# Tone features and underspecification

Morphological H-tones in Macuiltianguis Zapotec

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#### Main Claim

- the assumption of (sub-)tonal features predicts that the same surface tones may have different (underspecified) phonological representations
- the asymmetric behaviour of H-tones in Macuiltianguis Zapotec follows under such an account:
  - more complex [+Upper,+raised] can only associate locally and to a single TBU
  - underspecified [+raised] can associate non-locally and changes the tone of all TBU's associated to one vowel

#### Structure of the talk

- 1. Data: Tones in Macuiltianguis Zapotec
- 1.1 Background on MacZ
- 1.2 Different high tones in MacZ
- 2. An account for MacZ in terms of (sub)tonal features
- 2.1 Tone features in MacZ
- 2.2 Theoretical background: Coloured Containment-based OT
- 2.3 OT-Analysis for H-tones in MacZap
- 2.4 Summary
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- 4. Summary



Data: Tones in Macuiltianguis Zapotec

### Macuiltianguis Zapotec (=MacZ)

- an Otomanguean language spoken in Oaxaca, Mexico
- data based on Broadwell and Zhang (1999); Broadwell (2000); Foreman (2006), and especially Broadwell et al. (2011)
- (1) State of Oaxaca (Wikimedia, 07/01/16)



# Tone in Macuiltianguis Zapotec (=MacZ)

- three level tones high (=H, á), mid (=M, a), and low (=L, à), and a downstepped H (=!á)
- tone sequences HL and LH on long vowels; TBU=μ

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(2) Tone in MacZ (Foreman, 2006, 40)

íjːá 'rock' ijːa 'rain'

bélːá 'fish' bèlːà 'snake'

beːlia 'cave' bêːlia 'star'

dǎː 'bean' dâː 'lard'
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# Spreading of stem-final H and M

- root-final H and M spread one TBU to the right (3-a+b)
- ◆ spreading is blocked by /?/ (3-c)
- (3) Spreading of root-final H/M (Broadwell et al., 2011, 3)

•		•
	Underlying	Surface
a.	be-làːlja-nà-nà	be-làːlja-n <b>a</b> -nà
	Coм-spill-3SgS-3SgO	'S/he spilled it'
b.	be-làpːá-nà-nà	be-làpːá-n <mark>á</mark> -nà
	Coм-clean.up-3SgS-3SgO	'S/he cleaned it up'
c.	be-sìːgáʔ-nà-nà	be-sìːgáʔ-nà-nà
	Coм- push-3SgS-3SgO	'S/he pushed it'

# Spreading of H from the potential prefix

- ♦ the H-toned prefix /gú-/ POTENTIAL causes an additional H on the following TBU
- (4) Potential (Broadwell et al., 2011, 4+8)

	Underlying	Surface
a.	gú-di-bìθːà-nà-nà	gú-dí-bìθːà-nà-nà
	Poт-Caus-wet-3SgS-3SgO	'S/he will wet it'
b.	gú-sìːgáʔ-nà-nà	gú-sîːgá?-nà-nà
	Рот- push-3SgS-3SgO	'S/he will push it'
c.	gú-tùːbí-já-nà	gú-t <b>û</b> ːbí-já-nà
	Poт-roll-1SgS-3SgO	'I will roll it'
d.	gú-làpːá-nà-nà	gú-l <mark>á</mark> pː <sup>!</sup> á-ná-nà
	Рот-clean.up-3SgS-3SgO	'S/he will clean it up'

# Different tone spreading operations?

◆ no spread from M-toned prefixes (e.g. COMPL /be-/ or HAB /ru-/)

	Underlying	Surface
a.	be-làːlja-nà-nà	be-làːlja-n <b>a</b> -nà
	Coм-spill-3SgS-3SgO	'S/he spilled it'
b.	be-làpːá-nà-nà	be-làpːá-n <b>á</b> -nà
	Coм-clean.up-3ScS-3ScO	'S/he cleaned it up'

→ since /gu-/ is the only H-toned prefix in MacZ, the additional H in this context is taken to be morpheme-specific (=bound to the presence of this affix)

- an additional H is realized on the verb base:
  - on a vowel followed by /?/,
     be-tsì:ga?-jà-nà
     be-tsì:gá?-jà-nà
     Com-get.dirty-1ScS-3ScO
     'I dirtied it'

- an additional H is realized on the verb base:
  - on a vowel followed by /?/,
     be-tsì:ga?-jà-nà be-tsì:gá?-jà-nà
     Com-get.dirty-1SgS-3SgO 'I dirtied it'
  - on the leftmost L-toned TBU if there is no such vowel,
     be-biθ:à-jà-nà
     be-biθ:à-jà-nà
     Com-wet-1ScS-3ScO 'I wetted it'

- an additional H is realized on the verb base:
  - on a vowel followed by /?/,
     be-tsì:ga?-jà-nà be-tsì:gá?-jà-nà
     Com-get.dirty-1SgS-3SgO 'I dirtied it'
  - on the leftmost L-toned TBU if there is no such vowel,
     be-bìθ:à-jà-nà
     be-bíθ:à-jà-nà
     Com-wet-1ScS-3ScO 'I wetted it'
  - and on the rightmost M-toned TBU if there is no L-toned TBU.
     be-∫atta-jà-nà
     be-∫attá-já-nà
     Com-iron-1ScS-3ScO 'I ironed it'

(Different generalization based on a preference for the tone to reach the stressed position in Foreman (2006) or Broadwell and Zhang (1999))

- (5) Abstract Summary
  - a. To glottalized V

 $LL.M? \rightarrow LL.H?$ 

 $LL.H? \rightarrow LL.H?$ 

 $M?.H \rightarrow H?.H$ 

b. *Else to leftmost L* 

 $L.M \rightarrow H.M$ 

 $M.L \rightarrow M.H$ 

 $L.L \rightarrow H.L$ 

LL.M  $\rightarrow$  HH.M

LL.H  $\rightarrow$  HH.<sup>!</sup>H

c. Else to rightmost M

 $M.M \rightarrow M.H$ 

# Two different morphological H-tones?

Rоот	1.SG	Рот (after /gu-/)	
tùːbí	t <b>úː</b> !bí	tûːbí	
sìːgá?	sìːg <b>á</b> ʔ	sî:gá?	

# Two different morphological H-tones?

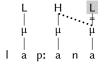
Rоот	1.SG	Рот (after /gu-/)	
tùːbí	t <b>úː</b> !bí	t <b>û</b> ːbí	
sì:gá?	sìːg <b>á</b> ?	sî:gá?	

### The asymmetry

1SG POT		Рот
Locality	on 1. or 2. syllable	always on TBU after /gu-/
Effect for V:	Overwriting: Ý:	Contour tone: V:

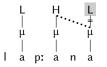
# The challenge for an account where tones are primitives

(6) Phonological H-spread from stem-final TBU

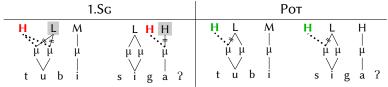


# The challenge for an account where tones are primitives

(6) Phonological H-spread from stem-final TBU



- ◆ Pot and 1SG are instances of **morphological H-tones**: (floating) tones present in certain morpho-syntactic configurations
- (7) Two types of morphological tones



An account for MacZ in terms of (sub)tonal features

### Assumption: tonal features (Yip, 1989; Snider, 1990; Hyman, 1992)

- ◆ register [±Upper] divides pitch range of voice in half; [±raised] subdivides register (Yip, 1980; Pulleyblank, 1986)
- three tones specified with two tone features [ $\pm Upper$ ] and [ $\pm raised$ ]
- ◆ underspecified tones (8-b) interpreted with a default [-raised] value

#### (8) Tone in MacZ

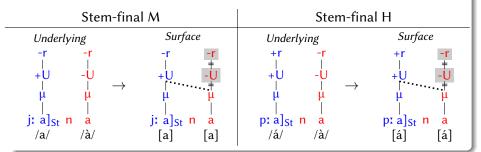
	L	M	Н
a.	-r   -U	-r   +U	+r   +U
b.	-U	+U	

#### Sub-tonal representation: Prediction I

#### I. H and M are a natural class

Predicts that H and M spread from stem-final TBU's.

(9)



#### Sub-tonal representation: Prediction II

#### **II. Different H-tones**

Addition of floating [+r] and [+U,+r] has in principle the **same surface effect**: realization of a H-tone instead of the underlying tone (=overwriting).

(van Oostendorp, 2006; Trommer, 2011; Zimmermann, 2014; Trommer and Zimmermann, 2014)

- (11) Containment (Prince and Smolensky, 1993/2004) Every element of the phonological input representation is contained in the output.
  - 1. No deletion: unrealized elements are not integrated under the highest prosodic node (=Stray Erasure, McCarthy, 1979; Steriade, 1982; Itô, 1988)
    - → for tone: unassociated high has no effect on adjacent tones (in the languages under discussion); unassociated low may cause downstep
- (12) Marking conventions: phonetically unrealized elements

  Phonological structure | Phonetic interpretation

  H L M  $\mu$   $\mu$   $\mu$  [tù:bi]

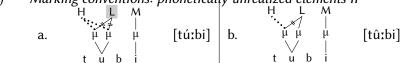
- No deletion of association lines: they can only be marked as 'phonetically invisible' (=not interpreted)
- (13) Marking conventions: different types of association lines

Morphological association lines		Epenthetic association lines	
phonetically phonetically		phonetically	phonetically
visible:	invisible:	visible:	invisible:
a.	b.	c.	d.

- No deletion of association lines: they can only be marked as 'phonetically invisible' (=not interpreted)
- (13) Marking conventions: different types of association lines

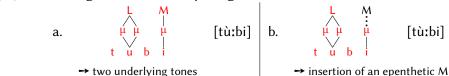
Morphological association lines		Epenthetic association lines	
phonetically	phonetically	phonetically	phonetically
visible:	invisible:	visible:	invisible:
a.	b.	c.	d.

(14) Marking conventions: phonetically unrealized elements II



Constraints: sensitive to only the phonetically visible or all structure (='constraint cloning' Trommer, 2011; Trommer and Zimmermann, 2014)

- **3.** All morphemes have a 'colour' (=affiliation); epenthetic elements are colourless
- (15) Marking conventions: morphological colours



#### Locality of association under containment

- phonetically visible association lines can not cross (Goldsmith, 1976)
- a phonetically invisible association line might be 'crossed', under violation of \*Cross
- 'crossed' elements remain invisible under violation of Max and Have

'local'

'nonlocal'

# Non-local association: general predictions

(17) Non-local overwriting: 'Simple' structure



(18) Non-local overwriting: 'Complex' structure



### Non-local association: general predictions

(17) Non-local overwriting: 'Simple' structure



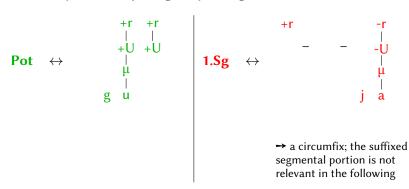
(18) Non-local overwriting: 'Complex' structure



- non-local association of a more complex superset-structure implies non-realization of a superset of structure
  - → 'smaller' things can more easily reach a non-local position
- the 'crossed' elements are neutralized to default structure or take the value of the 'crossing' element (=spreading)

# Assumption: Representation of floating High tones

#### (19) Two different morphological (floating) H-tones

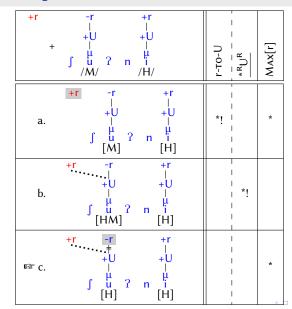


# Overwriting in containment: Constraints

- (20) a. R-TO-U Assign a violation mark for every  $[\pm r]$  that is not associated to a  $[\pm U]$ .
  - b.  $\frac{{}^*R}{Assign}$  Assign a violation mark for every  $[\pm U]$  that is phonetically visibly associated to more than one feature  $[\pm r]$ .
  - c. Max[R]Assign a violation mark for every phonetically invisible  $[\pm r]$ .

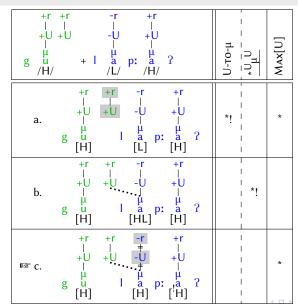
# Overwriting: 1Sc-H

(21)



# Overwriting: Pot-H

(22)



### Asymmetry 1: Locality

- ◆ 1SG [+r] realized non-locally (on first or second syllable of stem)
- ◆ Pot [+U,+r] realized only locally (on the first TBU following /gu-/)

### Preferred realization site for a high tone

- ♦ the preference for being realized on a vowel followed by /?/is taken to be standard case of consonant-tone interaction (Lee, 2008; Tang, 2008, cf. also the blocking of H/M-spread across /?/)
- (23)  $\frac{*-cG/H}{Assign a violation mark for every phonetically visible vowel that is$

associated to [+r] but not followed by a [+cg]-sound.

(the additional preferences triggering non-local H-realization (cf. slide 10) follow from faithfulness preserving M-tones and a preference for M-tones on the initial TBU)

#### Additional constraints

#### (24) a. Have[U]

Assign a violation mark for every phonetically visible  $\mu$  that is not associated to a  $[\pm U]$  in a phonetically visible way.

### b. $\underline{\mathsf{HAVE}[R]}$

Assign a violation mark for every phonetically visible  $[\pm U]$  that is not associated to a  $[\pm r]$  in a phonetically visible way.

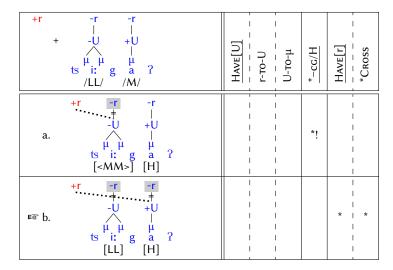
#### c. \*Cross

Assign a violation mark for every instance of crossing association lines.

(=for every pair of features  $A_1$  followed by  $A_2$  on tier n if  $A_1$  is associated to  $B_2$  and  $A_2$  to  $B_1$  if  $B_1$  precedes  $B_2$  on tier n-1)

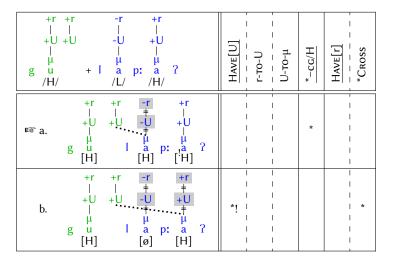
# Non-local realization possible for the 1.SG-H

(25)



### Non-local realization impossible for the Pot-H

(26)



## Asymmetry 2: Effect for V:

- ◆ 1Sc [+r] overwrites V: to V:
- ◆ Pot [+U,+r] creates rising contour Ûː

## Avant propos: [+r] 'overwrites' an L-tone

- ◆ since there are no [-U,+r] tones in MacZ, realization of [+r] implies insertion of an epenthetic [+U]
- (27) [+r] realized on an underlying L-toned TBU



#### Additional constraints

#### (28) a. \*Cont<sub>V</sub>

Assign a violation mark for every phonetically visible V associated to two different tones in a phonetically visible way.

b. DepAL( $U-\mu$ )

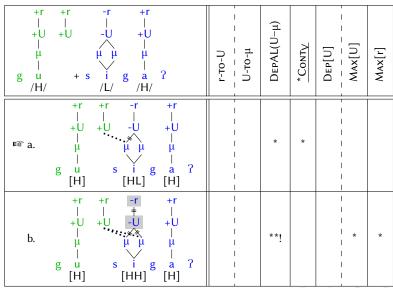
Assign a violation mark for every colourless association line between a morphologically coloured  $[\pm U]$  and a morphologically coloured  $\mu$ .

(Trommer and Zimmermann, 2014)

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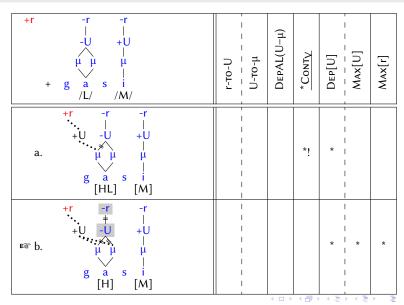
### V:-Asymmetry: Contour creation for the Рот-Н

(29)



## Vz-Asymmetry: Complete overwriting for the 1.SG-H

(30)



### Summary: Analysis for MacZ

Asymmetry of 1.SG-H and Poτ-H follows from their **different specification**:

- less complex [+r] can associate 'across' other [±r] specifications to reach a preferred TBU;
   the more complex [+U,+r] cannot since (the 'crossed') μ's would remain without an overt specification for [±U]
- overwriting of an underlying L-tone implies insertion of an epenthetic
  [+U] for [+r] additional association lines to avoid a contour tone are
  less costly than they are for associating [+U,+r]

## Summary: The ranking for MacZ

121)

1. T-TO-U

1. V-TO-μ

1. V

(tested with the help of OTHelp (Staubs et al., 2010))

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# Further implications

## Locality asymmetry of tone-demanding suffixes in Bora

(Seifart, 2005; Thiesen and Weber, 2012; Roe, 2014)

- Witotoan language, spoken in Northern Peru
- two tone levels H and L; H is assumed to be the default
- some suffixes impose L: on the final or penult TBU of their base

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(32) Suffixes imposing L on final or penult base \sigma
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```
a. o ma^{x}tf^{h}o-^{L}t^{h}\epsilon-^{2}i ó má^{x}tf^{h}ò-^{L}t^{h}\epsilon-^{2}i (Thiesen and Weber, 2012, 77) l eat-go.do
```

- b. aːnɯ-kpa-<sup>L</sup>ma áːnúi-kp<mark>à</mark>-mà (Roe, 2014, 92) cassava.shoot-slab-Soc 'with a cassava.shoot for planting'
- c. ma<sup>x</sup>tf<sup>h</sup>o-<sup>Lø</sup>me m<mark>à</mark><sup>x</sup>tf<sup>h</sup>ó-mè (Thiesen and Weber, 2012, 77) eat-An.PL 'they ate'
- d. imipa<sup>x</sup>tf<sup>h</sup>o-<sup>Lø</sup>me ímíp<mark>à</mark><sup>x</sup>tf<sup>h</sup>ó-mè (Thiesen and Weber, 2012, 77) fix-An.PL 'they fix'

### Locality asymmetry for tone-demanding suffixes in Bora

- there is a preference for L-tones to be realized on the penultimate TBU of the base due to ALIGN(L;L) or a preference for stressed position:
   \*-U,-R/NHD (de Lacy, 2002)
- ◆ some floating L's ([-U,-r]) can reach this preferred position and others ([-r]) not

## Locality asymmetry of tone-demanding suffixes in Bora

(33) Local association:  $-^{L}t^{h}\varepsilon$ 

(34) Non-local association:  $/-^{L\emptyset}m\epsilon/$ 

$ \begin{array}{c ccccccccccccccccccccccccccccccccccc$	HAVE[U]	*-U,-R/NHD	*CROSS
+r +r -r +r + + + + + + + + + + + + + +		*	
b. μ μ μ μ m a <sup>x</sup> tf <sup>h</sup> o t <sup>h</sup> ε [Γ] [H]	*!		

$\begin{array}{cccccccccccccccccccccccccccccccccccc$	HAVE[U]	*-U,-R/NHD	*CROSS
$\begin{array}{cccccccccccccccccccccccccccccccccccc$		*!	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$			*



 the asymmetric behaviour of different morphological H-tones in MacZ follows under the assumption of tonal features and underspecification



- the asymmetric behaviour of different morphological H-tones in MacZ follows under the assumption of tonal features and underspecification
- non-local association of (non-complex) floating tone features under the pressure of higher-ranked markedness constraints is possible in a containment-based system

- the asymmetric behaviour of different morphological H-tones in MacZ follows under the assumption of tonal features and underspecification
- non-local association of (non-complex) floating tone features under the pressure of higher-ranked markedness constraints is possible in a containment-based system
- extends the argument that phonetically identical tones may have different phonological specification in a tone feature account
  - two different M's in Bimoba (Snider, 1998): downstepped H vs. underlying M
  - two different L's in Mundurukú (Picanço, 2005)
  - two different H-tones in MacZ



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### A1: More examples for the 1.SG formation

#### (35) 1.Singular (Broadwell et al., 2011, 6+7)

	Underlying	Surface
a.	be-tsìːg <mark>a</mark> ʔ-jà-nà Сом-get.dirty-1SgS-3SgO	be-tsìːg <mark>á</mark> ʔ-jà-nà 'I dirtied it'
	be-∫ <mark>u</mark> ?ní-jà-nà Сом-wrinkle-1SgS-3SgO	be-∫ <mark>ú</mark> ʔní-já-nà 'I wrinkled it'
b.	be-b <mark>ìθ:à-jà-nà</mark> Coм-wet-1SgS-3SgO	be-b <b>í</b> θːà-jà-nà 'I wetted it'
	be-di-g <mark>à:</mark> si-jà-nà Сом-Саиs-be.scared-1ScS-3ScO	be-di-g <mark>áː</mark> si-ja-nà 'I scared it'
	be-deʧ <mark>ːù</mark> -jà-nà Сом-fold-1\$gS-3\$gO	be-detʃː <mark>ú</mark> -já-nà 'I folded it'
	be-t <mark>ù:</mark> bí-jà-nà Сом-roll-1SgS-3SgO	be-t <mark>úː¹</mark> bí-já-nà 'I rolled it'
c.	be-∫at <mark>ːa</mark> -jà-nà Сом-iron-1SgS-3SgO	be-∫at <b>ːá</b> -já-nà 'I ironed it'
	be-neːsi-jà-nà Сом-submerge-1ScS-3ScO	be-neːsí-já-nà 'I submerged it'

#### A2: Tonal features

(36) Tonal features (Yip, 1989; Snider, 1990; Hyman, 1992)

Extra high	High	Mid	Low
+r	-r	+r	- <u>r</u>
ļ			
+U	+0	-0	-0

- register [±Upper] divides pitch range of voice in half; [±raised] subdivides register (Yip, 1980; Pulleyblank, 1986)
- arguments:
  - restrictions for contour tones (e.g. only contours in one  $\pm U$  register)
  - processes between non-contiguous tones possible (e.g. Ewe: (-U,+h) becomes (+U,+h) after (+U,-h); (Odden, 1995))
  - register shift (e.g. upstep in Krachi (Snider, 1990))
  - same surface tones may have different underlying representation (e.g. Snider, 1998; Picanço, 2005)

### A3. The H in the potential as morphological H?

(37) No spreading from H-toned suffix/clitic (Foreman, 2006, 80)

 Cáásí íttú ttsitaa' cààbínàyhà ò méénús ruulà.
 {Wedding.Story.1}

 cáásí íttú ttsitaa' cààba=ní almost about fourteen
 s/probably.be=PREP = 3N = AFF
 or less even

 She was probably about fourteen or even less.

## A4. More morpheme-specific H-spread?

- ◆ in the descriptions of MacZ in Broadwell (2000) and Foreman (2006): certain preverbal elements spread their H (38-a+b), other H-final ones do not (38-c)
- (38) Morpheme-specific H-Spread from preverbal elements
  - a. là:nà gù-tò:-nà bì:já he Com-eat-3 something 'He ate something'
  - b. ànú gú-tòː-nà-nà (Broadwell, 2000, 22) nobody Com-eat-3-3
    - 'Nobody ate it'
  - c. àbíː rulaːsaʔ=jaʔ békːúʔ nuʔ rujhiːaʔ=ná (Foreman, 2006, 72)

    NEG HAB.like=1.SG dog REL HAB.bark=Invis

    'I don't like that dog which is barking'



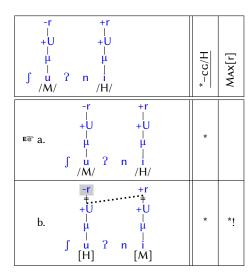
(Broadwell, 2000, 22)

## A5. No repair for underlying /V?/

♦ high-ranked \*-cc/H has no effect for an underlying H-toned V not followed by /?/: reassociation of an underlying [+r] would not help at all since \*-cc/H is insensitive to the phonetic visibility of the association line linking the vowel and the [+r]

### A5. No repair for underlying /V?/

(39)



### A6. No repair for underlyingly non-initial M

(40)

-r -r +U +U μ μ ∫ a t: a /M/ /M/	HAVE[U]	Dep[U]	*Long[U]	InitM
-r -r 				*
b.			*!	

- a possible alternative: the potential prefix and the 1.SG formation are optimized in different strata (Trommer, 2011; Bermúdez-Otero, in preparation)
  - Stratum 1 (incl. 1.Sc morpheme) floating H associates non-locally to preferred position
  - Stratum 2 (incl. Pot morpheme) floating H associates locally to adjacent position

#### an OCP-effect:

- if the potentical-H and 1.Sg-H are expected on adjacent TBU's, only the former surfaces (41-a)
- if both are expected on the same TBU, the Pot-H (=contour creation) surfaces (41-b)
- (41) OCP effect: no adjacent Pot-H and 1.SG-H (Broadwell et al., 2011, 8)

	Underlying	Surface	
a.	gú-di-bìθːà-jà-nà	gú-dí-bìθːà-jà-nà	*gú-dí-bíθːà-jà-n
	Pot-Caus-wet-1SgS-3SgO	'I will wet it'	
b.	gú-tùːbí-jà-nà	gú-tûːbí-já-nà	*gú-túː <sup>!</sup> bí-já-nà
	Pot-roll-1SgS-3SgO	'I will roll it'	

(42) A stratal account for the OCP effect

a. Underlying bìθιà

Stratum 1 biθ:ì 1.Sg-H associates Stratum 2 gú-dí-bìθ:à Pot-H associates

1.SG-H deassociates due to OCP

a.

#### (42) A stratal account for the OCP effect

Underlying bìθ:à

Stratum 1 biθ:ì 1.SG-H associates

Stratum 2 gú-dí-bìθ:à Pot-H associates

1.SG-H deassociates due to OCP

b. Underlying tù:bì

Stratum 1 túː¹bì 1.SG-H associates Stratum 2 gú-tûːbì Pot-H associates

1.SG-H deassociates due to OCP

#### But:

◆ if this implies that the OCP in stratum 2 triggers deletion of H-tones adjacent to the newly associated Pot-H, wouldn't we then mispredict deassociation of the second stem-H in (43)?

\*/gú-lápːà/ instead empirically correct /gú-lápːlá/

(43) A stratal account for the OCP effect

Underlying làp:á

Stratum 1 làpiá

Stratum 2 \*gú-lápːà Рот-Н associates

stem-H deassociates due to OCP