



**HELMHOLTZ
ZENTRUM BERLIN**
für Materialien und Energie

Th. Welzel, K. Ellmer

*Institut für Solare Brennstoffe und Energiespeichermaterialien
Hahn-Meitner-Platz 1, D-14109 Berlin
Email: thomas.welzel@helmholtz-berlin.de*

Ionenverteilungen beim Magnetronspütern verschiedener Metalle in Argon/Sauerstoff

**XVII. Erfahrungsaustausch "Oberflächentechnologie mit Plasma- und Ionenstrahlprozessen"
Mühleithen, 2. – 4. März 2010**

Content:

1. Introduction
2. Experimental
3. Discharge Voltages
4. Mass Spectra
5. Positive Ion Distributions
6. Negative Ion Distributions
7. Summary

Introduction

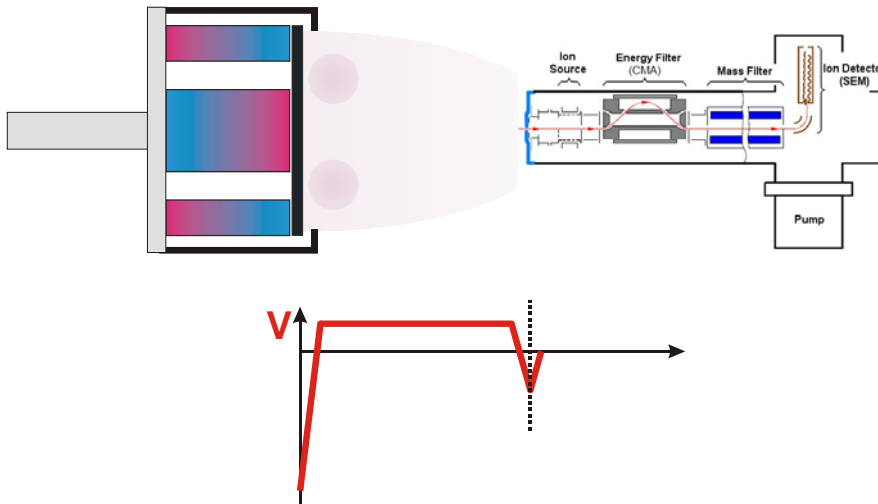
- one of the main advantages of magnetron sputtering:
assistance of the film formation by energetic particles
 - neutrals from the sputtering process
 - electrons from the plasma
 - **ions (positive and negative)**
 - radicals

} high energies due to electric fields
- compound formation by admixture of reactive gas component (O_2 , N_2 , CH_4 , H_2S , ...)
⇒ reactive mode ... particularly high (negative) ion energies

These ion energies may in some cases (e.g. microelectronics, photovoltaics) be too high and detrimental causing radiation damage in the films.
Adjustment/suppression needs knowledge of ion formation mechanisms and energies.

Measurement of Ion Distributions

schematic representation of the measurement setup



mass spectrometer: plasma process monitor PPM 422 (Inficon)

- in place of substrate holder
- 60 mm distance from target
- energy range: 0 ... ± 500 eV
- mass range: 0 ... 500 amu
- entrance orifice ($\varnothing = 1$ mm) at floating potential
- **acceptance angle of few degrees:**
⇒ **only perpendicular incidence**

sputter setup: circular planar magnetron ($\varnothing = 50$ mm), d.c. discharge, $P = 50$ W

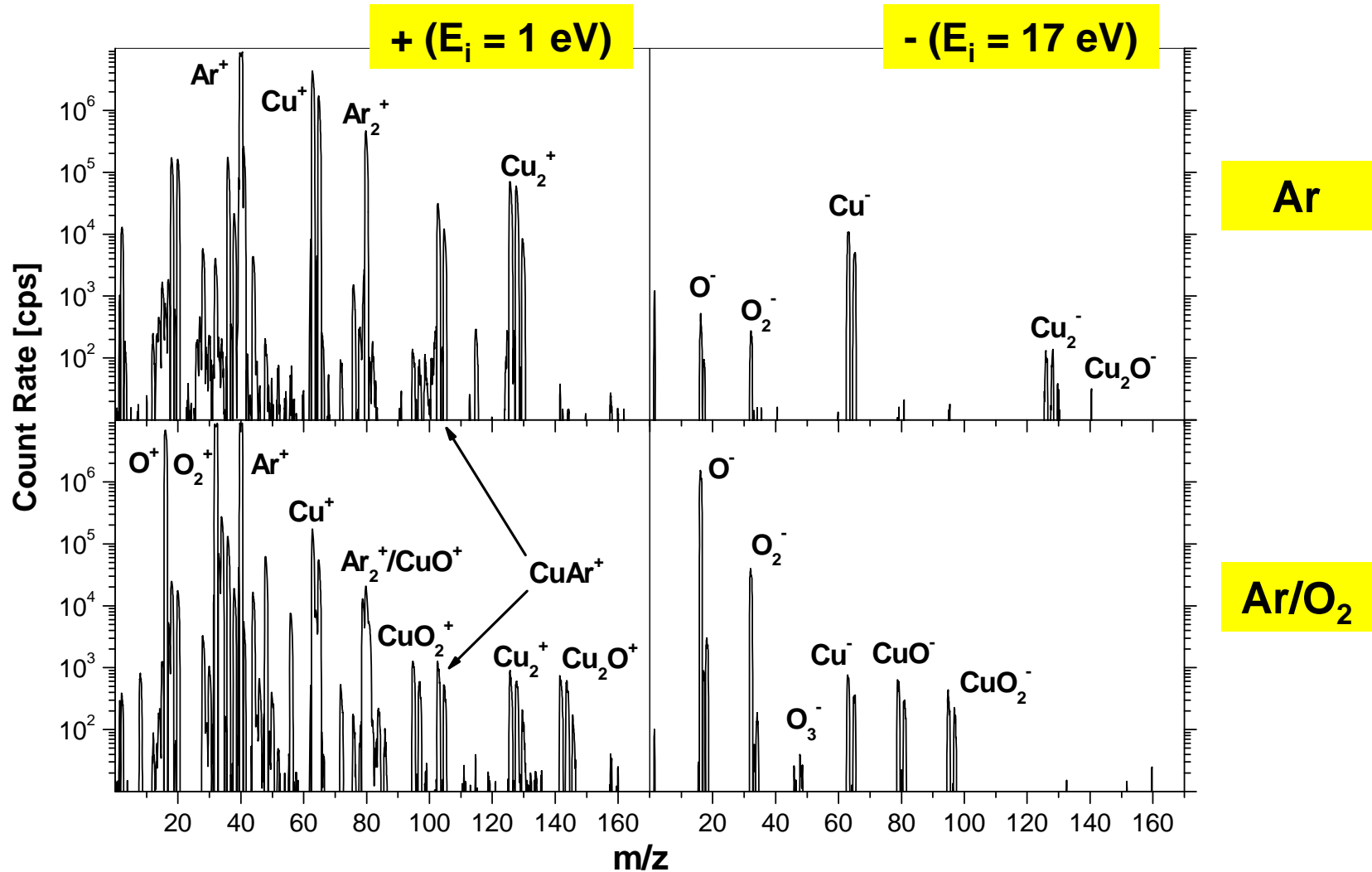
- different target materials: Mg, Cu, In, W
- working gas: 100 % Ar (non-reactive)
50 % Ar + 50 % O₂ (reactive)
- working gas pressure: 0.5 Pa ... 1.7 Pa

Target Voltages in Ar and Ar/O₂

	0.9 Pa, 50 W const. power			<i>Depla et al., JAP 101 (2007) 013301</i> const. current		
	U_{met} [V]	U_{ox} [V]	$U_{\text{ox}}/U_{\text{met}}$	γ_{met}	$U_{\text{ox}}/U_{\text{met}}$	γ_{ox}
Mg	276 ± 2	155 ± 1	0.56	0.14	0.47	0.41
Cu	281 ± 1	325 ± 3	1.2	0.082	1.04	0.071
In	397 ± 9	395 ± 9	0.99	0.10		0.092
W	246 ± 12	418 ± 6	1.7	0.10		< 0.08

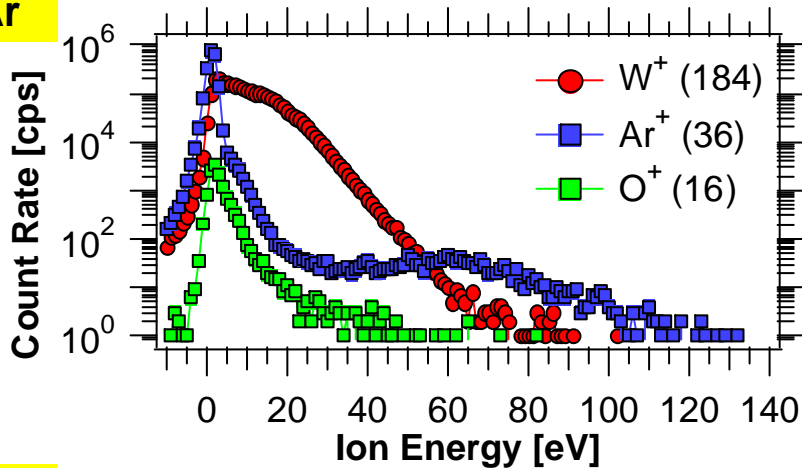
Wolframtungsten: according to $U_{\text{ox}}/U_{\text{met}} \Rightarrow \gamma_{\text{ox}}/\gamma_{\text{met}}$ much lower than for Cu (0.87)

Mass Spectra with Cu Target



Positive Ion Distributions: Typical Spectra

Ar



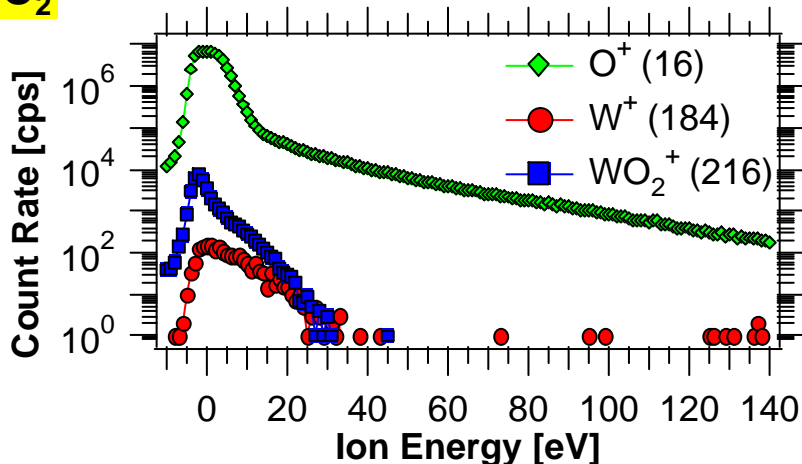
general:

- peak at low energy ($\sim eV_{pl}$)
- high-energy tail (sputter distribution)
- additional Ar^+ @ 50 ... 100 eV

non-reactive (Ar):

- mainly M^+ and Ar^+
- impurity signals of O^+ (MO_x^+)

Ar/O₂



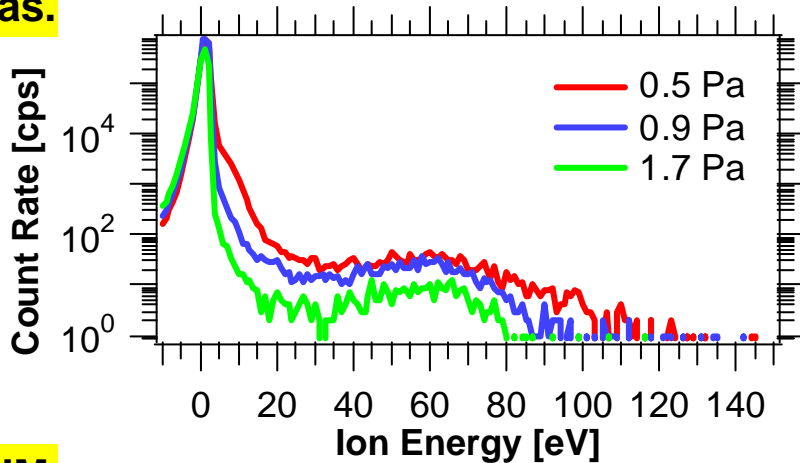
reactive (Ar/O₂):

- mainly Ar^+ , O^+ , and O_2^+
- O^+ extended to very high energy
- weak M^+ (reduced sputter yield)
- different molecules $M_{(y)}O_x^+$

W target , P = 50 W, p = 0.5 Pa, centre, 6 cm distance

Positive Ion Distributions: High-Energetic Working Gas (Ar⁺)

Meas.



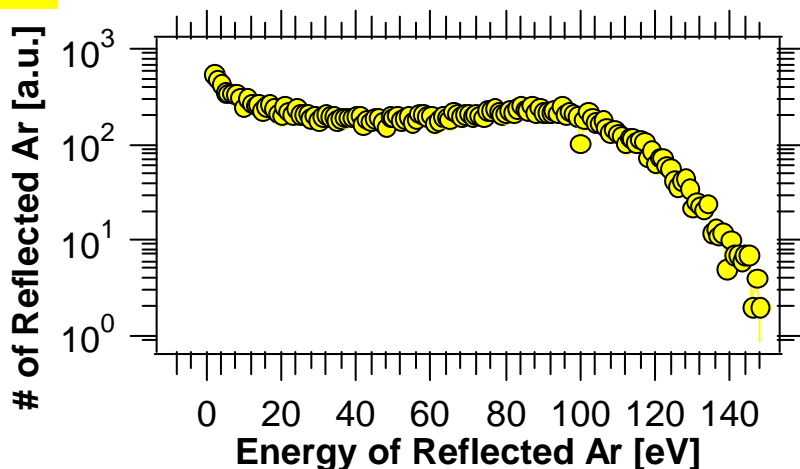
broad maximum/extension at energies of several 10 eV to (some) 100 eV:

⇒ dependent on target mass (not for Cu, Mg)

⇒ dependent on gas phase collisions

⇒ gas heating or **projectile reflection**

TRIM



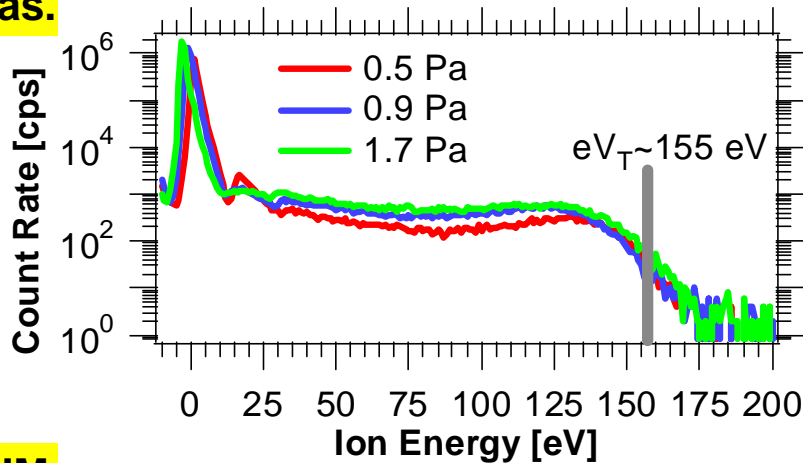
TRIM simulations (Ar⁺ (256 eV) → W):

- similar distribution
- slightly higher energy (no collisions)
- only for heavy targets

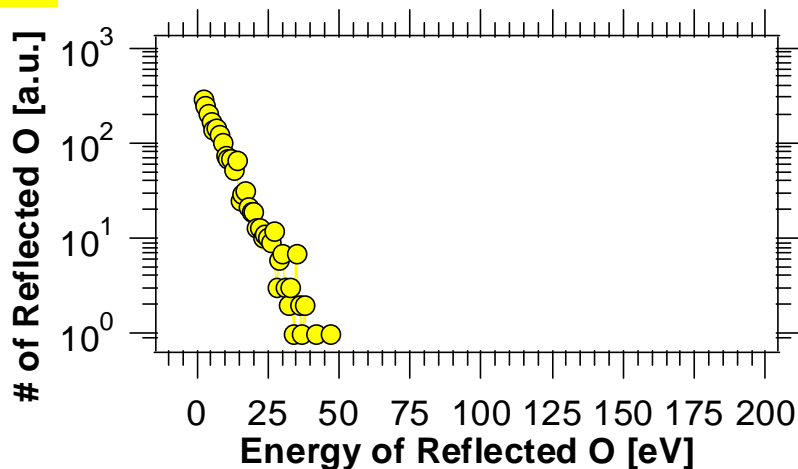
W target , P = 50 W, Ar, centre, 6 cm distance

Positive Ion Distributions: High-Energetic Working Gas (O^+)

Meas.



TRIM



broad maximum/extension to energies far above 100 eV:

⇒ observed for every target material

MgO:

⇒ low discharge voltage (~ 155 V)

⇒ signal extends to full voltage equivalent

TRIM simulations (O^+ (158 eV) \rightarrow MgO):

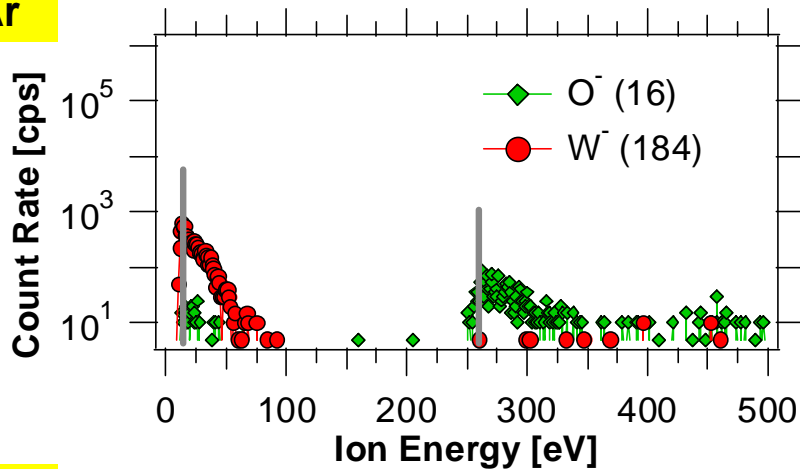
⇒ energy of reflected O much too low

⇒ **In contrast to Ar^+ (reflection + post-ionisation), high-energetic O^+ is not due to reflected atoms – instead to O^- .**

Mg target , P = 50 W, Ar/ O_2 , centre, 6 cm distance

Negative Ion Distributions: Typical Spectra

Ar



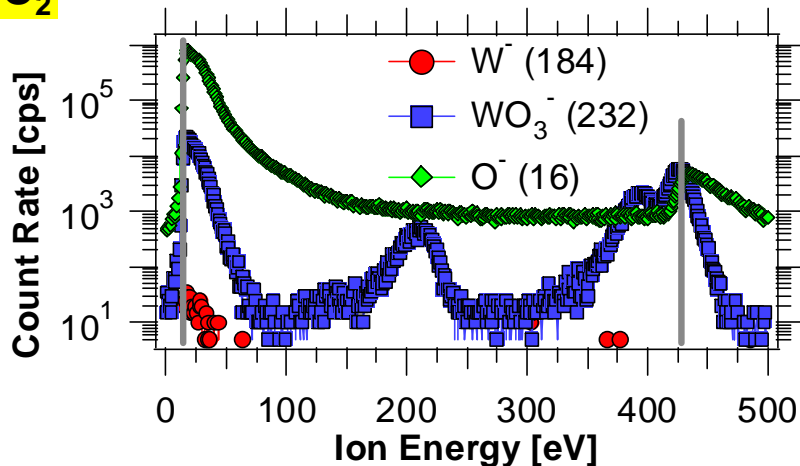
weak signals in non-reactive mode:

- metal ions, if $E_a > 0$ (W, In, Cu)
- weak impurities

more signal(s) in reactive mode:

- O^- , O_2^- , $M_{(y)}O_x^-$
- high-energy (several 100 eV) peak
- low-energy signal (< 100 eV)
- partially mid-energy peaks

Ar/O₂

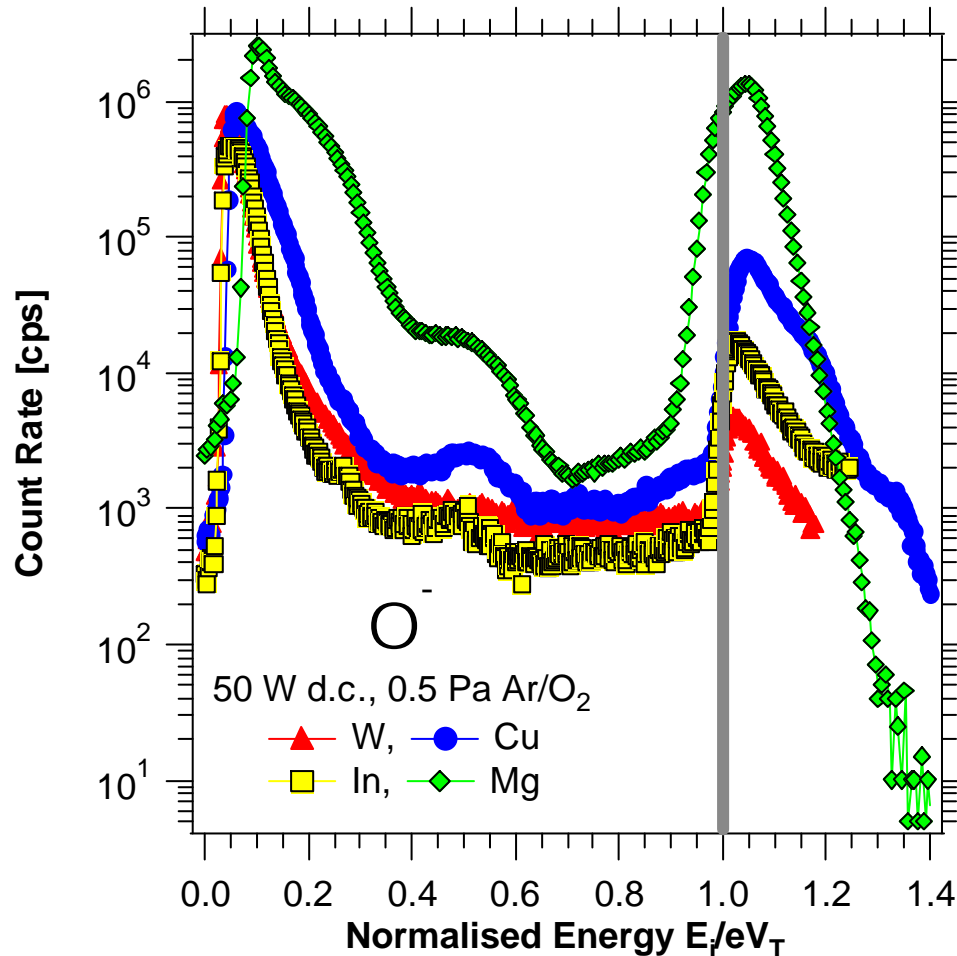


general:

- low-energy cut-off: $E_{\min} = eV_{fl}$ to enter PPM
- ⇒ no detection of “cold” ions formed from working gas

W target , P = 50 W, 0.5 Pa, centre, 6 cm distance

Negative Ion Distributions: High-Energy Peak



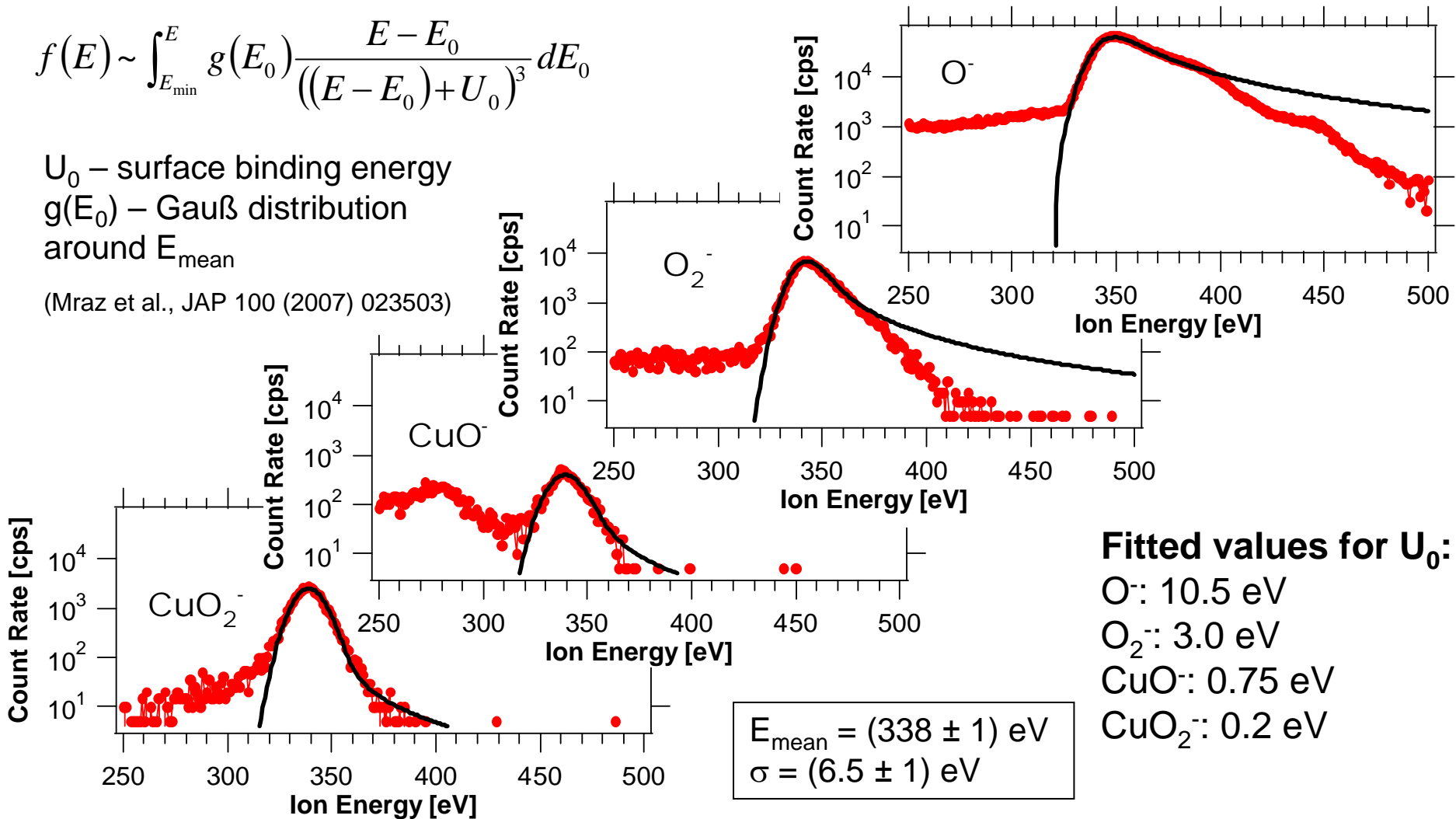
- IDF of O^- for different target materials, normalised to the respective target voltage V_T
- peak generally located at eV_T
- ⇒ negative O^- ions formed directly at the target surface
- ⇒ accelerated by full sheath potential
- similar for all other negative ions

Negative Ion Distributions: High-Energy Tail

$$f(E) \sim \int_{E_{\min}}^E g(E_0) \frac{E - E_0}{((E - E_0) + U_0)^3} dE_0$$

U_0 – surface binding energy
 $g(E_0)$ – Gauß distribution
 around E_{mean}

(Mraz et al., JAP 100 (2007) 023503)



Fitted values for U_0 :

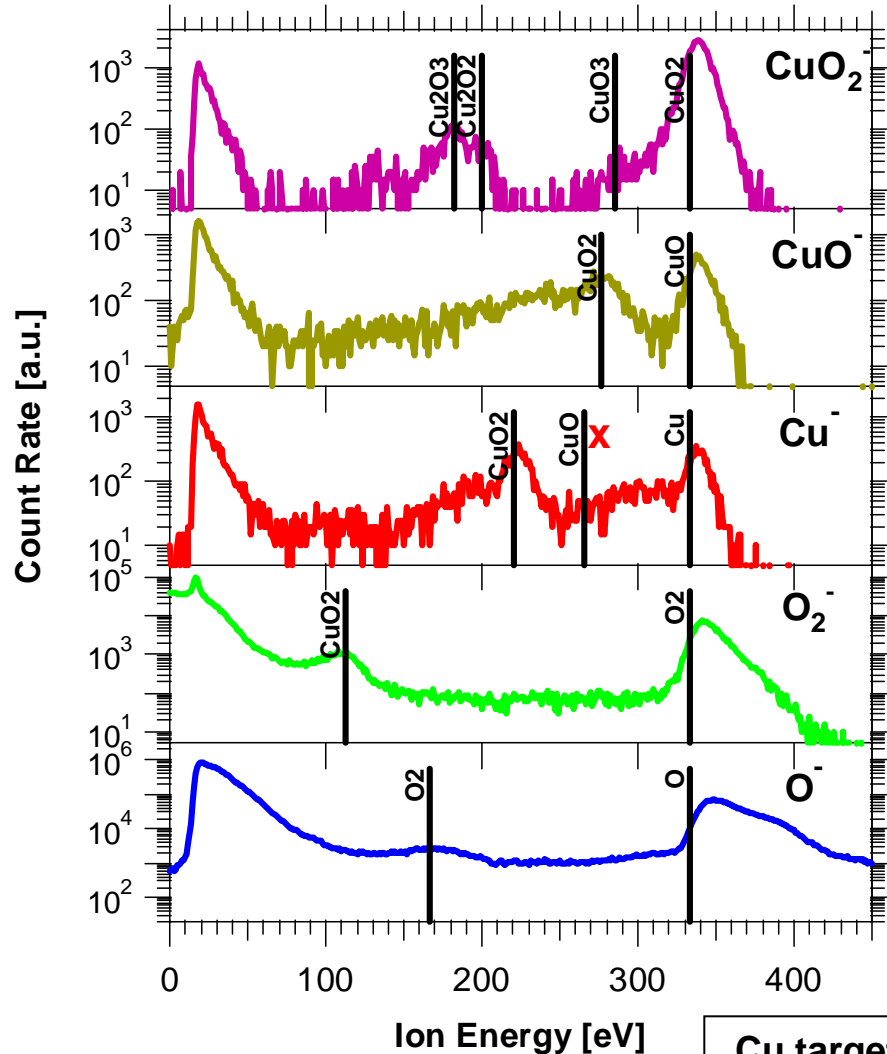
O⁻: 10.5 eV

O₂⁻: 3.0 eV

CuO⁻: 0.75 eV

CuO₂⁻: 0.2 eV

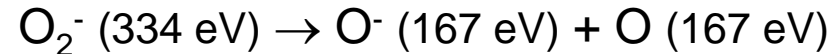
Negative Ion Distributions: Mid-Energy Peaks (Cu)



distinct peaks between eV_T and eV_{fl} :

- depend on target and detected ion
- ⇒ fragments from molecular ions formed at the target (full energy)

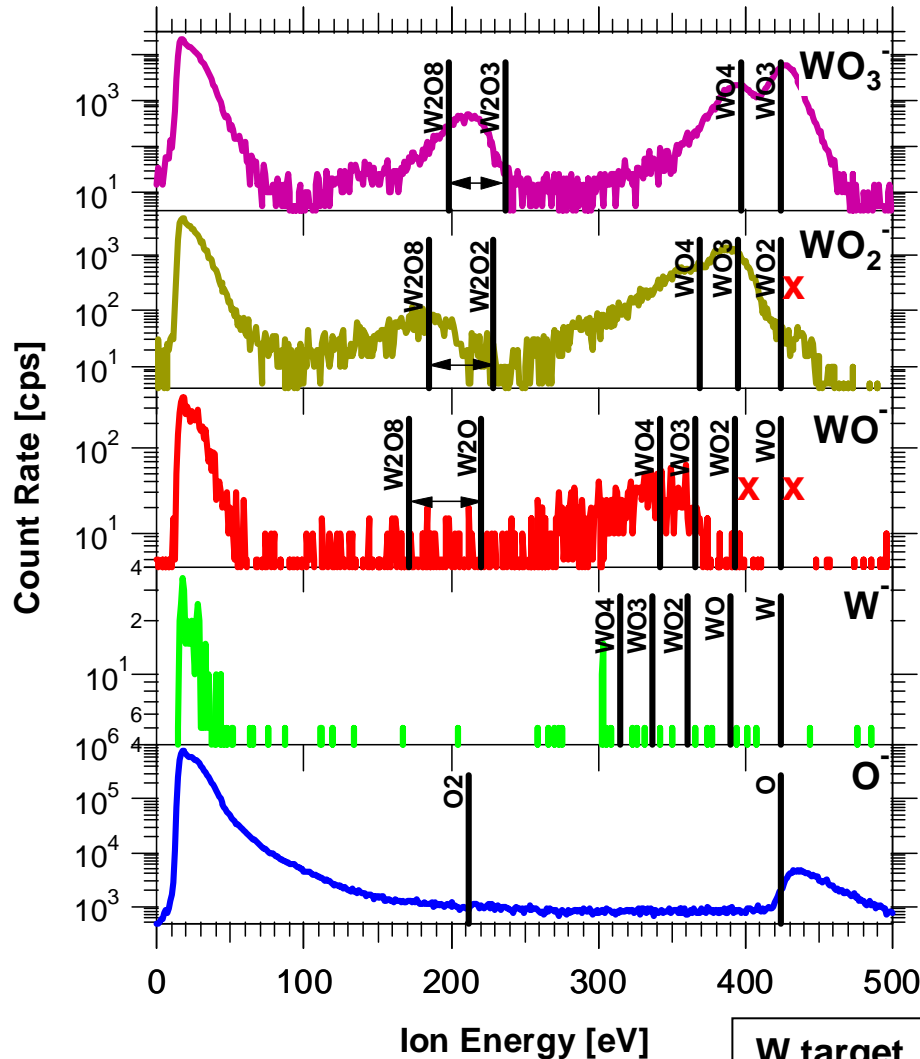
e.g.:



possible formation paths:

- spontaneous decay
- electron collision + re-attachment
- not: gas-phase collisions – scattering out of the small detection angle

Negative Ion Distributions: Mid-Energy Peaks (W)



- parent molecules up to WO₄⁻ and W₂O_x⁻ detected
- **no WO⁻ or WO₂⁻** at full energy of fragments of them ⇒ **not formed at the target**
- dominant molecule WO₃⁻ ($E_a > 2.5$ eV, $E_a(W) = 0.8$ eV, $E_a(O) = 1.5$ eV)
- so far no correlation to neutral molecular abundance (signal depends on E_a)

W target , P = 50 W, 0.5 Pa Ar/O₂ = 50/50, centre, 6 cm distance

Conclusions

non-reactive sputtering:

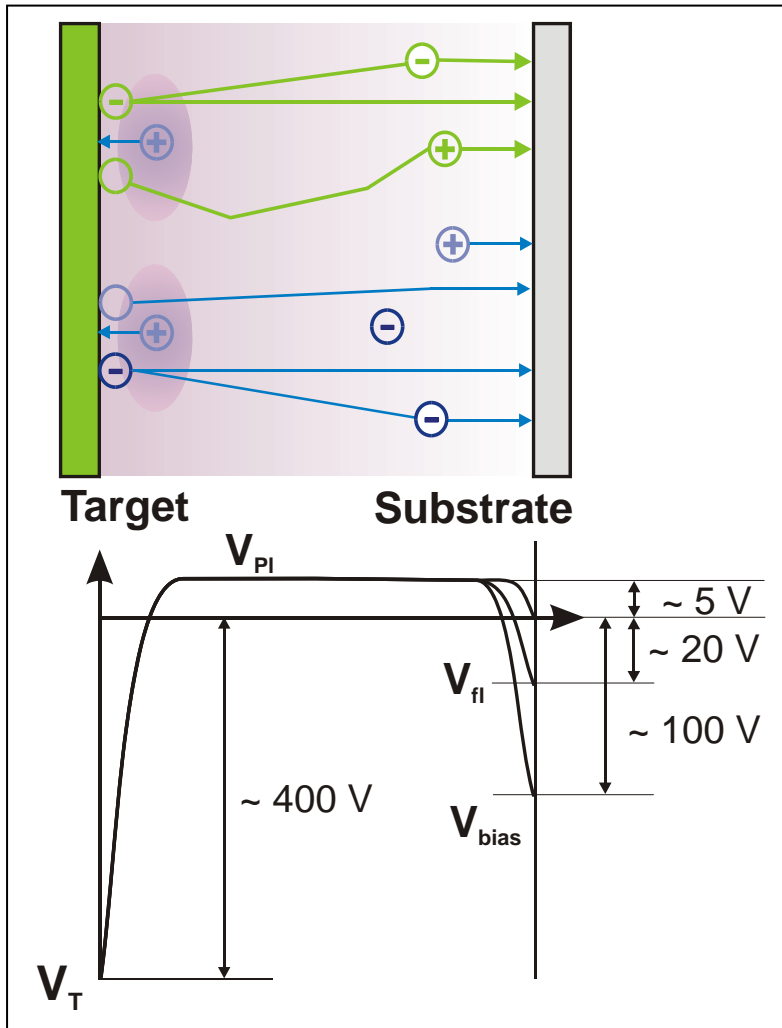
- low-energetic positive ions
- for target material ions with high-energy tail
- mid-energetic reflected gas atoms (heavy targets)
- few negative ions (electronegative metals, impurities)

reactive sputtering:

- variety of negative ions (incl. molecules)
- energy up to full target voltage equivalent
- negative ion fragmentation, some ions missing
- low sputter energy of molecules
- additional high-energetic positive ions (charge exchange)

**Thank you
for your attention!**

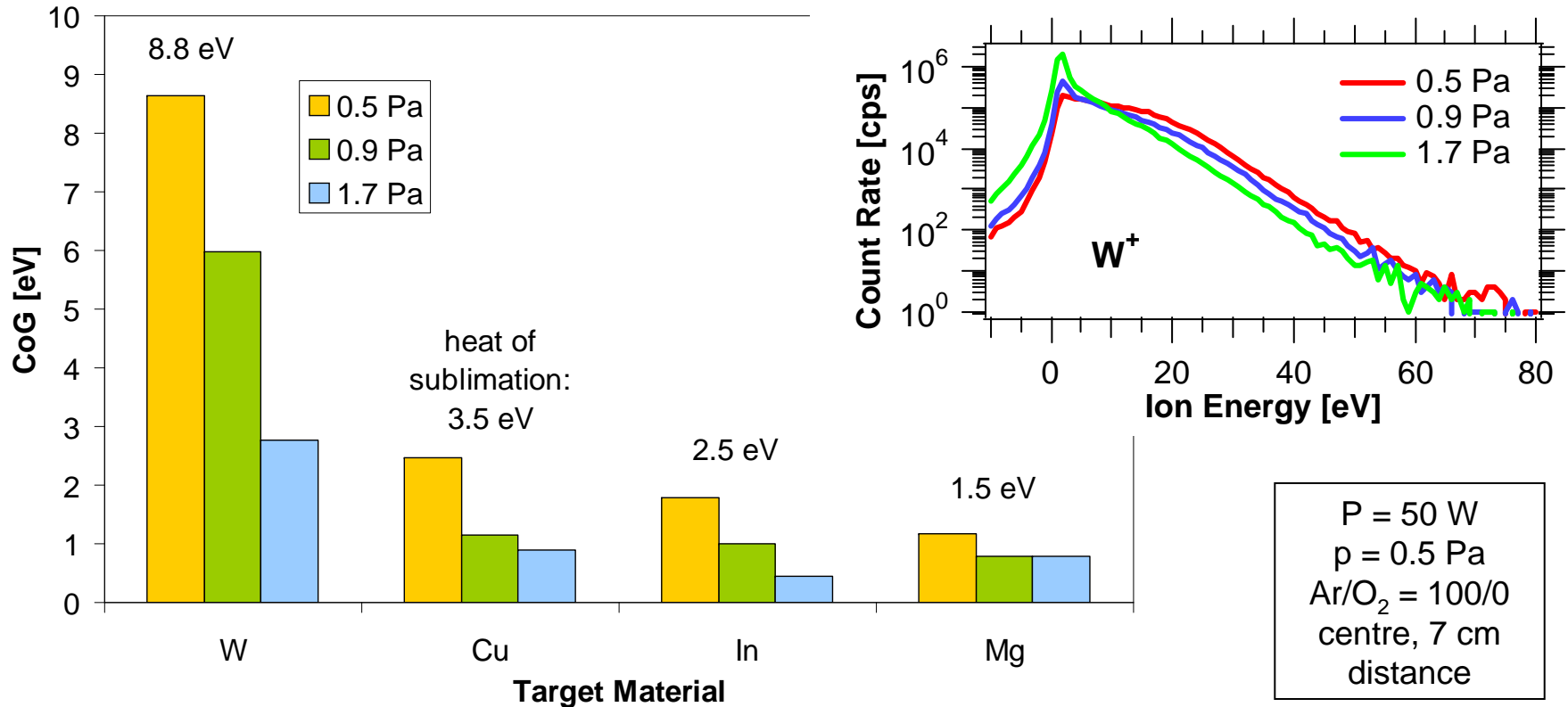
Magnetron Plasma – Typical Potential Distribution (d.c.)



- **positive ions from**
 - working gas (electron impact)
 - reflexed atoms (electron impact)
 - sputtered atoms (electron impact)
- **negative Ionen aus**
 - working gas (electron attachment)
 - emission from the target
 - sputtered atoms (electron impact)
- **various charge-exchange processes**

- **substrate:**
 - grounded (fixed)
 - with negative bias potential (fixed)
 - insulated (floating)
- **Measurement with the PPM is performed against ground potential.**

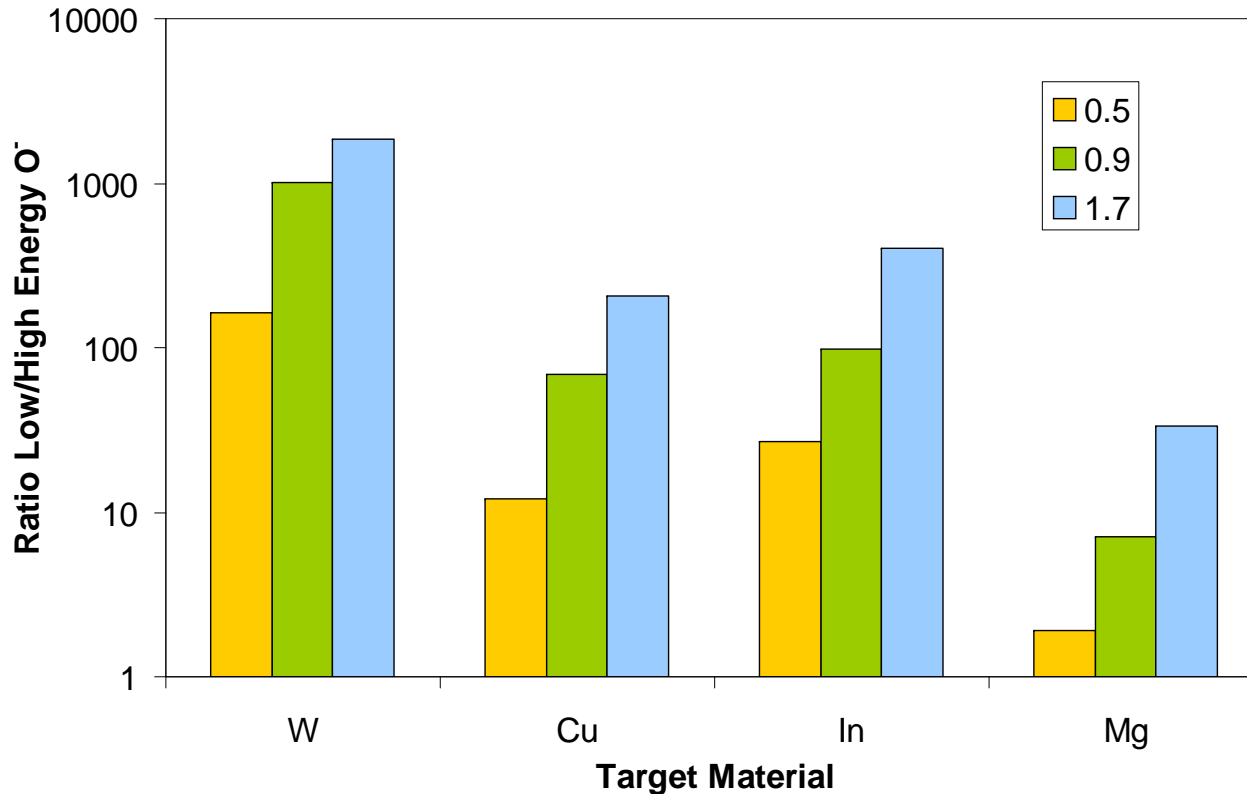
Positive Ion Distributions: Centre of Gravity for Metal Ions



pressure increase: - high-energy tail (sputter distribution) ↓
- thermalised peak ↑

CoG: trend follows sublimation energy (better for heavy atoms – less efficient scattering)

Negative Ion Distributions: High- and Low-Energy Part



Parameter:

$P = 50 \text{ W}$

$p = 0.5 \text{ Pa}$

$\text{Ar}/\text{O}_2 = 50/50$

centre, 7 cm

distance

**high-energy O^-
represents γ_{ox} :**

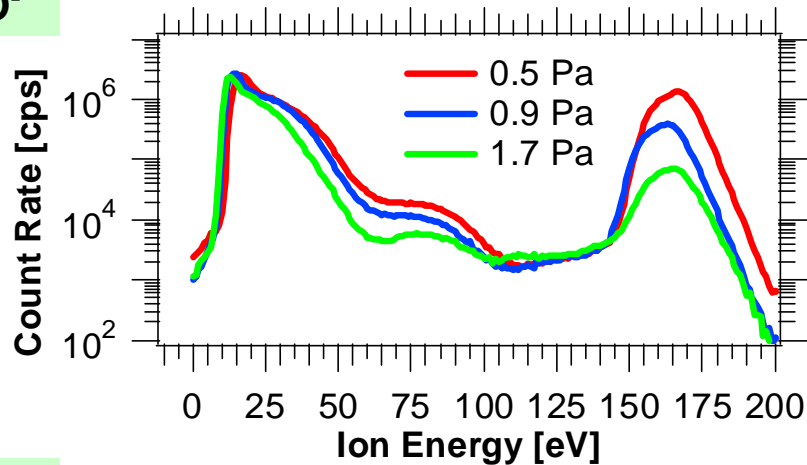
\Rightarrow high ratio for WO_x
(low γ_{ox}), lowest for
 MgO_x (high γ_{ox})

formation possibilities of low-energetic O^- :

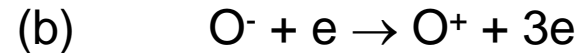
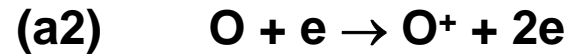
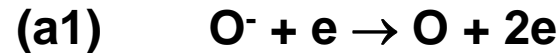
- (1) at the target sheath edge
- (2) from high-energetic O^- by several collisions and electron re-attachment

Positive Ion Distributions (revisited): High-Energetic O⁺

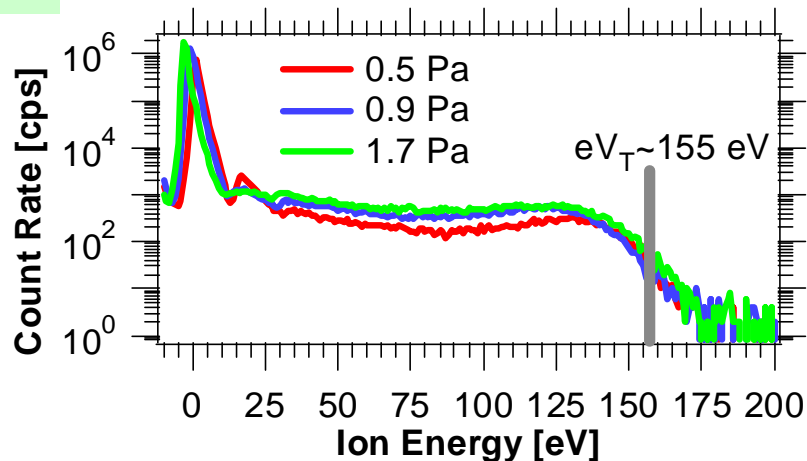
O⁻



- O⁻ spans whole range up to eV_T
- charge exchange between target and substrate via electron impact:



O⁺



⇒ **High-energetic O⁺ results from O⁻ accelerated in the target sheath.**

⇒ Low-energy peak is still due to ions formed by electron-impact from the cold working gas in the plasma bulk.

Mg target , P = 50 W, Ar/O₂, centre, 7 cm distance