# (Im)possible opacity patterns in containment theory 

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*famous student 1508-1512(?): Thomas Müntzer

## Opacity (McCarthy, 1999)

A generalization is not surface-true
Generalization $G$ plays an active role in language $L$, but there are surface forms of $L$ that violate $G$.
$\rightarrow$ Underapplication

A generalization is not surface-apparent
A generalization $G$ shapes the surface form $F$, but the conditions that make
$G$ applicable are not visible in $F$.
$\rightarrow$ Overapplication

## Feeding and Bleeding

Rules are ordered:

- application of rule 1 creates the context for rule 2: Feeding
- application of rule 1 destroys the context for rule 2: Bleeding

|  |  | Feeding <br> /toi/ | Bleeding <br> /tio/ | /tou/ | /tie/ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| V1 Deletion: | $V \rightarrow$ O/_V | t i | to | t u | t e |
| Palatalization: |  | t ¢ i |  |  | t $\int \mathrm{e}$ |
|  |  | [ f ¢ f ] | [to] | [tu] | [t. e ] |

## Opaque: Counterfeeding and Counterbleeding

Rules are ordered:

- if rule 2 would have applied earlier, it would have created the context for rule 1: Counterfeeding $\rightarrow$ non-surface true
- if rule 2 would have applied earlier, it would have destroyed the context for rule 1: Counterbleeding $\rightarrow$ non-surface apparent


1. The Opacity Problem
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## Feeding in OT

(1)

| /toi/ | Onset | ${ }^{*}$ TI | Max | Ident C |
| :--- | :---: | :---: | :---: | :---: |
| a. $\left[\mathrm{t} \int \mathrm{i}\right]$ |  |  | ${ }^{*}$ | ${ }^{*}$ |
| b. $[\mathrm{ti}]$ |  | ${ }^{*}!$ | ${ }^{*}$ |  |
| c. $[$ toi $]$ | ${ }^{*}!$ |  |  |  |

## Bleeding in OT

(2)

| /tio/ | Onset * ${ }^{\text {TI }}$ | Max | Ident C |
| :---: | :---: | :---: | :---: |
| a. [t. o ] | I | * | *! |
| b. [to] | 1 | * |  |
| c. [tio] | *! 1 *! |  |  |

## Harmonic Bounding of Counterbleeding

(3)

| /tio/ | *TI | Ident C | Onset | Dep | Ident V | Max |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\leqslant$ a. [to] |  |  | I |  |  | * |
| b. [t.o] |  | *! |  |  |  | * |

## Contradictory Requirements for Counterfeeding

| $/ \mathrm{ti} /$ | ${ }^{*}$ TI | Ident C | Onset | Dep | Ident V |
| :--- | :---: | :---: | :---: | :---: | :---: |
|  | Max |  |  |  |  |
| a. $[\mathrm{ti}]$ | ${ }^{*}$ |  |  |  |  |
| b. $[\mathrm{f} \mathrm{f}]$ |  | ${ }^{*}$ |  |  |  |


| $/ \mathrm{ti} /$ | $\ldots$ | ${ }^{*}$ TI | IDENT C | $\ldots$ |
| :--- | :---: | :---: | :---: | :---: |
|  | a. $[\mathrm{ti}]$ |  | ${ }^{*}!$ |  |
|  | b. $[\mathrm{t} \mathrm{f} \mathrm{i}]$ |  |  | ${ }^{*}$ |


| /toi/ | *TI | Ident C | Onset | Dep | Ident V |  | AAX |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| a. [ ti$]$ | * |  |  |  |  |  | * |
| b. [ t fi] |  |  |  |  |  |  |  |


| /toi/ | $\ldots$ | Ident C | *TI | $\ldots$ |
| :--- | :---: | :---: | :---: | :---: |
| a. $[\mathrm{ti}]$ |  |  | ${ }^{*}$ |  |
| b. $[\mathrm{f} \mathrm{f}]$ |  | *! |  |  |

## Containment Theory and Cloning

## Input-Output Mapping in Correspondence Theory

| $\mathrm{t}_{1} \mathrm{O}_{2} \mathrm{u}_{3}$ | ONSET | DeP | MAX |
| :---: | :---: | :---: | :---: |
| a. $\mathrm{t}_{1} \mathrm{u}_{3}$ |  |  | ${ }^{*}$ |
| b. $\mathrm{t}_{1} \mathrm{O}_{2} \mathrm{tu}_{3}$ |  | ${ }^{*}!$ |  |
| c. $\mathrm{t}_{1} \mathrm{O}_{2} \mathrm{u}_{3}$ | ${ }^{*}!$ |  |  |

## Input-Output Mapping in Containment Theory

| tou | ONSET | Dep | Max |
| :--- | :---: | :---: | :---: |
| a. t o u |  |  | ${ }^{*}$ |
| b. to t u |  | ${ }^{*}!$ |  |
| c. tou | ${ }^{*}!$ |  |  |

## Specific Assumptions

- Hierarchical Nonlinear Representations: combining Prosodic Phonology and Feature Geometry
- Colors: Each morpheme has a unique color characterizing all of its underlying nodes and association lines and distinguishing underlying from epenthetic ('colorless' material)
- Radical Containment: No erasure of association lines $\leftrightarrow$ marking association lines as invisible is the only counterpart to deletion operation in non-containment approaches


## Notation of Association (Trommer and Zimmermann, 2014)

| Morphological association relations |  | Epenthetic association relations |
| :---: | :---: | :---: |
| phonetically visible: | phonetically invisible: | phonetically visible: |
| X | X | X |
| I | $\neq$ | $\vdots$ |
| Y | Y | Y |

## Axiom of Phonetic Visibility (Trommer and Zimmermann, 2014)

A phonological node is visible to phonetics
if and only if
it is dominated by the designated root node of the structure
through an uninterrupted path of phonetic association lines

## Deletion and Phonetically Invisible Association Lines

## M



Morphological Structure (Input)

Integrated Structure (Candidate)

## Phonetic <br> Structure (Output)

Following: 'Deleted'/Phonetically unrealized elements notated as ' V '

## The Cloning Hypothesis: Two-Level Containment

Every markedness constraint exists in 2 incarnations:

The general clone refers to all structure in I

The phonetic clone refers only to structure in $P$
(cf. Cloning in Correspondence Theory, McCarthy and Prince (1995))

## Generalized Markedness Constraints

a. *VV

Assign a violation mark for every pair of adjacent vowels in $\mathbf{P}$.
b. ${ }^{*} \mathrm{VV}$

Assign a violation mark for every pair of adjacent vowels in I.
(5) Constraint Cloning: Illustrating example

| /pa-u/ | *VV | ${ }^{*}$ VV | Dep | Max |
| :---: | :---: | :---: | :---: | :---: |
| a. pau | ${ }^{*}!$ | ${ }^{*}$ |  |  |
| b. pau |  | ${ }^{*}!$ |  | ${ }^{*}$ |
| c. paPu |  |  | ${ }^{*}$ |  |

## Opaque Patterns which Follow

## Counterfeeding in Lomongo (Bakovic, 2011, 45)

|  |  |  | Counterfeeding |
| :--- | :---: | :---: | :---: |
|  | /o-isa/ | /ba-bina/ | /o-bina/ |
| 1. Gliding $\left(\mathrm{i} / \mathrm{u} \rightarrow \mathrm{j} / \mathrm{w} / \_\mathrm{V}\right)$ | wisa | - | - |
| 2. Deletion $\left([+\mathrm{vc},+\right.$ son $] \rightarrow \varnothing / \mathrm{v} \_$) | - | baina | oina |
|  | 'you $(\mathrm{sg})$ ' | 'hide' | 'they dance' |

## Lomongo in Two-Level Containment: Constraints

a. ${ }^{*} \mathrm{~V}_{+\mathrm{hi}} \mathrm{V}$

Assign a violation mark for every high vowel that is adjacent to another vowel in $\mathbf{P}$.
b. *VbV

Assign a violation mark for every intervocalic voiced sonorant in P.
c. *[CC

Assign a violation mark for every pair of adjacent non-moraic segments at the left word edge that are linked to the same syllable node in I.

## Lomongo in Two-Level Containment

(7) Gliding

| /oisa/ | ${ }^{*}[\mathrm{CC}$ | ${ }^{*}$ VbV $^{*}$ | ${ }^{*} \mathrm{~V}_{\text {+h }} \mathrm{V}$ | MaxS | Max $\mu$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| a. oisa |  |  | ${ }^{*}!$ |  |  |
| b. wisa |  |  |  |  | ${ }^{*}$ |
| c. isa |  |  |  | ${ }^{*}!$ |  |

(8) Deletion

| /babina/ | ${ }^{*}$ [CC | ${ }^{*} \mathrm{VbV}^{*}$ | ${ }^{*} \mathrm{~V}_{+\mathrm{h}} \mathrm{V}$ | MaxS | Max $\mu$ |
| :---: | :---: | :---: | :---: | :---: | :---: |
| a. babina |  | ${ }^{*}!$ |  |  |  |
| b. ba b ina |  |  |  | ${ }^{*}$ |  |

## Lomongo in Two-Level Containment

(9) Deletion but no gliding

| /obina/ | ${ }^{*}[\mathrm{CC}$ | ${ }^{*} \mathrm{VbV}^{*}$ | ${ }^{*} \mathrm{~V}_{\text {+ }} \mathrm{V}$ | MaxS | MAx $\mu$ |
| ---: | :---: | :---: | :---: | :---: | :---: |
| a. obina |  | ${ }^{*}!$ |  |  |  |
| b. o b ina |  |  | ${ }^{*}$ | ${ }^{*}$ |  |
| c. w b ina | ${ }^{*}!$ |  |  | ${ }^{*}$ | ${ }^{*}$ |

## Counterbleeding: Tiberian Hebrew (McCarthy, 1999, 333)

|  |  |  | Counterbleeding |
| :---: | :---: | :---: | :---: |
|  | melk/ | /qara?/ | /de $\int$ ?/ |
| 1. Epenthesis | melex | - | de $\int e ?$ |
| 2. P-Deletion | - | qara | de $\int \mathrm{e}$ |
|  | 'king' | 'he called' | 'tender grass' |

## Tiberian Hebrew in Two-Level Containment: Constraints

(10) a. *CC]

Assign $*$ for every sequence of two adjacent consonants at the right word edge in I.
b. *?]

Assign $*$ for every [?] at the right word edge in $P$.

## Tiberian Hebrew in Two-Level Containment

(11) Vowel Insertion

| /melk/ | $\left.{ }^{*} \mathrm{CC}\right]$ | *?] | Dep | MAX |
| :---: | :---: | :---: | :---: | :---: |
| a. melk | ${ }^{*}!$ |  |  |  |
| b. mel k | ${ }^{*}!$ |  |  | ${ }^{*}$ |
| b. c. meləx |  |  | ${ }^{*}$ |  |

(12) $\quad$-Deletion

| /qara?/ | *CC] ${ }^{*}$ ] | Dep | Max |
| :---: | :---: | :---: | :---: |
| a. qara? | , *! |  |  |
| b. qara ? | 1 |  | * |
| c. qara?ə | 1 | *! |  |

## Tiberian Hebrew in Two-Level Containment: Counterbleeding

(13) Insertion and deletion

| /def?/ | *CC] | *?] | Dep | Max |
| :---: | :---: | :---: | :---: | :---: |
| a. def? |  | *! |  |  |
| b. de $\int$ ? | *! |  |  | * |
| c. dejə? |  | *! | * |  |
| d. defo ? |  |  | * | * |

## Self-Destructive Feeding: Turkish (Bakovic, 2007, 226)

|  |  |  | S-D. Feeding |
| :--- | :---: | :---: | :---: |
|  | ip-n/ | /bebek-i/ | /bebek-n/ |
| 1. Epenthesis $\left(\varnothing \rightarrow \mathrm{i} / \mathrm{C} \_\mathrm{C} \#\right)$ | ipin | - | bebekin |
| 2. Deletion $\left(\mathrm{k} \rightarrow \varnothing / \mathrm{V} \_\mathrm{V}\right)$ | - | bebei | bebein |
|  | 'king' | 'he called' | 'tender grass' |

- vs. CB: The reverse ordering does not result in bleeding!
'the vowel-epenthesis rule P sows the seed of its own non-surface-apparentness' (Bakovic, 2007, 226)


## Turkish in Two-Level Containment: Constraints

(14) a. *CC]

Assign $*$ for every sequence of two adjacent consonants at the right word edge in I.
b. ${ }^{*} \mathrm{VKV}^{\prime}$

Assign $*$ for every intervocalic [ $k$ ] in $\mathbf{P}$.

## Turkish in Two-Level Containment: Self-Destructive Feeding

(15) Deletion

| /bebek-i/ | $\left.{ }^{*} \mathrm{CC}\right]$ | ${ }^{*}$ VKV | MAXC | DePV |
| :---: | :---: | :---: | :---: | :---: |
| a. bebeki | ${ }^{*}!$ |  |  |  |
| b. bebe ki |  |  | ${ }^{*}$ |  |

(16) Insertion

| /ip-n/ | ${ }^{*}$ CC] | ${ }^{*}$ VKV | MAXC | DepV |
| :---: | :---: | :---: | :---: | :---: |
| a. ipn | ${ }^{*}!$ |  |  |  |
| b. ipin |  |  |  | ${ }^{*}$ |

## Turkish in Two-Level Containment: Self-Destructive Feeding

(17) Insertion and deletion

| /bebek-n/ | *CC] | ${ }^{\text {V/VV }}$ | MaxC | DepV |
| :---: | :---: | :---: | :---: | :---: |
| a. bebekn | *! |  |  |  |
| b. bebekin |  | *! |  |  |
| c. bebe $k$ in |  |  | * | * |
| d. bebe k n | *! |  | * |  |

## Grandfather Effects: Mekkan Arabic (McCarthy, 2002)

- a structure is avoided if newly created but preserved if present underlyingly
- in Mekkan Arabic (18), regressive voicing assimilation for obstruents (18-a) fails to produce new voiced obstruent (18-b)
- but underlying voiced obstruents are preserved (18-c)
(18) Mekkan Arabic (McCarthy, 2002, 3)
a. Pagsam aksam 'he swore and oath' mazku:r masku:r 'mentioned'
b. Pakbar akbar, *?agbar 'older'
c. Pibnu Pibnu 'his son'


## Mekkan Arabic and Rule Ordering

- No ordering of a general coda devoicing and a general assimilation rule can capture this pattern:

|  | Ragsam/ | Rakbar/ | /Ribnu/ |
| :--- | :---: | :---: | :---: |
| 1. Assimilation | Paksam | Pagbar | - |
| 2. Devoicing | Paksam | Pakbar | *ipnu |


|  | Ragsam/ | Rakbar/ | /?ibnu/ |
| :--- | :---: | :---: | :---: |
| 1. Devoicing | Raksam | Pakbar | *ipnu |
| 2. Assimilation | Paksam | *?agbar | - |

## Grandfather Effects in Two-Level Containment

(19) *VсdObs

Assign $*$ for every obstruent that is associated to [+vcd] in I.

- the generalized version (19) is always violated by an underlyingly voiced obstruent - no (deletion) operation can help avoid this violation (cf. Trommer, 2014)
- if an obstruent is underlyingly voiceless, a violation of *VcdObs can be avoided if no feature [+vcd] associates


## Grandfather Effects in Two-Level Containment

(20) Voicing assimilation creates a voiceless obstruent

| /Ragsam/ | *NoVcdobs | SHARE-SCON | ID-vc |
| :---: | :---: | :---: | :---: |
| a. Pagsam | * | *! |  |
| b. ?aksam | * |  | * |

(21) No voicing assimilation creates new voiced obstruents

| /Rakbar/ | ${ }^{*}$ NoVcdObs | SHARE $_{- \text {-SON }}^{\text {vcd }}$ | ID-vc |
| ---: | :---: | :---: | :---: |
| a. Pakbar |  | ${ }^{*}$ |  |
| b. Pagbar | ${ }^{*}!$ |  | ${ }^{*}$ |

(22) Underlyingly voiced obstruent is preserved

| /Ribnu/ | ${ }^{*}$ NoVcDObs $^{2}$ | SHAREE $_{\text {CSON }}^{\text {VCD }}$ | ID-vc |
| :---: | :---: | :---: | :---: |
| a. Pibnu | ${ }^{*}$ |  |  |
| b. Pipnu | ${ }^{*}$ |  | ${ }^{*}!$ |

## Problematic Patterns

## Problem 1: Underlying Triggers Only

(23) Two processes in Yawelmani (McCarthy, 1999)
a. Rounding Assimilation for Same-Height Vowels /bok'-al/ $\rightarrow$ [bok'ol] 'might find' /dub-al/ $\rightarrow$ [dubal] 'might lead by the hand' /bok'-mi/ $\rightarrow$ [bok'mi] 'having found' /dub-mi/ $\rightarrow$ [dubmu] 'having lead by hand'
b. Lowering of long Vowels
c'u:m-al $\rightarrow$ c'ormal 'might destroy'

## Underlying Triggers Only: Yawelmani

|  | Counterbleeding | Counterfeeding |
| :--- | :---: | :---: |
|  | c'uju:-hin | c'usm-al |
| 1. Rounding Assimilation <br> 2. Lowering | c'uju:-hun | - |
|  | c'ujo:-hun | c'o:mal |
|  | 'urinates' | 'might destroy' |

## Yawelmani and Two-Level Containment: CB of Rounding

(24) Yawelmani rule interaction: constraints
a. $\quad \mathrm{Shr}_{\mathrm{hi}}^{\text {rd }}$

Assign a violation mark for every pair of adjacent vowels that have identical values for [ $\pm$ high] and are not associated to the same feature value for [ $\pm$ round] in I.
b. *is

Assign a violation mark for every high long vowel in $\mathbf{P}$.

## Yawelmani and Two-Level Containment: Capturing Counterbleeding

(25)

| /cu:ju:-hin/ (ul=a.) | *I: | SHr ${ }_{\text {hi }}^{\text {rd }}$ | Max [rd] | Max [hi] |
| :---: | :---: | :---: | :---: | :---: |
| a. |  |  |  |  |
| b. |  | *! |  | * |
| c. | ! |  | * | * |

## Yawelmani and Two-Level Containment: Overapplication for CF

| /cu:m-al/ (ul=a.) |  | Max [rd] | Max [hi] |
| :---: | :---: | :---: | :---: |
| $[+\mathrm{rd}] \quad[-\mathrm{rd}]$ <br> a. | *! | * |  |
| b. | *! |  | * |
| C. |  | * | * |

## Problem 2: Output Triggers Only

- only $/ R /$ and $/ \mathrm{y} /$ are licit word-final codas in Makassarese: Copy-vowel epenthesis to avoid illicit codas and 3 -epenthesis to avoid a final open syllable (27-a)
- stems that are underlyingly V-final do not undergo /?/-epenthesis (27-b)
(27) Makassarese (McCarthy, 2002, 20)
a. rantas rántasa? 'dirty' te?ter tettere? 'quick'
b. lompo lompo 'big' (*lompo?)


## Makassarese and Rule Ordering

- the existence of the two rules of V-epenthesis and C-epenthesis necessarily results in C-epenthesis for an underlyingly V-final stem (28)
$\rightarrow$ Overapplication of C-epenthesis

|  | Feeding |  |
| :--- | :---: | :---: |
|  | /rantas/ | /lompo/ |
| 1. V-epenthesis | rantasa | - |
| 2. C-epenthesis | rantasa? | *lompo? |

## Makassarese and Two-Level Containment

a. COdACOND

Assign $*$ for every consonant at the right word edge that has a place feature in $\mathbf{P}$.
b. FinalC

Assign $*$ for every right word edge that is not right-aligned with a consonant in P .

## Makassarese and Two-Level Containment: Overapplication problem

(29) Vowel- and Consonant epenthesis

| /rantas/ | FinalC | CodaCond | Dep-C | Dep-V |
| :---: | :---: | :---: | :---: | :---: |
| a. rantas |  | *! |  |  |
| b. rantasa | *! |  |  | * |
| c. rantasa? |  |  | * | * |

(30) Misprediction: Consonant epenthesis

| /lompo/ | FinalC,$~$ CodaCond | Dep-C | Dep-V |
| :---: | :---: | :---: | :---: |
| a. $\quad$ lompo | ${ }^{*}!$ |  |  |
| b. lompo? |  |  | $*$ |

## Problem 3: Non-iterativity in Lardil

- a final short V (of a word longer than two $\mu$ 's) undergo V -deletion (31-a)
- only apicals are possible codas: C-deletion for non-apicals (31-b) (with some additional complications)
- V-deletion potentially feeds C-deletion (31-c) but never vice versa
(31) Fed counterfeeding in Lardil (Kavitskaya and Staroverov, 2010, 256) Underlying Surface

| a. | jilijili | jilijil | 'oyster species' |
| :--- | :--- | :--- | :--- |
|  | wiwala | wiwal | 'bush mango' |
| b. | wangalk | wangal | 'boomerang' |
|  | wungkunung | wungkunu | 'queenfish' |
| c. | bulumunidami <br>  <br>  <br>  <br> dibirdibi | bulumunida <br> dibirdi | 'dugong' |
| 'rock cod' |  |  |  |

## Lardil and Rule Ordering

|  |  |  | Counterfeeding |
| :--- | :---: | :---: | :---: |
|  | /wangalk/ | /jilijili/ | /dibirdibi/ |
| 1. Final V-deletion | - | jilijil | dibirdib |
| 2. Final [-apic]-C-deletion | wangal | - | dibirdi |
|  | 'boomerang' | 'oyster species' | 'rock cod' |

## Lardil and Two-Level Containment

(32) a. CODACOND

Assign a violation mark for every coda consonant that is not [apical] and not assimilated to a following onset consonant in P.
b. FinalC

Assign a violation mark for every vowel at the right edge of a PrWd in P.

## Lardil and Two-Level Containment

(33) Lardil in Containment: C-deletion

| /wangalk/ | FinaLC | CodaCond | Max-V | Max-C |
| :---: | :---: | :---: | :---: | :---: |
| a. wangalk | ${ }^{*}!$ |  |  |  |
| b. wangal k |  |  |  |  |

(34) Lardil in Containment: V-deletion

| /jilijili/ | FInALC | CodaCond | Max-V | Max-C |
| :---: | :---: | :---: | :---: | :---: |
| a. $\quad$ jilijili | *! |  |  |  |
| b. $\quad$ jilijil i |  |  | $*$ |  |

## Lardil and Two-Level Containment: Overapplication

(35) Lardil in Containment: iterative deletion

| /dibirdibi/ | FinalC | CodaCond | Max-V | Max-C |
| :---: | :---: | :---: | :---: | :---: |
| a. dibirdibi | *! |  |  |  |
| b. dibirdib i |  | *! | * |  |
| - c. dibirdi bi | *! |  | * | * |
| d. dibird ibi |  | *! | ** | * |
| e. dibir dibi |  |  | ** | ** |

## Multi-Level Containment as a Solution?

## Multi-Level Containment

- in the correspondence-theoretic model in McCarthy (1996), all constraint parameters are specified for their level of application:
- 'surface',
- 'indifferent'*, or
- 'underlying'
(36) Constraint triggering umlaut in Icelandic (McCarthy, 1996)

| $*$ | Condition | Level |
| :---: | :---: | :---: |
| $\alpha$ | a | Surface |
| $\beta$ | $\ddot{u}$ | Indifferent |
| Linear Order | $\alpha>\beta$ | Underlying |
| Adjacency | V-to-V | Indifferent |

*Note: Not containment! 'Indifferent' = in the 'underlying' or the surface structure

## Yawelmani and Multi-Level Containment

(37) $S h_{h}^{r d}$

Assign * for every pair of vowels that are underlyingly specified for the same $[ \pm$ hi] value and are not specified for the same value of [ $\pm$ round].

## Yawelmani and Multi-Level Containment: CF

(38)

| /cu:m-al/ (ul=a.) | $\mathrm{V}_{\text {- }-\mathrm{H}}$ ! | $S h_{h}^{\text {rd }}$ | Max[RD] | Max[HI] |
| :---: | :---: | :---: | :---: | :---: |
| $[+\mathrm{rd}] \quad[-\mathrm{rd}]$ <br> a. <br> $\sqrt[{[+h i}]]{[-h i] /}$ c u: m a l | *! |  |  |  |
| \& b . |  |  |  | * |
| c. |  |  |  |  |

## Yawelmani and Multi-Level Containment: CB

| /cusjus-hin/ (ul=a.) | $V_{i_{-H}}!$ | $S h_{h}^{r d}$ | $M[\mathrm{RD}], \mathrm{M}[\mathrm{H}]$ |
| :---: | :---: | :---: | :---: |
| a. | *! | * | $\begin{aligned} & 1 \\ & 1 \\ & 1 \\ & 1 \\ & 1 \\ & 1 \end{aligned}$ |
| b. |  | *! | $\begin{array}{ll} \hline 1 & \\ 1 & \\ 1 & * \\ 1 & \\ 1 & \end{array}$ |
| C. |  |  | $\begin{array}{lll}  & 1 & \\ & 1 & \\ & 1 \\ 1 & \\ 1 & \\ 1 & & \end{array}$ |

## Makassarese and Multi-Level Containment

(40) FinalC

Assign $*$ for every phonetic final vowel that is not present underlyingly.

## Makassarese and Multi-Level Containment

(41) Vowel- and Consonant-epenthesis

| /rantas/ | FinalC | CodaCond | Dep-C | Dep-V |
| :---: | :---: | :---: | :---: | :---: |
| a. rantas |  | *! |  |  |
| b. rantasa | *! |  |  | * |
| c. rantasa? |  |  | * | * |

(42) No Consonant-epenthesis

| /lompo/ | FinalC , CodaCond | Dep-C | Dep-V |
| ---: | :---: | :---: | :---: |
| a. lompo |  |  |  |
| b. lompo? | $\vdots$ | ${ }^{*}!$ |  |

## Lardil and Multi-Level Containment

(43) FinalC

Assign * for every phonetic vowel that is underlyingly final.
$\rightarrow$ different from above: reference to underlying and phonetic status

## Lardil and Multi-Level Containment

(44) Final V-deletion

| /jilijili/ | FinalC | CodaCond | Max-V | Max-C |
| :---: | :---: | :---: | :---: | :---: |
| a. jilijili | *! |  |  |  |
| b. jilijil i |  |  |  |  |

(45) Final $V$ - and $C$-deletion

| dibirdibi/ | FinalC | CodaCond | Max-V | Max-C |
| :---: | :---: | :---: | :---: | :---: |
| a. dibirdibi | *! |  |  |  |
| b. dibirdib i |  | *! | * |  |
| c. dibirdi bi |  |  | * | * |
| d. dibird ibi |  |  | **! | * |

## Prediction 1: Counterbleeding and Insertion

(46) German' under rule-ordering

|  | Counterbleeding |
| :--- | :---: |
|  | /werk-n/ |
| 1. Assimilation | werk-y |
| 2. Insertion | werk-əy |

## German' and Multi-Level Containment

(47) $\quad{ }^{*} C_{\alpha P l} C_{-\alpha P l}$

Assign * for every pair of underlyingly adjacent consonants associated phonetically with different place features.

## German' and Multi-Level Containment

| /werk-n/ | ${ }^{*} C_{\alpha P l} C_{-\alpha P l}$ | $\left.{ }^{*} \mathrm{CC}\right]_{\sigma}$ | DepS | Max[PL] |
| :---: | :---: | :---: | :---: | :---: |
| a. werkn | *! | *! |  |  |
| b. werky |  | *! |  | * |
| c. werkən | *! |  |  |  |
| d. werkəŋ |  |  |  | * |

## German' and Two-Level Containment

- The inserted element intervenes in the phonetically visible and the 'all'-structure: there is no underlying adjacency that can be preserved
(49) German' in containment: constraints
a. ${ }^{*} \mathrm{C}_{\alpha \mathrm{PL}} \mathrm{C}_{-\alpha \mathrm{PL}}$

Assign * for every pair of adjacent consonants associated with different place feature in $\mathbf{P}$.
b. $\left.{ }^{*} \mathrm{CC}\right]_{\sigma}$

Assign $*$ for every consonant at the right word egde that is directly adjacent to a preceding consonant in $\mathbf{P}$.

## German' and Two-Level Containment

| /werk-n/ | ${ }^{*} \mathrm{C}_{\alpha \text { PL }} \mathrm{C}_{-\alpha \mathrm{PL}}$ | $\left.{ }^{*} \mathrm{CC}\right]_{\sigma}$ | DepS | Max[PL] |
| :---: | :---: | :---: | :---: | :---: |
| a. werkn | *! | *! |  |  |
| b. werky |  | *! |  | * |
| c. werkən |  |  | * |  |
| -d. werkəy |  |  |  | *! |

## Prediction 2: Underlying Adjacency

- palatalization (51-a) and vowel deletion (51-b) exist
- vowel deletion bleeds palatalization (51-c)
- but at the same time counterfeeds palatalization (51-d)
(51) Palatalization in Finnish’

|  | Underlying | Surface |
| :--- | :--- | :--- |
| a. | pat-i | patfi |
| b. | ka-u | ku |
| c. | pat-i-o | pato |
| d. | kat-o-is | katis |

## Finnish': Rule Ordering

- under the assumption that the same V-deletion (=hiatus avoidance) applies in both contexts, this pattern can not be modeled
(52) Impossible with rule ordering: Overapplication of palatalization

|  | pat-i-o/ | /kat-o-is/ |
| :--- | :---: | :---: |
| 1. Deletion | pato | katis |
| 2. Palatalization | - | ${ }^{*}$ katfis |

(53) Impossible with rule ordering: Overapplication of palatalization

|  | pat-i-o/ | /kat-o-is/ |
| :--- | :---: | :---: |
| 1. Palatalization | patfio | - |
| 2. Deletion | *patfo | katis |

## Finnish': Multi-Level Containment

(54) * $t i$

Assign * for every phonetically [-pal] stop that is underlyingly and phonetically followed by a high vowel.

## Finnish': Multi-Level Containment

(55)

|  | *VV ${ }^{*} t i$ | Max[PAL] | Max-V |
| :---: | :---: | :---: | :---: |
| i. /pat-i/ |  |  |  |
| a. pati | *! |  |  |
| b. patfi | 1 | * |  |
| ii. /pat-i-o/ |  |  |  |
| a. patio | *! * ${ }^{\text {* }}$ |  |  |
| \& b. patio | 1 |  | * |
| c. patf io | , | *! | * |
| iii. /kat-o-is/ |  |  |  |
| a. katois | *! | 1 |  |
| b. kato is | , |  | * |
| c. katf o is | 1 | *! | * |

## Finnish' and Two-Level Containment

(56)

|  | *VV ${ }^{*}$ ti | Max[PAL] | Max-V |
| :---: | :---: | :---: | :---: |
| i. /pat-i/ |  |  |  |
| a. pati | *! |  |  |
| b. patfi | 1 | * |  |
| ii. /pat-i-o/ |  |  |  |
| a. patio | *! * ${ }^{*}$ |  |  |
| - b. patio | 1 |  | * |
| c. patf io | 1 | *! | * |
| iii. /kat-o-is/ |  |  |  |
| a. katois | *! | 1 |  |
| - b. kato is | ' *! |  | * |
| c. katfo is | 1 | * | 1 * |

## Summary: (Further) Predictions of Multi-Level Containment

|  | Predicted by: |  |  |
| :--- | :---: | :---: | :---: |
| Pattern | RO | 2LC | MLC |
| Underlying Triggers: Yawelmani | $\odot$ | $\odot$ | $\odot$ |
| Phonological DEE: Makassarese | $\odot$ | $\odot$ | $\odot$ |
| Non-iterativity: Lardil | $\odot$ | $\odot$ | $\odot$ |
| CB and Insertion: German' | $\odot$ | $\odot$ | $\odot$ |
| Underlying Adjacency: Finnish' | $\odot$ | $\odot$ | $\odot$ |

(RO=rule ordering; 2LC=Two-Level Containment; MLC=Multi-Level Containment)

## CB and Insertion: German’

- Karvonen and Sherman (1997) argue that glide deletion and subsequent $/ \mathrm{y} /$ epenthesis are an instance of CB and Insertion
$\rightarrow$ Riggs (2008) argues that this is in fact a transparent process (due to avoidance of */ji/)
- Vaux (2002) argues that Armenian assimilation and/ə/-epenthesis are instances of CB
$\rightarrow$ Bakovic (2007) argues that this is not convincing; there is an easy alternative that assimilation applies across /a/ (and Bert Vaux, p.c., agrees)


## Non-Iterativity in Lardil

- the final vowel deletion is only found in the nominative and is hence not phonological at all (Hale, 1973; McCarthy and Prince, 1993; Horwood, 2001; Bye, 2006; Round, 2011)
- cf. Staroverov (2015) for counterarguments against this claim

Underlying Triggers in Yawelmani

- most extensively discussed in the theoretical literature (e.g. Archangeli, 1984; Cole and Kisseberth, 1995; Krämer, 2003, among many)

But:
$\rightarrow$ 'The data discussed here are taken from Stanley Newman's (1944) description. [...] It should be pointed out that not all of the forms cited in this section, nor in the previous generative analyses of Yawelmani, are actually attested in Newman's grammar, the only published source on the language. All nonattested forms are, however, completely parallel in behaviour and patterns of alternation to forms tha are amply attested in Newman's description.' (emphasis ours; Kenstowicz and Kisseberth, 1977, 78)

## Phonological DEE in Makassarese

- well-discussed in the theoretical literature (Aronoff et al., 1987; Basri et al., 1997; McCarthy, 2002)
- empirical facts in the recent description by Jukes (2006):

1. word-final /?/ 'can be realised rather weakly, and it can be difficult to tell if it is there at all.' (Jukes, 2006, 70)
2. the 'echo syllable' is not only present finally but also before pronominal clitics, the determiner, or the stress-shifting possessive suffix!
$\rightarrow$ we should at least be suspicious about the empirical generalization!
(57) Non-final Echo syllable (Jukes, 2006, 99)
a. appásaraka?
aC-pasar=a
MV-'market' $=1$
'I go to the market'
b. botoló?na
botol=na
'bottle'=3.Poss
'his bottle'
(There is a regular process of glottal strengthening: /i/ in onset position becomes $/ \mathrm{k} /$ )

## Summary: Problematic Patterns and the Empirical Picture

| Pattern | Predicted by: |  |  | Attested? |
| :---: | :---: | :---: | :---: | :---: |
|  | RO | 2LC | MLC |  |
| Underlying Triggers: Yawelmani | - | ¢ | - | Not necessarily |
| Phonological DEE: Makassarese | (\%) | (\%) | - | Not necessarily |
| Non-iterativity: Lardil | - | (\%) | - | Not necessarily |
| CB and Insertion: German' | (-) | (\%) | - | No |
| Underlying Adjacency: Finnish' | (\%) | (\%) | - | No |

(RO=rule ordering; 2LC=Two-Level Containment; MLC=Multi-Level Containment)

## Conclusion

## General Summary

| Pattern | Predicted by: |  |  |  | Attested? |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | RO | SCOT | 2LC | MLC |  |
| Counterfeeding: Lomongo | - | ¢ | - | - | Yes |
| Counterbleeding: T. Hebrew | () | $\stackrel{\square}{\circ}$ | - | - | Yes |
| S-D. Feeding: Turkish | () | © | ¢ | - | Yes |
| Underlying Triggers: Yawelmani | () | © | $\bigcirc$ | - | Not necessarily |
| Phonological DEE: Makassarese | (\%) | © | © | - | Not necessarily |
| Non-iterativity: Lardil | () | (\%) | $\bigcirc$ | - | Not necessarily |
| CB and Insertion: German' | () | © | © | - | No |
| Underlying Adjacency: Finnish' | (2) | (\%) | © | - | No |

(RO=rule ordering; SCOT=standard correspondence-theoretic OT; 2LC=Two-Level Containment; MLC=Multi-Level Containment)

## Conclusion

- containment is able to solve opacity problems standard correspondence-theoretic OT faces
- multi-level containment overgenerates (Finnish', German', ...)
- two-level containment is the more restrictive theory: it apparently undergenerates
- however, the examples for phonological DEE, underlying triggers, and non-iterativity are isolated instances and allow at least more interpretations of the empirical facts


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