

Exocentric mutation

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Mutation: Morphology by Feature Modification

(1)

V Quality: Bruder ‘brother’ ~ Brüder ‘brothers’ (German)

C Quality: **d**astah ‘to dig’ ~ **n**astah ‘I dig’ (Texistepec Popoluca)

V Length: gudù ‘walk’ ~ gudù: ‘walking’ (Hausa)

C Length: katai ‘hard’ ~ kattai ‘hard!’ (Shizuoka Japanese)

Tone: gwè ‘swam’ (Sg) ~ gwé ‘swam’ (Pl) (Ngbandi)

Two Major Models of Mutation

A. Cyclic Feature Transformation: Mutation is triggered by morphological rules (constraints) which execute (require) feature changes

Morphology

́ V [N +plural] → [-back]

Brüder

Phonology

—

Two Major Models of Mutation

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Morphology

$\acute{V} [N +\text{plural}] \rightarrow [-\text{back}]$

Brüder

Phonology

—

B. Cyclic Feature Concatenation: Mutation is an effect of feature affixation + association of the feature affix to base material

Morphology

$[\acute{V}]_N + [-\text{back}][+\text{plural}]$

Bruder+ $[-\text{back}]$

Phonology

$\acute{V}_{[+\text{back}]+[-\text{back}]} \rightarrow \acute{V}_{[-\text{back}]}$

\Rightarrow Brüder

Mutation cum Segmental Affixation

(2)

V Quality: **B**uch 'book' ~ **B**üch-er 'books' (German)

C Quality: **f**amar-ße 'small' (C2) ~ **p**amar-o 'small' (C1) (Fula)

V Length: **t**o 'take' ~ **t**o:-ru 'take' (Pass.) (Tarahumara)

C Length: ca**m** 'eat' (tr.) ~ ca**mm**-o 'eat' (intr.) (Päri)

Tone: **t**ádà 'boy' ~ **t**àdà-wa 'boys' (Kanuri)

Cyclicity in Morphology

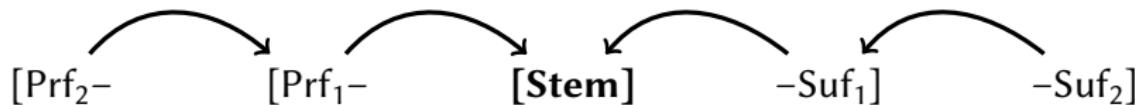
[**Stem**]

[Prf₁- [**Stem**] -Suf₁]

[Prf₂- [Prf₁- [**Stem**] -Suf₁] -Suf₂]

Directionality of Morphological Processes

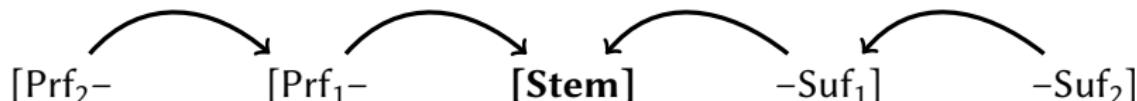
Endocentric:



=_{def} A morphophonological process on a morphological constituent *C* is triggered by a constituent *C'* that is morphologically more peripheral than *C*.

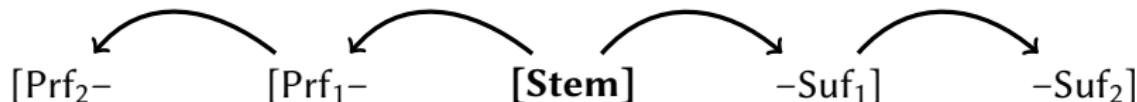
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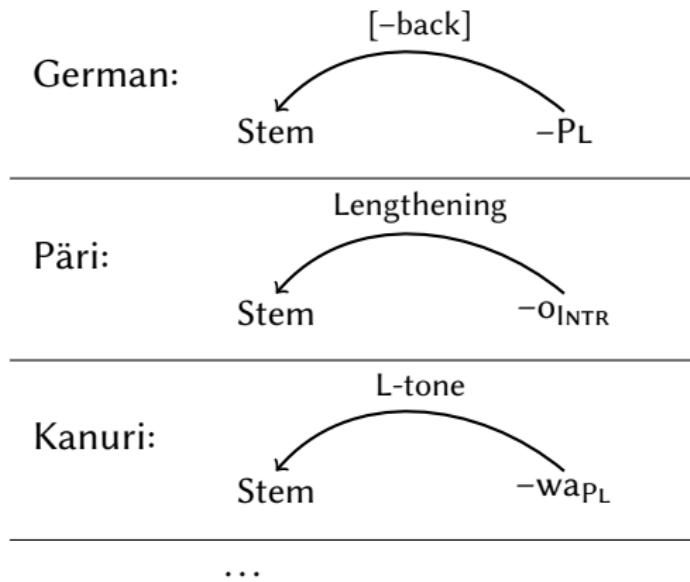
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Endocentric mutation

→ all examples so far ((1) and (2)) are **endocentric**:



Where the Models Differ in Their Predictions

Cyclic Feature Transformation

- All mutation is endocentric
 - Transformations are inherently cyclic base modifications

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Cyclic Feature Concatenation

- Mutation may be endocentric, exocentric, or mixed
 - Morphology: Every morpheme can introduce floating features
 - Phonology: Floating features may attach to any phonological object

All mutation is endocentric.

Goal of this talk

- Show that SBM is empirically untenable (cf. Wolf 2009).

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- Provide examples of exocentric mutation for different types of features (length, tone, segmental features).
- Present new formal types of counterexamples to the SBM.

Exocentric Mutation: Data

Kpelle

Exocentric stem-to-affix mutation in Kpelle

- tones: H, M, L, HL; TBU=σ
- 5 classes of nouns; class 2 and 5 have same surface tone pattern but affect following morpheme (affix/word) differently

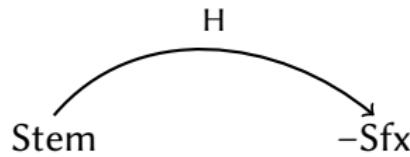
		BASE	P _L	
1.	H.H	wúlú	wúlú-ṣáà	'tree'
2.	L.L	yàlà	yàlà-ṣáà	'lion'
3.	L.HL	yòwó	yòwó-ṣàà	'axe'
4.	H.HL	yílê	yílé-ṣàà	'dog'
5.	L.L	gbònò	gbònò-ṣàà	'ring'

(Konoshenko 2008:24)

Exocentric stem-to-affix mutation in Kpelle

Analysis

- plural affix is underlyingly low: /-xàà/; e.g. **gbònò-xàà** (cl.5)
- final HL-contour on N is simplified and L shifts to affix: yílé-xàà (cl.3+4)
- final H of N spreads to this affix: wúlú-xáà (cl.1)
- class 2 has a final floating H: **gyàlà-xáà**



Gā

Gă (Paster 2000, 2003)

- Tense-Aspect is structurally inside of subject agreement

(4)

mí-**n**-cha
1Sg-**Prog**-dig

'I'm digging'

mí-cha-**a**
1Sg-dig-**Hab**

'I dig habitually'

e-**baá**-cha
3Sg-**Fut**-dig

'I will dig'

é-**!l**á
3Sg-**Perf**-sing

'he has sung'

(Paster 2000:8, Paster 2003:32)

Exocentric affix-to-affix mutation in Gā

- tonal overwriting of TAM on AGR

(5)

	HABITUAL (Underlying H/L-Tone)	PERFECTIVE (Grammatical H)	SIMPLE PAST (Grammatical L)
1SG	mí -cha-a	mí -cha	mi -dú
2SG	o -cha-a (‘dig’)	ó -cha (‘dig’)	o -dú (‘cultivate’)

(Paster 2003:28–30)

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Interim summary

Interim summary: Simple cases of exocentric mutation

- Kpelle: stem triggers mutation on more outwards affix
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(6) *Simple exocentric mutation: overview*

Stem	-Afx _i	-Afx _o
Chukchee (vow.F) Fula (cons.F) Modern Greek (stress) Shoshone (length, cons.F (nas, gl)) Kpelle (tone) Awa (tone) Fore (tone)	Gã (tone) Gaahmg (tone) Chaha (cons.F.)	

Dhaasanac

Endo- vs. autocentric mutation in Dhaasanac

- various morphological lengthenings (gemination/V-lengthening)
- plural for certain nouns formed by suffixation of /-an/ and gemination of a preceding stem consonant (7-a)
- restriction: no gemination in polysyllabic words
- if gemination is blocked for polysyllabic nouns, the affix surfaces with a long V (7-b)

	BASE	PL	
a.	kur	kur <small>r̥</small> am	'knee'
	kór	kór <small>r̥</small> am	'double-pointed fork'
	ʃar	ʃar <small>r̥</small> am	'a kind of stick'
b.	?ar:oŋod	?ar:oŋod <small>a:</small> m	'clearing-stick'
	?oŋor	?oŋor <small>a:</small> m	'black'
	deger	deger <small>a:</small> m	'barren'

(Tosco 2001:87)

Endo- vs. autocentric mutation in Dhaasanac

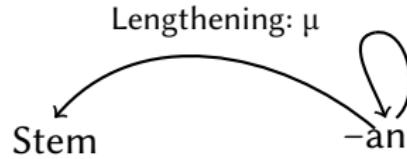
Analysis

- morphological lengthening strives to be realized on the stem
- if this is blocked, it is realized on the affix itself

Endo- vs. autocentric mutation in Dhaasanac

Analysis

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- if this is blocked, it is realized on the affix itself



→ Alternation between endocentric and autocentric mutation

Tamil

Endo- vs. Exo-centric mutation in Tamil

- intransitivization marked by gemination of a stem-final C
→ endocentric mutation

(8)

TRANS.STEM	PST		INTR.STEM	PST	
uu <u>d</u> (u) _{epenth}	uu <u>d</u> -in-	'blow'	uu <u>tt</u> (u) _{epenth}	uu <u>tt</u> -in	'pour'
tirum <u>b</u> (u) _{epenth}	tirum <u>b</u> -in-	'return'	tirup <u>pp</u> (u) _{epenth}	tirup <u>pp</u> -in-	'return'
surung <u>g</u> (u) _{epenth}	surung <u>g</u> -in-	'shrink'	suruk <u>kk</u> (u) _{epenth}	suruk <u>kk</u> -in-	'shrink'
uur(u) _{epenth}	uur-in-	'ooze'	uu <u>tt</u> (u) _{epenth}	ut <u>tt</u> -in-	'pour'

(Sundaresan&McFadden 2014:2+3)

Endo- vs. Exo-centric mutation in Tamil

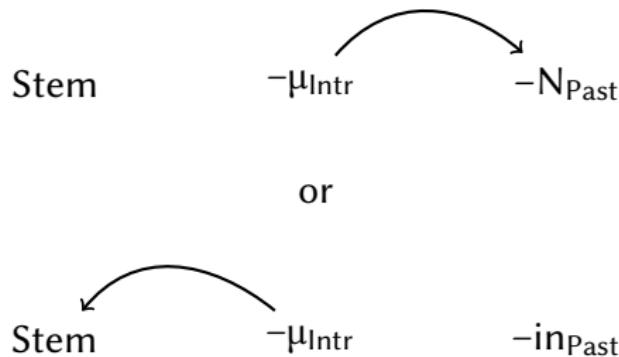
- a different allomorph for the past tense /ndʒ/ for stems in (9) and gemination now affects the past tense suffix (or any suffix in this position)
- exocentric mutation**

(9)

TRANS.STEM	PST	INTR.STEM	PST
odæ	odæ- ndʒ-	odæ	odæ- čč-
vedi	vedi- ndʒ-	vedi	vedi- čč-
valar	valar- nd-	valar	valar- tt-
mudi	mudi- ndʒ-	mudi	mudi- čč-

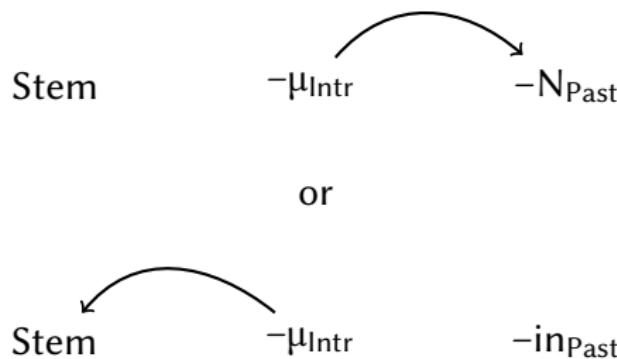
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Endo- vs. Exo-centric mutation in Tamil



→ **Alternation between endo- and exocentric mutation**

Endo- vs. Exo-centric mutation in Tamil



→ **Alternation between endo- and exocentric mutation**

Analysis

- the intransitive lengthening strives to be realized as gemination of the following suffix
- for the V-initial Pst-allomorph, gemination of a suffix-C is impossible: gemination of a stem consonant

Interim summary

Interim summary: Complex cases of exocentric mutation

(10) *Complex mutation: overview*

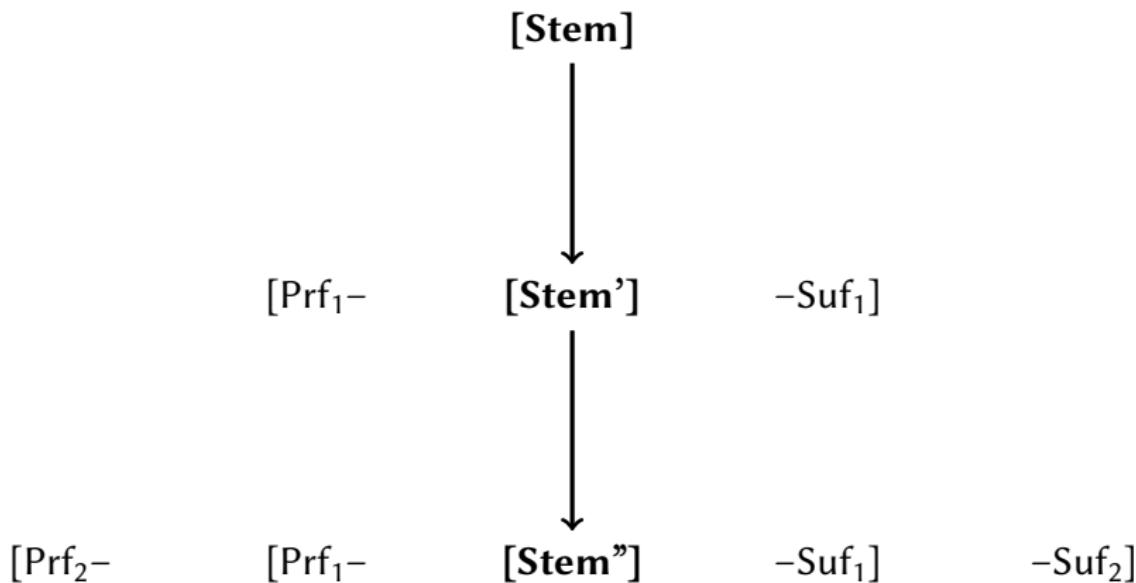
Alternation		
endo- vs. exo-	endo- vs. auto-	exocentric blocking
Tamil (length)	Dhaasanac (length)	Aymara (length)

Cyclic accounts restricted by SBM

Cyclic Transformational Approaches to Morphophonology

1. Word and Paradigm Morphology (Anderson 1992)
2. Transderivational Antifaithfulness Theory (Alderete 1999)
3. REALIZE MORPHEME (Kurisu 2001)

Cyclic Featural Transformations are Inherently Endocentric



Featural Concatenation may have Endocentric Effects...

[**Stem**]



...or Exocentric Effects

$[+F] [Stem] [+F]$

$[Prf_1-] \xrightarrow{ } [+F] [Stem] [+F] \xrightarrow{ } -Suf_1]$

$[Prf_2-] \xrightarrow{ } [+F] Prf_1- \quad [Stem] \quad -Suf_1 [+F] \xrightarrow{ } -Suf_2$

Antifaithfulness (Alderete 1999)

1. transderivational faithfulness relations (Benua 1997): allow to compare (morphologically related) output forms
 - +
2. every standard faithfulness constraint exists in a negative version demanding *unfaithfulness*

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1. transderivational faithfulness relations (Benua 1997): allow to compare (morphologically related) output forms
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2. every standard faithfulness constraint exists in a negative version demanding *unfaithfulness*
- transderivational antifaithfulness constraints demand **unfaithfulness** with respect to a certain phonological dimension that distinguishes **two morphologically related words**

Antifaithfulness and endocentric mutation

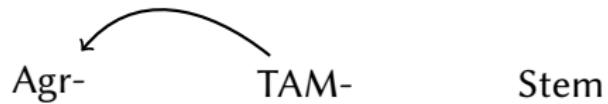
(11) *Antifaithfulness analysis for endocentric mutation in Texistepec Popoluca*

dastah + 1.SG	MaxS	\neg OO-IDENT NAS[dastah]	IDENT-NAS
a. dastah		*!	
b. astah	*!	*!	
c. nastah			*

Recall: exocentric mutation in Gā

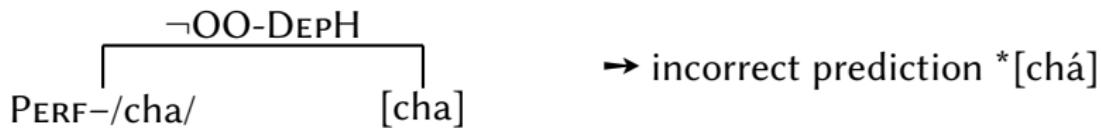
- (12) *TAM overwrites tone on the subject prefix*

	PERFECTIVE (Grammatical H)	SIMPLE PAST (Grammatical L)
1SG	mí -cha	mi -dú
2SG	ó -cha	o -dú
	('dig')	('cultivate')



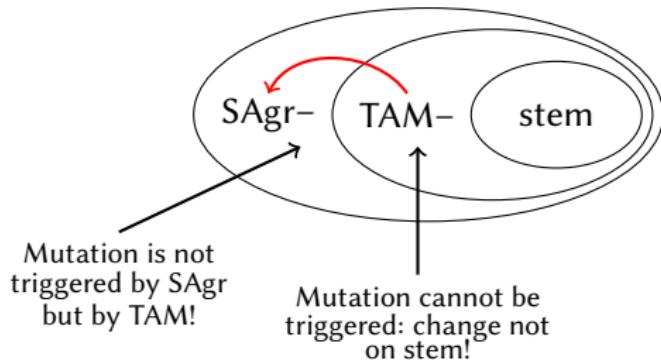
Antifaithfulness: no mutation on more peripheral affix

(13) *Antifaithfulness analysis for Gã?*

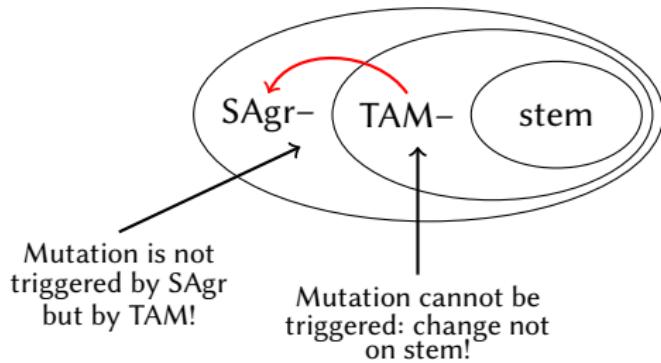


- no antifaithfulness constraint indexed to **PERF** can ever enforce a change on a prefix (/mi-/ or /o-/)

Antifaithfulness and exocentric mutation



Antifaithfulness and exocentric mutation



- Only a mutation can be demanded that **distinguishes a morphologically more complex word from a less complex base**

Antifaithfulness and SBM

- (14) *Strict Base Mutation, illustrated (Alderete 1999:141)*

Base	Derivative	\neg OO-FAITH	OO-FAITH
👉 root	ROOT-af		*!
root	root-AF	*!	

(capitalization: change/mutation)

- (15) *Thesis of Strict Base Mutation (Alderete 1999:141)*

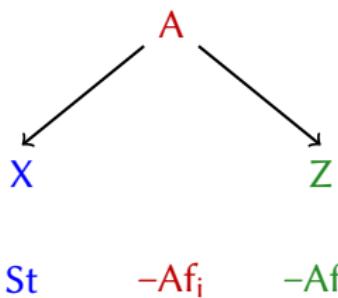
Transderivational Anti-Faithfulness may only affect the base of affixation.

Predicting exocentric mutation in a GNA account

Generalized Nonlinear Affixation (=GNA)

- all mutation and non-concatenative morphology is the result of affixation (Lieber 1987, Bermúdez-Otero 2012, Trommer&Zimmermann 2015)
- a (nonlinear) morpheme may in principle affect the preceding or the following morpheme

(16) *Autosegmental analysis for mutation*



Endocentric mutation: Texistepec Popoluca Mixtec and GNA

(17) *A GNA account for Texistepec Popoluca*

[+nas]	[-nas]	[-nas]	[-nas]	[-nas]		MAX[+NAS]	*FLOAT	MAX[−NAS]
+ d	a s	t a	h					
a.	[-nas]	[-nas] [-nas]	[-nas]			*!		
b.	[+nas]	[-nas]	[-nas] [-nas]	[-nas]			*!	
☞ b.	[+nas]	[-nas]	[-nas]					*
	⋮ n	a s t a	h					

Exocentric mutation: Gã and GNA (Simple Past)

	H L mi + + d u	*SPREADRIGHT	$\tau \Rightarrow \pi$	$\tau \rightarrow \pi$
a.	H L H mi d u		*	*!
b.	H L H mi d u		*	
c.	H L H mi d u	*!	*	

$\tau \rightarrow \pi$: Each tone must be associated phonetically or morphologically to a prosodic unit

$\tau \Rightarrow \pi$: Each tone must be associated phonetically to a prosodic unit

Alternating mutation: Tamil and GNA

(18) *Tamil and GNA*

$\begin{array}{c} \mu \\ \\ o \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ æ \end{array}$ + $\begin{array}{c} + \\ \text{ndʒ} \end{array}$	*FL	*V:	*SPRL	*C:
a. $\begin{array}{c} \mu \\ \\ o \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ æ \end{array}$ $\begin{array}{c} \mu \\ \\ \text{ndʒ} \end{array}$	*!			
b. $\begin{array}{c} \mu \\ \\ o \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ æ \end{array}$ $\begin{array}{c} \cdot \\ \\ \text{ndʒ} \end{array}$				*
c. $\begin{array}{c} \mu \\ \\ o \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ æ \end{array}$ $\begin{array}{c} \cdot \\ \\ \text{ndʒ} \end{array}$		*!	*	

$\begin{array}{c} \mu \\ \\ u \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ + $\begin{array}{c} + \\ \\ i \end{array}$ $\begin{array}{c} \mu \\ \\ n \end{array}$	*FL	*V:	*SPRL	*C:
a. $\begin{array}{c} \mu \\ \\ u \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ i \end{array}$ $\begin{array}{c} \mu \\ \\ n \end{array}$	*!	*		
b. $\begin{array}{c} \mu \\ \\ u \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ i \end{array}$ $\begin{array}{c} \cdot \\ \\ n \end{array}$			**!	
c. $\begin{array}{c} \mu \\ \\ u \end{array}$ $\begin{array}{c} \mu \\ \\ d \end{array}$ $\begin{array}{c} \mu \\ \\ i \end{array}$ $\begin{array}{c} \mu \\ \\ n \end{array}$		*	*	*

(An undominated constraint preserves *underlying* vowel length)

Conclusion

Summary

- different types of mutation exist in the languages of the world which are not endocentric

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- theories that are cyclic-transformational and hence restricted by the SBM suffer from a severe undergeneration problem

Appendix I: languages

	ISO639.3	mbranch	stock	area
Chaha	sgw	West Semitic	Semitic	Greater Abyssinia
Coatzospan Mixtec	miz	Mixtecan	Otomanguean	Mesoamerica
Dhaasanac	dsh	Eastern Cushitic	Cushitic	Greater Abyssinia
Gā	gaa		Kwa	African Savannah
Kpelle	kpe	West Mande	Mande	African Savannah
Tamil	tam	South Dravidian	Dravidian	Indic

Appendix II: Chukchi (cited in Wolf (2007))

- [+low] dominant vowel harmony: affix- or stem-vowels alternate

-low	i	u	e ₁	↓
+low	e ₂	o	a	

(19)

- a. milute-nu 'rabbit'-DESIG
 tutlik-u 'snipe'-DESIG

- b. milute-t 'rabbit'-ABS
 ləle-t 'eye'-ABS

[+low] V in stem: suffix alternates

- wopqa-no 'moose'-DESIG
 orw-o 'tent'-DESIG

[+low] V in affix: stem alternates

- xa-melota-ma 'rabbit'-COM
 xa-ləla-ma 'eye'-COM

(Bobaljik 2009:2)

Exocentric stem-to-affix mutation in Chukchi

- some stems trigger [+low] on affixes but are underlyingly vowel-less

(20) *Vowel-less stems*

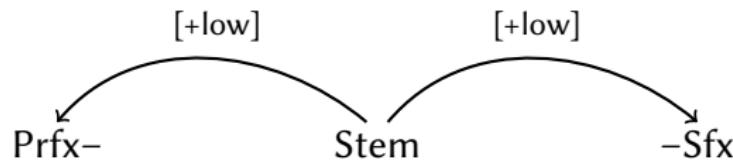
- a. *ye-nt-ə-lin* ‘he has cut off’
- ye-rv-ə-lin* ‘he has dug, scratched’

[+low] on vowel-less stems

- b. *ya-nm-ə-len* ‘he has killed’
- ya-tw-ə-len* ‘he has said’
- ya-rw-ə-len* ‘he has split’

(Bobaljik 2009:2)

Exocentric stem-to-affix mutation in Chukchi



Appendix III: Chaha (Rose 2007)

- Chaha suffixation template:

VERB STEM – Subject – Object-Case – Object/Per-Num – Tense

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- Chaha suffixation template:

VERB STEM – Subject – Object-Case – Object/Per-Num – Tense

- object suffixes are marked for case (Acc, MAL, BEN)
- specific (inner) subject agreement affixes trigger consonant hardening in (outer) object agreement

(21)

jí-rəxiβ-**β**-a
S3-find-MAL-O3SgF

‘he finds (sth) to her detriment’

jí-rəxiβ-o-**p**-a
S3-find-S3Pl-Mal-O3SgF

‘they find (sth) to her detriment’

(Rose 2007:40)

Exocentric affix-to-affix mutation in Chaha

- two allomorph sets for object marker:
 ‘heavy’ forms after PL subject affixes, SGFEM subject affixes, and impersonal
 ‘light’ forms after all other SG subject affixes

(22)

	MALFACTIVE		BENEFACTIVE	
	LIGHT	HEAVY	LIGHT	HEAVY
1SG	-β-i	-p-i	-n-i	-n-i
1PL	-β-ndə	-p-ndə	-n-ndə	-n-ndə
2SGF	-β-x ^j	-β-k ^j	-n-x ^j	-n-k ^j
2PLF	-β-xma	-β-kma	-n-xma	-n-kma
3SGF	-β-a	-p-a	-r-a	-r-a
3PLF	-β-əma	-p-əma	-r-əma	-r-əma

(Rose 2007:39)

Exocentric affix-to-affix mutation in Chaha



Appendix IV: Exocentric blocking in Muylaq' Aymara

- a class of suffixes (arbitrary, lexically marked) triggers deletion of a preceding vowel (23-a)
- the verbalizer has no surface effect in most contexts (23-b)

(23)

a.	mun <u>a</u> -t-χa	muntχa	'want'-1SG-TOP
	sar <u>a</u> - <u>ta</u> -sti	sartast	'go'-2SG-IRR
b.	jiwa-ta-□-w <u>a</u> -tʃi-χa:	jiwataw <u>tʃi</u> χa:	'die'-RE-VB-BUF-3.DUB-Dis
	jaqi-□-tan-wa	jaqitanwa	'people'-VB-4.S-AF

(Coler 2010:74, 118, 165, 359)

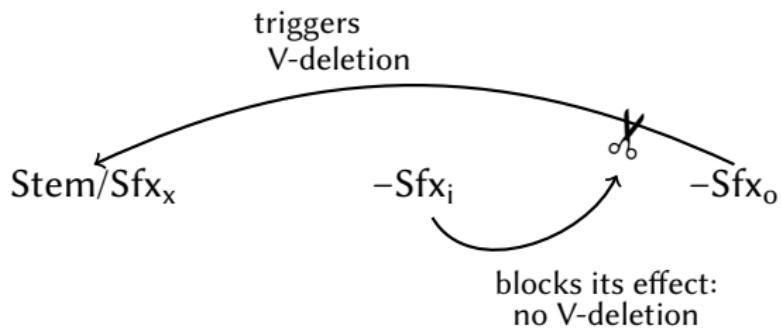
Exocentric blocking in Muylaq' Aymara

- if a vowel deletion-triggering suffix follows a verbalized base, vowel deletion is blocked (24)

(24)	tax <u>a</u> -□-ta-wa	tax <u>a</u> tawa	thin-VB-2SG-AFF
	kuntinaw <u>u</u> -□-t-wa	kuntinaw <u>u</u> twa	ghost-VB-1SG-AFF
	mara-ni-□-t-wa	maran <u>i</u> twa	year-OS-VB-1SG-AFF

(Coler 2010:359-361)

Exocentric blocking in Muylaq' Aymara



→ An effect expected from outer affix_o on base_x is blocked by affix_i