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## Advanced Quantum Mechanics - Problem Set 3

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*Winter Term 2019/20*

**Due Date:** Hand in solutions to problems marked with \* before the lecture on **Friday, 08.11.2019, 09:15**. The problem set will be discussed in the tutorials on Wednesday, 13.11.2019, and Friday, 15.11.2019

### 7. Eigenspinors

*4+1 Points*

Consider a spin 1/2 system in the presence of an external magnetic field  $\mathbf{B} = B\hat{\mathbf{n}}$ , where  $\hat{\mathbf{n}}$  is a unit vector pointing in an arbitrary direction. The Hamiltonian of this system is given by

$$\hat{H} = -\frac{e}{mc}\hat{\mathbf{S}} \cdot \mathbf{B},$$

where  $e < 0$  is the electron charge,  $m$  the electron mass,  $c$  the speed of light, and  $\hat{\mathbf{S}}$  the vector of spin 1/2 operators.

- Calculate the eigenvalues and normalized eigenspinors of the Hamiltonian.
- Why does the direction of the eigenspinors only depend on  $\hat{\mathbf{n}}$ ?

### 8. Time- and spin-reversal

*2+3 Points*

- Denote the wave function of a spinless particle corresponding to a plane wave in three dimensions by  $\psi(\mathbf{x}, t)$ . Show that  $\psi^*(\mathbf{x}, -t)$  is the wave function for the plane wave if the momentum direction is reversed.
- Let  $\chi(\hat{\mathbf{n}})$  be the eigenspinor you calculated in the previous problem, with eigenvalue +1. Using the explicit form of  $\chi(\hat{\mathbf{n}})$  in terms of the polar and azimuthal angles which define  $\hat{\mathbf{n}}$ , verify that the eigenspinor with spin direction reversed is given by  $-i\sigma_y\chi^*(\hat{\mathbf{n}})$ .

### \*9. Nearly free electron model

*3+2+2+3 Points*

Often it is sufficient to treat the periodic potential on a lattice as a small perturbation. For such problems it is useful to expand the periodic potential in a plane wave expansion which only contains waves with the periodicity of the reciprocal lattice, such that

$$U(\mathbf{x}) = \sum_{\mathbf{G}} U_{\mathbf{G}} e^{i\mathbf{G} \cdot \mathbf{x}},$$

where  $\mathbf{G}$  is a reciprocal lattice vector which satisfies  $e^{i\mathbf{G}\cdot\mathbf{R}} = 1$ , with  $\mathbf{R}$  denoting a point on the lattice. We moreover expand the wave functions in terms of a set of plane waves which satisfy the periodic boundary conditions of the problem

$$\psi(\mathbf{x}) = \sum_{\mathbf{k}} c_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}}.$$

- (a) Using the expansions above, show that the Schrödinger equation

$$\left[ \frac{-\hbar^2 \nabla^2}{2m} + U(\mathbf{x}) \right] \psi(\mathbf{x}) = E\psi(\mathbf{x}),$$

can be written as

$$\left( \frac{\hbar^2 k^2}{2m} - E \right) c_{\mathbf{k}} + \sum_{\mathbf{G}} U_{\mathbf{G}} c_{\mathbf{k}-\mathbf{G}} = 0.$$

- (b) Perform the shift  $\mathbf{q} = \mathbf{k} + \mathbf{K}$ , where  $\mathbf{K}$  is a reciprocal lattice vector which ensures that we can always find a  $\mathbf{q}$  which lies in the first Brillouin zone<sup>1</sup>, and show that the Schrödinger equation now gives

$$\left( \frac{\hbar^2}{2m} (\mathbf{q} - \mathbf{K})^2 - E \right) c_{\mathbf{q}-\mathbf{K}} + \sum_{\mathbf{G}} U_{\mathbf{G}-\mathbf{K}} c_{\mathbf{q}-\mathbf{G}} = 0.$$

- (c) Consider for concreteness a one-dimensional chain, but in the simple case where only the leading Fourier component contributes to the potential

$$U(x) = 2U_0 \cos \frac{2\pi x}{a}.$$

Explain how your result in (b) can be used to calculate the energy of the system.

- (d) Suppose now that  $U_0$  is very small. Near  $q = \pi/a$  the Schrödinger equation reduces to

$$\begin{pmatrix} \frac{\hbar^2}{2m} \left( q - \frac{2\pi}{a} \right)^2 - E & U_0 \\ U_0 & \frac{\hbar^2 q^2}{2m} - E \end{pmatrix} \begin{pmatrix} c_1 \\ c_0 \end{pmatrix} = 0.$$

Calculate and plot the energy eigenvalues. What happens at  $q = \pi/a$ ?

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<sup>1</sup>As an example of a Brillouin zone consider the simple cubic lattice with sides of length  $a$ . Any point on the lattice can be written in terms of  $\mathbf{a}_1 = a\hat{x}$ ,  $\mathbf{a}_2 = a\hat{y}$ , and  $\mathbf{a}_3 = a\hat{z}$ . In reciprocal space the basis vectors become  $\mathbf{b}_1 = \frac{2\pi}{a}\hat{x}$ ,  $\mathbf{b}_2 = \frac{2\pi}{a}\hat{y}$ , and  $\mathbf{b}_3 = \frac{2\pi}{a}\hat{z}$ . The boundaries of the first Brillouin zone are then the planes normal to the six vectors  $\pm\mathbf{b}_1$ ,  $\pm\mathbf{b}_2$ , and  $\pm\mathbf{b}_3$ . The length of each side is  $2\pi/a$ .