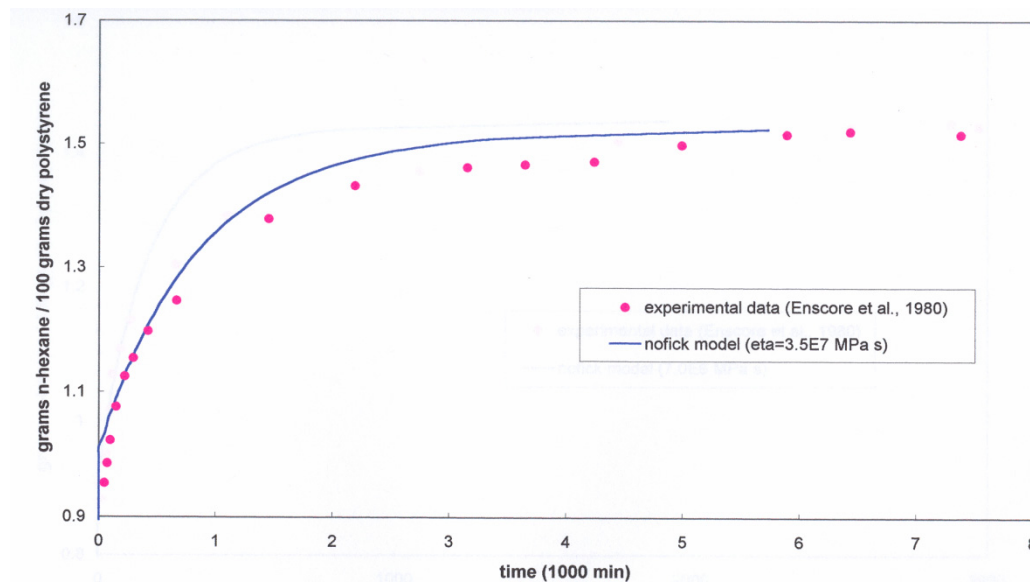
sorption process in microspheres

( $d \approx 0.5 \mu\text{m}$ )

Activity jump  $0 \rightarrow 0.1$

Exp. data from Enscore et al., Polymer 1980

Fitting parameter = bulk viscosity



**Mass transport model for gas sorption in glassy polymeric systems with both diffusion and volume relaxation**

$$\frac{\partial \rho_{sol}}{\partial t} = -\underline{\nabla} \cdot \underline{J}$$

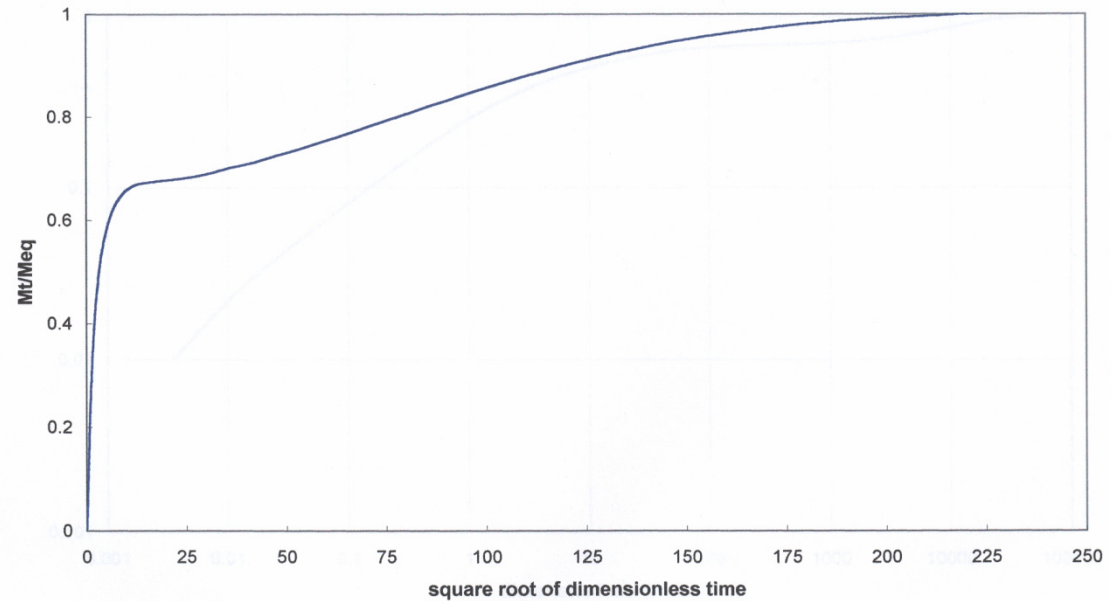
$$\underline{J} = -\mathcal{D} \rho_{sol} \underline{\nabla} \mu$$

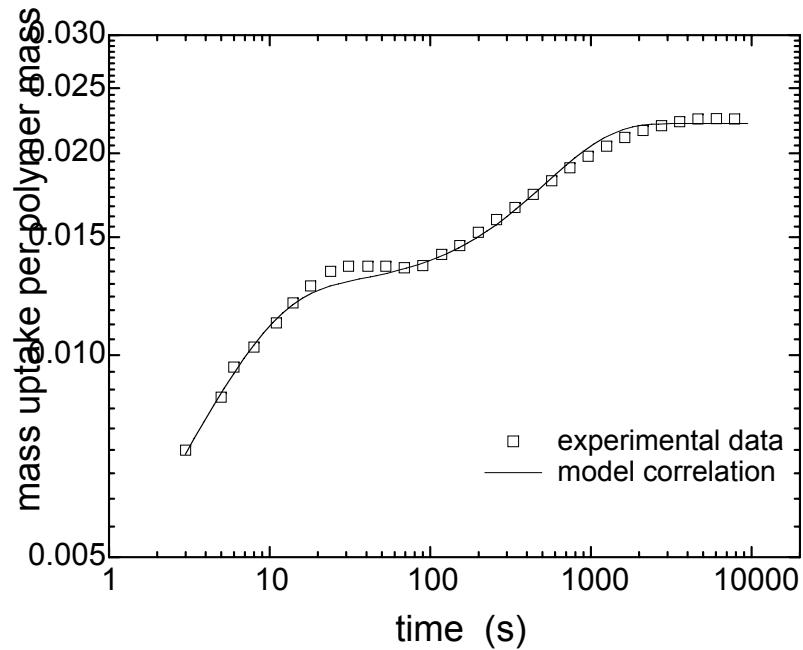
$$\frac{1}{\rho_{pol}} \frac{\partial \rho_{pol}}{\partial t} = \frac{p^{EXT} - p^{EQ}(\Omega, \rho_{pol})}{\eta}$$

Example of simulation results for n-hexane sorption in PS films  
 T= 40°C  
 Film thickness = 1 μm  
 activity jump 0 → 0.1

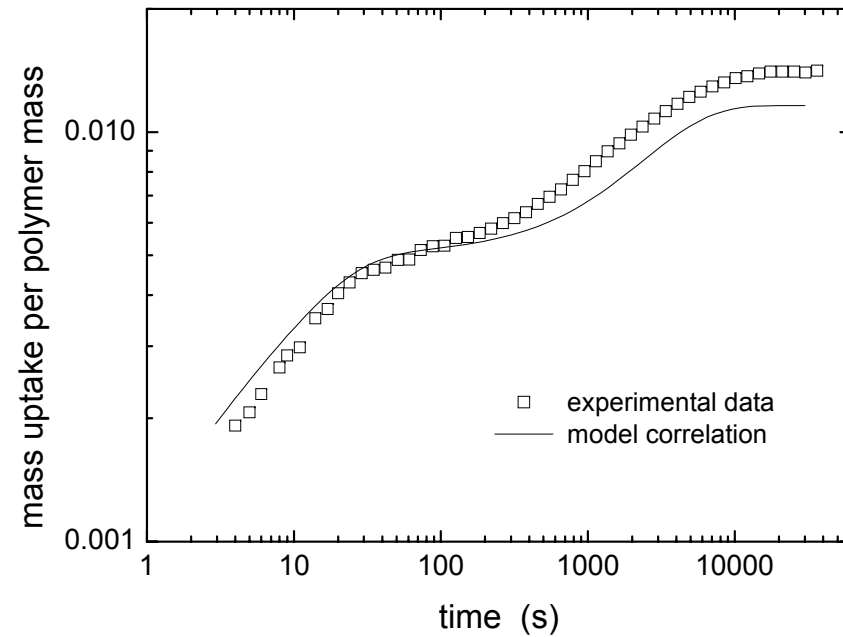
Diffusion coefficient from Vrentas and Duda Free Volume Theory

Bulk viscosity from analysis of relaxation data





Kinetics of CO<sub>2</sub> sorption in PMMA film for sorption step from 12.4 *bar* to 23.4 *bar*. Comparison of experimental values with model results.



Kinetics of CO<sub>2</sub> sorption in PMMA film for sorption step from 25.4 *bar* to 33.1 *bar*. Comparison of experimental values with model results.

## Rate-Type (RT) lumped models for viscoelastic diffusion

$$\frac{\partial \rho_{sol}}{\partial t} = -\underline{\nabla} \cdot \underline{J}$$

$$\tau \frac{\partial \underline{J}}{\partial t} + \underline{J} + \mathcal{D} \rho_{sol} \underline{\nabla} \mu = 0$$

$$\frac{1}{\rho_{pol}} \frac{\partial \rho_{pol}}{\partial t} = \frac{p^{EXT} - p^{EQ}(\Omega, \rho_{pol})}{\eta}$$

Hyperbolic problem accounting for

- a relaxation time  $\tau$  in the flux
- relaxation phenomena in volume swelling and in relax in BC

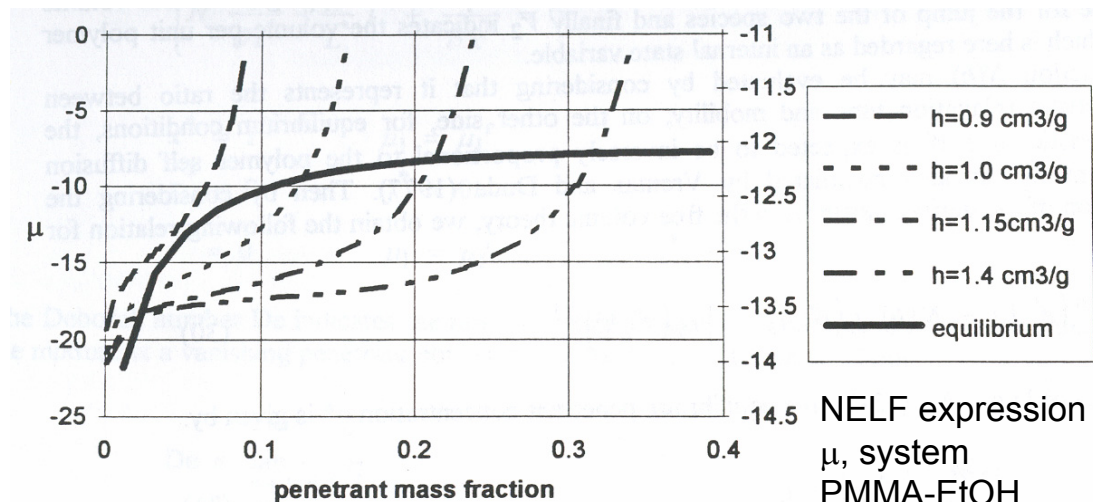
Thermodynamic Analysis for the development of shock concentration waves in the system

Results from the application of 2<sup>nd</sup> law:

Necessary condition for the formation of shock concentration waves is that:

$$\left( \frac{\partial^2 \mu}{\partial \rho_{sol}^2} \right)_{\rho_{pol}} > 0$$

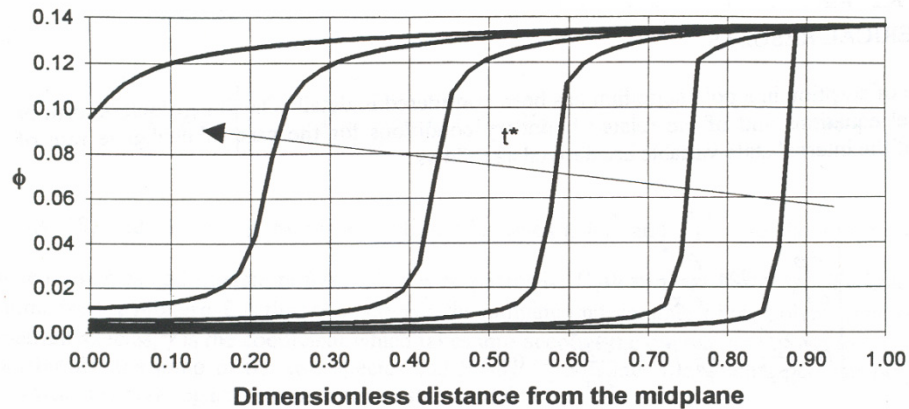
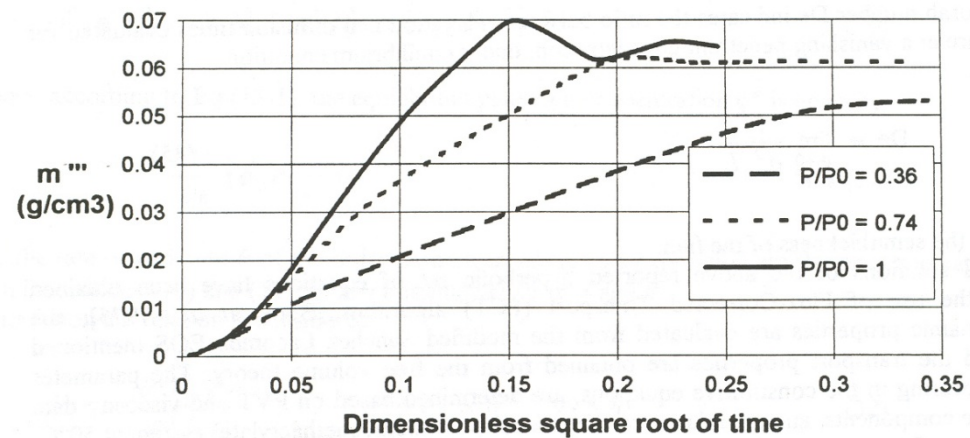
at least in a concentration range





## Example of simulation results for RT sorption model: case of negligible volume swelling

Sorption kinetics for the case of ethanol-PMMA system at 30°C  
 $\rho_{\text{pol}} = 1.10 \text{ g/cm}^3$  e diffusivity exponentially increasing function of concentration:  
 Effect of external solute fugacity



Examples of concentration profiles from simulation of sorption process for ethanol in PMMA ( $De = 30$ )



## More general models

$$\mathbf{j}_2^1 \equiv \varrho_1(\mathbf{v}_1 - \mathbf{v}_2) = \frac{\varrho_1 \varrho_2}{\varrho m_{12}} \left( \frac{1}{\varrho_1} \nabla \cdot \mathbf{T}_1 - \frac{1}{\varrho_2} \nabla \cdot \mathbf{T}_2 \right);$$

Mass balance

+

Mechanical problem  
with viscoelastic response

e.g. Billovitis, *Macromol.* 1994

Caruthers & Peppas *Chem Eng Sci* 1992, 1996

Petropoulos et al *Macromol* 2002

Doghieri et al. 2004, 2005



***THANK YOU FOR YOUR KIND ATTENTION*** <sup>512</sup>